



# Kentucky Angel Investment Act

## 2021 Qualified Investments Final Report

Small Business	Investor	Date	Investment Status	Projected Investment	Actual Investment	Potential Tax Credit	Actual Tax Credit
451 Tech L.L.C.	Zhang, Wenhui	1/28/2021	Inactive	\$1,000,000	-	\$200,000	\$0
borderless LLC	Herrera, Melinda J.	7/29/2021	Investment Made	\$80,000	\$80,000	\$20,000	\$20,000
CPA Innovations, LLC	Bhatia, Aneeta R.	2/25/2021	Investment Made	\$25,000	\$25,200	\$6,250	\$6,250
CPA Innovations, LLC	Hornung, William Keith	2/25/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
CPA Innovations, LLC	McClelland, Martin N.	1/28/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
CPA Innovations, LLC	Richardson, David T.	1/28/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
CPA Innovations, LLC	Bhatia, Aneeta R.	9/30/2021	Investment Made	\$39,861	\$39,861	\$9,965	\$9,965
CPA Innovations, LLC	Hornung, William Keith	10/28/2021	Investment Made	\$50,000	\$50,058	\$12,500	\$12,500
CPA Innovations, LLC	Greenberg, John Ross	10/28/2021	Investment Made	\$75,000	\$75,087	\$18,750	\$18,750
CPA Innovations, LLC	McClelland, Martin N.	10/28/2021	Inactive	\$100,000	-	\$25,000	\$0
Cuddle Clones Holdings LLC	Ely III, Hiram	2/25/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
Cuddle Clones Holdings LLC	Naiser, Steven Todd	2/25/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
Cuddle Clones Holdings LLC	Bass, Steven Alan	2/25/2021	Investment Made	\$150,000	\$150,000	\$37,500	\$37,500
Cuddle Clones Holdings LLC	Steinbock, Robert T.	2/25/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Cuddle Clones Holdings LLC	Jones, Mary Dennis	2/25/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Cuddle Clones Holdings LLC	McClelland, Martin N.	1/28/2021	Investment Made	\$200,000	\$200,000	\$50,000	\$50,000
Cuddle Clones Holdings LLC	Saunders, Robert S.	1/28/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
Cuddle Clones Holdings LLC	Steinbock, Robert T.	3/25/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
EdjSports, LLC	Kirchdorfer, Mark T.	2/25/2021	Inactive	\$100,000	-	\$25,000	\$0

