



# Kentucky Angel Investment Act

## 2022 List of Qualified Investments Final Report

| Small Business               | Investor                | Date      | Investment Status | Projected Investment | Actual Investment | Potential Tax Credit | Actual Tax Credit |
|------------------------------|-------------------------|-----------|-------------------|----------------------|-------------------|----------------------|-------------------|
| Bexion Pharmaceuticals, Inc. | Knox, David George      | 12/8/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| Bexion Pharmaceuticals, Inc. | Zalla, John W.          | 5/26/2022 | Investment Made   | \$800,000.00         | \$800,000.00      | \$200,000.00         | \$200,000.00      |
| Bexion Pharmaceuticals, Inc. | Forgiel, Mary Zalla     | 5/26/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| Bexion Pharmaceuticals, Inc. | Burch, Leroy            | 5/26/2022 | Investment Made   | \$200,000.00         | \$200,009.39      | \$50,000.00          | \$50,000.00       |
| borderless LLC               | Herrera, Melinda J.     | 12/8/2022 | Investment Made   | \$40,000.00          | \$40,000.00       | \$10,000.00          | \$10,000.00       |
| Cornbread CBD, PBC           | Mackin, Colin N.        | 12/8/2022 | Investment Made   | \$112,500.00         | \$112,500.00      | \$28,125.00          | \$28,125.00       |
| Cornbread CBD, PBC           | Mackin, Noah L.         | 12/8/2022 | Investment Made   | \$112,500.00         | \$112,500.00      | \$28,125.00          | \$28,125.00       |
| Cornbread CBD, PBC           | Lenhardt, Theresa N.    | 12/8/2022 | Investment Made   | \$112,500.00         | \$112,500.00      | \$28,125.00          | \$28,125.00       |
| Cornbread CBD, PBC           | Mackin III, Joseph L.   | 12/8/2022 | Investment Made   | \$112,500.00         | \$112,500.00      | \$28,125.00          | \$28,125.00       |
| Equilottery LLC              | Russell, Gary D.        | 12/8/2022 | Investment Made   | \$200,000.00         | \$200,000.00      | \$50,000.00          | \$50,000.00       |
| Equilottery LLC              | Perkins III, Herbert W. | 12/8/2022 | Investment Made   | \$100,000.00         | \$100,000.00      | \$25,000.00          | \$25,000.00       |
| Equilottery LLC              | Montano, Miguel O.      | 12/8/2022 | Investment Made   | \$150,000.00         | \$150,000.00      | \$37,500.00          | \$37,500.00       |
| Equilottery LLC              | Saunders, Robert S.     | 12/8/2022 | Inactive          | \$50,000.00          | -                 | \$12,500.00          | \$0.00            |
| Goodmaps Inc.                | Perkins III, Herbert W. | 7/28/2022 | Investment Made   | \$100,000.00         | \$100,000.00      | \$25,000.00          | \$25,000.00       |
| Gun Media Holdings, Inc.     | Young, Christopher H.   | 9/29/2022 | Investment Made   | \$500,000.00         | \$500,000.00      | \$125,000.00         | \$125,000.00      |
| Lepidext, Inc.               | Toutant, Roy T.         | 4/28/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| Lepidext, Inc.               | Drury, John Henderson   | 7/28/2022 | Investment Made   | \$20,000.00          | \$20,000.00       | \$5,000.00           | \$5,000.00        |
| Lepidext, Inc.               | Aebersold, Zachary Cole | 7/28/2022 | Investment Made   | \$20,000.00          | \$20,000.00       | \$5,000.00           | \$5,000.00        |
| Lepidext, Inc.               | Sidhu, Preetpal Singh   | 7/28/2022 | Investment Made   | \$100,000.00         | \$100,000.00      | \$25,000.00          | \$25,000.00       |
| Lepidext, Inc.               | Hager, Benjamin William | 7/28/2022 | Investment Made   | \$10,000.00          | \$10,000.00       | \$2,500.00           | \$2,500.00        |

