



Kentucky Angel Investment Act

2021 Qualified Investments (as of 11/18/21)

Small Business	Investor	Date	Investment Status	Projected Investment	Actual Investment	Potential Tax Credit	Actual Tax Credit
451 Tech L.L.C.	Zhang, Wenhui	1/28/2021	Inactive	\$1,000,000.00	-	\$200,000.00	\$0.00
borderless LLC	Herrera, Melinda J.	7/29/2021	Investment Made	\$80,000.00	\$80,000.00	\$20,000.00	\$20,000.00
CPA Innovations, LLC	Bhatia, Aneeta R.	2/25/2021	Investment Made	\$25,000.00	\$25,200.00	\$6,250.00	\$6,250.00
CPA Innovations, LLC	Hornung, William Keith	2/25/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
CPA Innovations, LLC	McClelland, Martin N.	1/28/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
CPA Innovations, LLC	Richardson, David T.	1/28/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
CPA Innovations, LLC	Bhatia, Aneeta R.	9/30/2021	Awaiting Investment	\$39,861.00	-	\$9,965.00	-
CPA Innovations, LLC	Hornung, William Keith	10/28/2021	Investment Made	\$50,000.00	\$50,058.00	\$12,500.00	\$12,500.00
CPA Innovations, LLC	Greenberg, John Ross	10/28/2021	Investment Made	\$75,000.00	\$75,087.00	\$18,750.00	\$18,750.00
CPA Innovations, LLC	McClelland, Martin N.	10/28/2021	Awaiting Investment	\$100,000.00	-	\$25,000.00	-
Cuddle Clones Holdings LLC	Ely III, Hiram	2/25/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
Cuddle Clones Holdings LLC	Naiser, Steven Todd	2/25/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
Cuddle Clones Holdings LLC	Bass, Steven Alan	2/25/2021	Investment Made	\$150,000.00	\$150,000.00	\$37,500.00	\$37,500.00
Cuddle Clones Holdings LLC	Steinbock, Robert T.	2/25/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
Cuddle Clones Holdings LLC	Jones, Mary Dennis	2/25/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
Cuddle Clones Holdings LLC	McClelland, Martin N.	1/28/2021	Investment Made	\$200,000.00	\$200,000.00	\$50,000.00	\$50,000.00
Cuddle Clones Holdings LLC	Saunders, Robert S.	1/28/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
Cuddle Clones Holdings LLC	Steinbock, Robert T.	3/25/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00

Small Business	Investor	Date	Investment Status	Projected Investment	Actual Investment	Potential Tax Credit	Actual Tax Credit
EdjSports, LLC	Kirchdorfer, Mark T.	2/25/2021	Inactive	\$100,000.00	-	\$25,000.00	\$0.00
EdjSports, LLC	Skaggs, Jr., Dale B.	2/25/2021	Inactive	\$50,000.00	-	\$12,500.00	\$0.00
EdjSports, LLC	Kirchdorfer Jr, James J.	2/25/2021	Inactive	\$100,000.00	-	\$25,000.00	\$0.00
EdjSports, LLC	Weber, Charles E.	1/28/2021	Inactive	\$50,000.00	-	\$12,500.00	\$0.00
EdjSports, LLC	Toutant, Roy T.	1/28/2021	Inactive	\$50,000.00	-	\$12,500.00	\$0.00
EdjSports, LLC	Haner, Scott R.	1/28/2021	Inactive	\$125,000.00	-	\$31,250.00	\$0.00
EdjSports, LLC	Klingeman, Jeffrey R.	1/28/2021	Inactive	\$25,000.00	-	\$6,250.00	\$0.00
EdjSports, LLC	Mintman, Jennifer L.	1/28/2021	Inactive	\$25,000.00	-	\$6,250.00	\$0.00
EdjSports, LLC	Dugan, Donald J.	1/28/2021	Inactive	\$50,000.00	-	\$12,500.00	\$0.00