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EdjSports, LLC	Skaggs, Jr., Dale B.	2/25/2021	Inactive	\$50,000	-	\$12,500	\$0
EdjSports, LLC	Kirchdorfer Jr, James J.	2/25/2021	Inactive	\$100,000	-	\$25,000	\$0
EdjSports, LLC	Weber, Charles E.	1/28/2021	Inactive	\$50,000	-	\$12,500	\$0
EdjSports, LLC	Toutant, Roy T.	1/28/2021	Inactive	\$50,000	-	\$12,500	\$0
EdjSports, LLC	Haner, Scott R.	1/28/2021	Inactive	\$125,000	-	\$31,250	\$0
EdjSports, LLC	Klingeman, Jeffrey R.	1/28/2021	Inactive	\$25,000	-	\$6,250	\$0
EdjSports, LLC	Mintman, Jennifer L.	1/28/2021	Inactive	\$25,000	-	\$6,250	\$0
EdjSports, LLC	Dugan, Donald J.	1/28/2021	Inactive	\$50,000	-	\$12,500	\$0
EdjSports, LLC	Chapman, Gregory Lee	1/28/2021	Inactive	\$25,000	-	\$6,250	\$0
EdjSports, LLC	Lunsford, William Bruce	1/28/2021	Inactive	\$500,000	-	\$125,000	\$0
EdjSports, LLC	Simpson, Richard O.	1/28/2021	Inactive	\$50,000	-	\$12,500	\$0
EdjSports, LLC	Reeves, Patrick J.	1/28/2021	Inactive	\$50,000	-	\$12,500	\$0
EdjSports, LLC	Lapinski, Sterling A.	1/28/2021	Inactive	\$250,000	-	\$62,500	\$0
EdjSports, LLC	Donnar, Timothy R.	1/28/2021	Inactive	\$100,000	-	\$25,000	\$0
EdjSports, LLC	Shewmaker, Carl R.	1/28/2021	Inactive	\$100,000	-	\$25,000	\$0
EdjSports, LLC	Perkins III, Herbert W.	1/28/2021	Inactive	\$50,000	-	\$12,500	\$0
EquiLottery LLC	Saunders, Robert S.	2/25/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
EquiLottery LLC	Perkins III, Herbert W.	2/25/2021	Investment Made	\$75,000	\$75,000	\$18,750	\$18,750
EquiLottery LLC	Montano, Miguel O.	3/25/2021	Investment Made	\$150,000	\$150,000	\$37,500	\$37,500
Hippo Manager Software, Inc.	McClelland, Martin N.	1/28/2021	Inactive	\$100,000	-	\$25,000	\$0
Hippo Manager Software, Inc.	Toutant, Roy T.	7/29/2021	Investment Made	\$80,000	\$80,000	\$20,000	\$20,000
Hippo Manager Software, Inc.	Luftman, Brian Aaron	7/29/2021	Investment Made	\$15,000	\$15,000	\$3,750	\$3,750
Hippo Manager Software, Inc.	Smith, Stewart A.	7/29/2021	Investment Made	\$15,000	\$15,000	\$3,750	\$3,750
Hippo Manager Software, Inc.	Hager Jr, W. Lyman	7/29/2021	Investment Made	\$45,000	\$45,000	\$11,250	\$11,250
Liberate Medical (DE), Inc.	Toutant, Roy T.	12/9/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
Liberate Medical (DE), Inc.	La Rocca, Renato V.	12/9/2021	Investment Made	\$10,000	\$10,000	\$2,500	\$2,500
Liberate Medical (DE), Inc.	McDaniel, John Ryan	12/9/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
Liberate Medical (DE), Inc.	Francois, Cedric	12/9/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Liberate Medical (DE), Inc.	Moore, John Marshall	12/9/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
MEP Equine Solutions, LLC	Chait, Jonathan F.	8/26/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
MEP Equine Solutions, LLC	Jakobi, Larry A.	8/26/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
MEP Equine Solutions, LLC	Prall, Thomas Ashley	6/24/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
MEP Equine Solutions, LLC	Stephan, Melissa Lewis	6/24/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250