EdjSports, LLC	Chapman, Gregory Lee	1/28/2021	Inactive	\$25,000.00	-	\$6,250.00	\$0.00
EdjSports, LLC	Lunsford, William Bruce	1/28/2021	Inactive	\$500,000.00	-	\$125,000.00	\$0.00
EdjSports, LLC	Simpson, Richard O.	1/28/2021	Inactive	\$50,000.00	-	\$12,500.00	\$0.00
EdjSports, LLC	Reeves, Patrick J.	1/28/2021	Inactive	\$50,000.00	-	\$12,500.00	\$0.00
EdjSports, LLC	Lapinski, Sterling A.	1/28/2021	Inactive	\$250,000.00	-	\$62,500.00	\$0.00
EdjSports, LLC	Donnar, Timothy R.	1/28/2021	Inactive	\$100,000.00	-	\$25,000.00	\$0.00
EdjSports, LLC	Shewmaker, Carl R.	1/28/2021	Inactive	\$100,000.00	-	\$25,000.00	\$0.00
EdjSports, LLC	Perkins III, Herbert W.	1/28/2021	Inactive	\$50,000.00	-	\$12,500.00	\$0.00
EquiLottery LLC	Saunders, Robert S.	2/25/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
EquiLottery LLC	Perkins III, Herbert W.	2/25/2021	Investment Made	\$75,000.00	\$75,000.00	\$18,750.00	\$18,750.00
EquiLottery LLC	Montano, Miguel O.	3/25/2021	Investment Made	\$150,000.00	\$150,000.00	\$37,500.00	\$37,500.00
Hippo Manager Software, Inc.	McClelland, Martin N.	1/28/2021	Inactive	\$100,000.00	-	\$25,000.00	\$0.00
Hippo Manager Software, Inc.	Toutant, Roy T.	7/29/2021	Investment Made	\$80,000.00	\$80,000.00	\$20,000.00	\$20,000.00
Hippo Manager Software, Inc.	Luftman, Brian Aaron	7/29/2021	Investment Made	\$15,000.00	\$15,000.00	\$3,750.00	\$3,750.00
Hippo Manager Software, Inc.	Smith, Stewart A.	7/29/2021	Investment Made	\$15,000.00	\$15,000.00	\$3,750.00	\$3,750.00
Hippo Manager Software, Inc.	Hager Jr, W. Lyman	7/29/2021	Investment Made	\$45,000.00	\$45,000.00	\$11,250.00	\$11,250.00
MEP Equine Solutions, LLC	Chait, Jonathan F.	8/26/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
MEP Equine Solutions, LLC	Jakobi, Larry A.	8/26/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
MEP Equine Solutions, LLC	Prall, Thomas Ashley	6/24/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
MEP Equine Solutions, LLC	Stephan, Melissa Lewis	6/24/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
MEP Equine Solutions, LLC	Goodnight, David L.	6/24/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
MEP Equine Solutions, LLC	Cole, Vincent J.	6/24/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
MEP Equine Solutions, LLC	Toutant, Roy T.	6/24/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
MEP Equine Solutions, LLC	Bazner, Kevin M.	6/24/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00

Small Business	Investor	Date	Investment Status	Projected Investment	Actual Investment	Potential Tax Credit	Actual Tax Credit
Personal Medicine Plus LLC	Kelly Jr., James Paul	1/28/2021	Investment Made	\$50,000.00	\$50,000.00	\$20,000.00	\$20,000.00
Personal Medicine Plus LLC	Workman, Mark Anthony	1/28/2021	Investment Made	\$50,000.00	\$50,000.00	\$20,000.00	\$20,000.00
Personal Medicine Plus LLC	Lundberg, Theresa M.	1/28/2021	Investment Made	\$50,000.00	\$50,000.00	\$20,000.00	\$20,000.00
Personal Medicine Plus LLC	Luftman, Brian Aaron	5/27/2021	Investment Made	\$25,000.00	\$12,500.00	\$10,000.00	\$5,000.00
Personal Medicine Plus LLC	Johnson, Michael Prentiss	5/27/2021	Investment Made	\$25,000.00	\$12,500.00	\$10,000.00	\$5,000.00
Personal Medicine Plus LLC	Kelly Jr., James Paul	3/25/2021	Investment Made	\$10,000.00	\$10,000.00	\$4,000.00	\$4,000.00
Personal Medicine Plus LLC	Workman, Mark Anthony	3/25/2021	Investment Made	\$10,000.00	\$10,000.00	\$4,000.00	\$4,000.00
PowerTech Water, Inc.	Wolford, George Rodney	1/28/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
PowerTech Water, Inc.	Young, Christopher H.	1/28/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
PowerTech Water, Inc.	Toutant, Roy T.	1/28/2021	Investment Made	\$40,000.00	\$40,000.00	\$10,000.00	\$10,000.00
PowerTech Water, Inc.	Hoseus, Michael S.	1/28/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
PowerTech Water, Inc.	Young, Christopher H.	5/27/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
PowerTech Water, Inc.	Toutant, Roy T.	5/27/2021	Investment Made	\$40,000.00	\$40,000.00	\$10,000.00	\$10,000.00
PowerTech Water, Inc.	Cole, Vincent J.	5/27/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
PowerTech Water, Inc.	Bork, Daniel P.	3/25/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
Predictive Sports Science, LLC	Nicholson, Theodore G.	7/29/2021	Investment Made	\$45,000.00	\$45,000.00	\$11,250.00	\$11,250.00
Pyrochem Catalyst Company	Wolford, George Rodney	2/25/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
Pyrochem Catalyst Company	Young, Christopher H.	1/28/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
Pyrochem Catalyst Company	Streepey, Ben M.	1/28/2021	Investment Made	\$15,000.00	\$15,000.00	\$3,750.00	\$3,750.00
Pyrochem Catalyst Company	Hoseus, Michael S.	1/28/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
RedLeaf Biologics, Inc.	Stuedle, Joseph A.	10/28/2021	Awaiting Investment	\$50,000.00	-	\$12,500.00	-
RedLeaf Biologics, Inc.	Stuedle, Margaret Kristin	10/28/2021	Awaiting Investment	\$50,000.00	-	\$12,500.00	-
RedLeaf Biologics, Inc.	Baker, William True	5/27/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
RedLeaf Biologics, Inc.	Barade, Jean-Philippe	5/27/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
RedLeaf Biologics, Inc.	Neumark, Carlo Hamermesz	5/27/2021	Investment Made	\$25,000.00	\$30,000.00	\$6,250.00	\$6,250.00
RedLeaf Biologics, Inc.	Jain, Vishal H.	5/27/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
RedLeaf Biologics, Inc.	Ferguson, Deborah Lynn	5/27/2021	Investment Made	\$25,308.00	\$25,308.00	\$6,327.00	\$6,327.00
RedLeaf Biologics, Inc.	Rix, Douglas Walter	5/27/2021	Investment Made	\$25,308.00	\$25,308.00	\$6,327.00	\$6,327.00
RedLeaf Biologics, Inc.	Gilg, Frederic Henri Joseph	6/24/2021	Investment Made	\$150,008.00	\$150,008.00	\$37,502.00	\$37,502.00
RedLeaf Biologics, Inc.	Kakoyiannis, Jason Peter	7/29/2021	Investment Made	\$50,000.00	\$49,997.00	\$12,500.00	\$12,499.00
RedLeaf Biologics, Inc.	Wachtell, Theodore	5/27/2021	Investment Made	\$150,000.00	\$150,000.00	\$37,500.00	\$37,500.00