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MEP Equine Solutions, LLC	Goodnight, David L.	6/24/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
MEP Equine Solutions, LLC	Cole, Vincent J.	6/24/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
MEP Equine Solutions, LLC	Toutant, Roy T.	6/24/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
MEP Equine Solutions, LLC	Bazner, Kevin M.	6/24/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
MEP Equine Solutions, LLC	Toutant, Roy T.	12/9/2021	Investment Made	\$50,000	\$49,997	\$12,500	\$12,499
MEP Equine Solutions, LLC	Schackel, Richard Lee	12/9/2021	Investment Made	\$50,000	\$25,000	\$12,500	\$6,250
MEP Equine Solutions, LLC	Frey, Robert E.	12/9/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,249
Personal Medicine Plus LLC	Kelly Jr., James Paul	1/28/2021	Investment Made	\$50,000	\$50,000	\$20,000	\$20,000
Personal Medicine Plus LLC	Workman, Mark Anthony	1/28/2021	Investment Made	\$50,000	\$50,000	\$20,000	\$20,000
Personal Medicine Plus LLC	Lundberg, Theresa M.	1/28/2021	Investment Made	\$50,000	\$50,000	\$20,000	\$20,000
Personal Medicine Plus LLC	Luftman, Brian Aaron	5/27/2021	Investment Made	\$25,000	\$12,500	\$10,000	\$5,000
Personal Medicine Plus LLC	Johnson, Michael Prentiss	5/27/2021	Investment Made	\$25,000	\$12,500	\$10,000	\$5,000
Personal Medicine Plus LLC	Kelly Jr., James Paul	3/25/2021	Investment Made	\$10,000	\$10,000	\$4,000	\$4,000
Personal Medicine Plus LLC	Workman, Mark Anthony	3/25/2021	Investment Made	\$10,000	\$10,000	\$4,000	\$4,000
Personal Medicine Plus LLC	Luftman, Brian Aaron	12/9/2021	Investment Made	\$10,000	\$10,000	\$4,000	\$4,000
Personal Medicine Plus LLC	Followell, Robert A.	12/9/2021	Investment Made	\$12,500	\$12,500	\$5,000	\$5,000
PowerTech Water, Inc.	Wolford, George Rodney	1/28/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
PowerTech Water, Inc.	Young, Christopher H.	1/28/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
PowerTech Water, Inc.	Toutant, Roy T.	1/28/2021	Investment Made	\$40,000	\$40,000	\$10,000	\$10,000
PowerTech Water, Inc.	Hoseus, Michael S.	1/28/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
PowerTech Water, Inc.	Young, Christopher H.	5/27/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
PowerTech Water, Inc.	Toutant, Roy T.	5/27/2021	Investment Made	\$40,000	\$40,000	\$10,000	\$10,000
PowerTech Water, Inc.	Cole, Vincent J.	5/27/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
PowerTech Water, Inc.	Bork, Daniel P.	3/25/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
Predictive Sports Science, LLC	Nicholson, Theodore G.	7/29/2021	Investment Made	\$45,000	\$45,000	\$11,250	\$11,250
Pyrochem Catalyst Company	Wolford, George Rodney	2/25/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
Pyrochem Catalyst Company	Young, Christopher H.	1/28/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Pyrochem Catalyst Company	Streepey, Ben M.	1/28/2021	Investment Made	\$15,000	\$15,000	\$3,750	\$3,750
Pyrochem Catalyst Company	Hoseus, Michael S.	1/28/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
RedLeaf Biologics, Inc.	Stuedle, Joseph A.	10/28/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
RedLeaf Biologics, Inc.	Stuedle, Margaret Kristin	10/28/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
RedLeaf Biologics, Inc.	Baker, William True	5/27/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
RedLeaf Biologics, Inc.	Barade, Jean-Philippe	5/27/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500