RedLeaf Biologics, Inc.	Davies, Ryan W.	5/27/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
RedLeaf Biologics, Inc.	Dueber, John Eugene	5/27/2021	Investment Made	\$35,150.00	\$35,150.00	\$8,787.00	\$8,787.00

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RedLeaf Biologics, Inc.	Olive, Marc-Antoine	8/26/2021	Inactive	\$50,000.00	\$49,976.00	\$12,500.00	\$0.00
RedLeaf Biologics, Inc.	Gray, Julia Rebekah Hinson	10/28/2021	Awaiting Investment	\$50,000.00	-	\$12,500.00	-
Synaptex LLC	Toutant, Roy T.	10/28/2021	Awaiting Investment	\$25,000.00	-	\$6,250.00	-
Thynk Health, LLC	McClelland, Martin N.	9/30/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
Vivorte (DE), Inc.	Beanblossom, Brent Christian	2/25/2021	Investment Made	\$40,000.00	\$40,000.00	\$10,000.00	\$10,000.00
Vivorte (DE), Inc.	Nixon, Theodore Halstead	2/25/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
Vivorte (DE), Inc.	Stuedle, Margaret Kristin	1/28/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
Vivorte (DE), Inc.	Stuedle, Joseph A.	1/28/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
Vivorte (DE), Inc.	Chapman, Gregory Lee	1/28/2021	Investment Made	\$30,000.00	\$25,000.00	\$7,500.00	\$6,250.00
Vivorte (DE), Inc.	Saunders, Robert S.	1/28/2021	Investment Made	\$50,000.00	\$50,000.00	\$12,500.00	\$12,500.00
Vivorte (DE), Inc.	Roth, John B.	1/28/2021	Investment Made	\$25,000.00	\$25,000.00	\$6,250.00	\$6,250.00
Vivorte (DE), Inc.	Hanley, John E.	4/29/2021	Investment Made	\$10,000.00	\$10,000.00	\$2,500.00	\$2,500.00
Vivorte (DE), Inc.	Howard, William G.	4/29/2021	Investment Made	\$100,000.00	\$100,000.00	\$25,000.00	\$25,000.00
Wendal Inc	Schlotman, John Michael	2/25/2021	Investment Made	\$50,000.00	\$49,984.00	\$12,500.00	\$12,496.00
Wendal Inc	Schroeder, Gregory Lee	2/25/2021	Investment Made	\$75,000.00	\$74,976.00	\$18,750.00	\$18,744.00
Wendal Inc	Zapp, Robert W.	2/25/2021	Investment Made	\$50,000.00	\$49,984.00	\$12,500.00	\$12,496.00
Wendal Inc	Fritz, Michael Burke	5/27/2021	Investment Made	\$10,032.00	\$10,032.00	\$2,508.00	\$2,508.00
Wendal Inc	Lonnemann, John Michael	4/29/2021	Investment Made	\$10,032.00	\$10,032.00	\$2,508.00	\$2,508.00
Wendal Inc	Kienzle, Barry G.	3/25/2021	Investment Made	\$49,984.00	\$49,984.00	\$12,496.00	\$12,496.00
Wendal Inc	Grace, Corey Paul	3/25/2021	Investment Made	\$20,020.00	\$20,020.00	\$5,005.00	\$5,005.00
Wendal Inc	Haas, Eric A.	3/25/2021	Investment Made	\$10,032.00	\$10,032.00	\$2,508.00	\$2,508.00
Wendal Inc	Bray, Scott R.	3/25/2021	Investment Made	\$10,032.00	\$10,032.00	\$2,508.00	\$2,508.00
Wendal Inc	Verst, Paul T.	3/25/2021	Investment Made	\$10,032.00	\$10,032.00	\$2,508.00	\$2,508.00
Wendal Inc	Heidrich, David P.	3/25/2021	Investment Made	\$24,992.00	\$24,992.00	\$6,248.00	\$6,248.00
Wendal Inc	Arrasmith, II, Jerry Joseph	3/25/2021	Investment Made	\$30,008.00	\$30,008.00	\$7,502.00	\$7,502.00
Wendal Inc	Pietromonaco, MaryAnn	4/29/2021	Investment Made	\$10,032.00	\$10,032.00	\$2,508.00	\$2,508.00
Grand Totals (110 records)				\$7,435,831.00	\$4,296,232.00	\$1,841,957.00	\$1,089,477.00