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RedLeaf Biologics, Inc.	Neumark, Carlo Hamermesz	5/27/2021	Investment Made	\$25,000	\$30,000	\$6,250	\$6,250
RedLeaf Biologics, Inc.	Jain, Vishal H.	5/27/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
RedLeaf Biologics, Inc.	Ferguson, Deborah Lynn	5/27/2021	Investment Made	\$25,308	\$25,308	\$6,327	\$6,327
RedLeaf Biologics, Inc.	Rix, Douglas Walter	5/27/2021	Investment Made	\$25,308	\$25,308	\$6,327	\$6,327
RedLeaf Biologics, Inc.	Gilg, Frederic Henri Joseph	6/24/2021	Investment Made	\$150,008	\$150,008	\$37,502	\$37,502
RedLeaf Biologics, Inc.	Kakoyiannis, Jason Peter	7/29/2021	Investment Made	\$50,000	\$49,997	\$12,500	\$12,499
RedLeaf Biologics, Inc.	Wachtell, Theodore	5/27/2021	Investment Made	\$150,000	\$150,000	\$37,500	\$37,500
RedLeaf Biologics, Inc.	Davies, Ryan W.	5/27/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
RedLeaf Biologics, Inc.	Dueber, John Eugene	5/27/2021	Investment Made	\$35,150	\$35,150	\$8,787	\$8,787
RedLeaf Biologics, Inc.	Olive, Marc-Antoine	8/26/2021	Inactive	\$50,000	-	\$12,500	\$0
RedLeaf Biologics, Inc.	Gray, Julia Rebekah Hinson	10/28/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
RedLeaf Biologics, Inc.	Tucker, Austin Thomas	12/9/2021	Investment Made	\$37,500	\$37,500	\$9,375	\$9,375
RedLeaf Biologics, Inc.	Tucker, Thomas Thornton	12/9/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
RedLeaf Biologics, Inc.	Sizemore, Jacob Matthew	12/9/2021	Investment Made	\$37,500	\$37,500	\$9,375	\$9,375
RedLeaf Biologics, Inc.	Gray, II, James P.	12/9/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
RedLeaf Biologics, Inc.	Saligman, Lynn C.	12/9/2021	Investment Made	\$250,000	\$250,000	\$62,500	\$62,500
RedLeaf Biologics, Inc.	Woomer, Patti Saligman	12/9/2021	Investment Made	\$250,000	\$250,000	\$62,500	\$62,500
RedLeaf Biologics, Inc.	Wachtell, Theodore	12/9/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Stuff by Text Inc.	Beanblossom, Brent Christian	12/9/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
Stuff by Text Inc.	McClelland, Martin N.	12/9/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Synaptek LLC	Toutant, Roy T.	10/28/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
Thynk Health, LLC	McClelland, Martin N.	9/30/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Vivorte (DE), Inc.	Beanblossom, Brent Christian	2/25/2021	Investment Made	\$40,000	\$40,000	\$10,000	\$10,000
Vivorte (DE), Inc.	Nixon, Theodore Halstead	2/25/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
Vivorte (DE), Inc.	Stuedle, Margaret Kristin	1/28/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
Vivorte (DE), Inc.	Stuedle, Joseph A.	1/28/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
Vivorte (DE), Inc.	Chapman, Gregory Lee	1/28/2021	Investment Made	\$30,000	\$25,000	\$7,500	\$6,250
Vivorte (DE), Inc.	Saunders, Robert S.	1/28/2021	Investment Made	\$50,000	\$50,000	\$12,500	\$12,500
Vivorte (DE), Inc.	Roth, John B.	1/28/2021	Investment Made	\$25,000	\$25,000	\$6,250	\$6,250
Vivorte (DE), Inc.	Hanley, John E.	4/29/2021	Investment Made	\$10,000	\$10,000	\$2,500	\$2,500
Vivorte (DE), Inc.	Howard, William G.	4/29/2021	Investment Made	\$100,000	\$100,000	\$25,000	\$25,000
Wendal Inc	Schlotman, John Michael	2/25/2021	Investment Made	\$50,000	\$49,984	\$12,500	\$12,496
Wendal Inc	Schroeder, Gregory Lee	2/25/2021	Investment Made	\$75,000	\$74,976	\$18,750	\$18,744

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Wendal Inc	Zapp, Robert W.	2/25/2021	Investment Made	\$50,000	\$49,984	\$12,500	\$12,496
Wendal Inc	Fritz, Michael Burke	5/27/2021	Investment Made	\$10,032	\$10,032	\$2,508	\$2,508
Wendal Inc	Lonnemann, John Michael	4/29/2021	Investment Made	\$10,032	\$10,032	\$2,508	\$2,508
Wendal Inc	Kienzle, Barry G.	3/25/2021	Investment Made	\$49,984	\$49,984	\$12,496	\$12,496
Wendal Inc	Grace, Corey Paul	3/25/2021	Investment Made	\$20,020	\$20,020	\$5,005	\$5,005
Wendal Inc	Haas, Eric A.	3/25/2021	Investment Made	\$10,032	\$10,032	\$2,508	\$2,508
Wendal Inc	Bray, Scott R.	3/25/2021	Investment Made	\$10,032	\$10,032	\$2,508	\$2,508
Wendal Inc	Verst, Paul Thomas	3/25/2021	Investment Made	\$10,032	\$10,032	\$2,508	\$2,508
Wendal Inc	Heidrich, David P.	3/25/2021	Investment Made	\$24,992	\$24,992	\$6,248	\$6,248
Wendal Inc	Arrasmith, II, Jerry Joseph	3/25/2021	Investment Made	\$30,008	\$30,008	\$7,502	\$7,502
Wendal Inc	Pietromonaco, MaryAnn	4/29/2021	Investment Made	\$10,032	\$10,032	\$2,508	\$2,508
<b>Grand Totals (129 records)</b>				<b>\$8,718,331</b>	<b>\$5,718,614</b>	<b>\$2,165,957</b>	<b>\$1,460,940</b>