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| Liberate Medical (DE), Inc.  | Francois, Cedric G.         | 12/8/2022 | Investment Made   | \$25,000.00          | \$25,000.00       | \$6,250.00           | \$6,250.00        |
| Liberate Medical (DE), Inc.  | Toutant, Roy T.             | 9/29/2022 | Investment Made   | \$25,000.00          | \$25,000.00       | \$6,250.00           | \$6,250.00        |
| Mindful Beverage Group, Inc. | Warner, Gayla L.            | 5/26/2022 | Investment Made   | \$12,000.00          | \$12,000.00       | \$3,000.00           | \$3,000.00        |
| Parasight System Inc.        | Young, Christopher H.       | 3/31/2022 | Investment Made   | \$250,000.00         | \$249,888.00      | \$62,500.00          | \$62,472.00       |
| Parasight System Inc.        | Goodnight, David L.         | 7/28/2022 | Inactive          | \$50,000.00          | -                 | \$12,500.00          | -                 |
| Pyrochem Catalyst Company    | Meng, George E.             | 12/8/2022 | Investment Made   | \$25,000.00          | \$25,000.00       | \$6,250.00           | \$6,250.00        |
| Pyrochem Catalyst Company    | Streepey, Ben M.            | 12/8/2022 | Investment Made   | \$15,000.00          | \$15,000.00       | \$3,750.00           | \$3,750.00        |
| Pyrochem Catalyst Company    | Jakobi, Larry A.            | 12/8/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| Pyrochem Catalyst Company    | Noonan, Joseph E.           | 12/8/2022 | Investment Made   | \$25,000.00          | \$25,000.00       | \$6,250.00           | \$6,250.00        |
| Pyrochem Catalyst Company    | Goodnight, David L.         | 12/8/2022 | Investment Made   | \$15,000.00          | \$15,000.00       | \$3,750.00           | \$3,750.00        |
| Rave On Sports, LLC          | Pappas, John E.             | 7/28/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| RedLeaf Biologics, Inc.      | Boone, Caroline H.          | 6/30/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| RedLeaf Biologics, Inc.      | Wu, Ying                    | 1/27/2022 | Investment Made   | \$12,000.00          | \$12,000.00       | \$3,000.00           | \$3,000.00        |
| RedLeaf Biologics, Inc.      | Baum, Mark L                | 1/27/2022 | Investment Made   | \$15,000.00          | \$14,994.99       | \$3,750.00           | \$3,748.00        |
| RedLeaf Biologics, Inc.      | Wong, Kimlee                | 1/27/2022 | Investment Made   | \$20,000.00          | \$20,000.00       | \$5,000.00           | \$5,000.00        |
| RedLeaf Biologics, Inc.      | Fine, Daniel M              | 1/27/2022 | Investment Made   | \$30,000.00          | \$30,000.00       | \$7,500.00           | \$7,500.00        |
| RedLeaf Biologics, Inc.      | Wolk, Robert Ian            | 1/27/2022 | Investment Made   | \$25,000.00          | \$25,000.00       | \$6,250.00           | \$6,250.00        |
| RedLeaf Biologics, Inc.      | Kaya, Umit                  | 1/27/2022 | Investment Made   | \$20,000.00          | \$20,000.00       | \$5,000.00           | \$5,000.00        |
| Thynk Health, LLC            | Hoseus, Michael S.          | 5/26/2022 | Investment Made   | \$100,000.00         | \$100,000.00      | \$25,000.00          | \$25,000.00       |
| Thynk Health, LLC            | Luftman, Brian Aaron        | 4/28/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| Vivorte (DE), Inc.           | Stuedle, Joseph A.          | 5/26/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| Vivorte (DE), Inc.           | Stuedle, Margaret Kristin   | 5/26/2022 | Investment Made   | \$100,000.00         | \$100,000.00      | \$25,000.00          | \$25,000.00       |
| Wendal Inc                   | Kienzle, Barry G.           | 5/26/2022 | Investment Made   | \$50,600.00          | \$50,600.00       | \$12,650.00          | \$12,650.00       |
| Wendal Inc                   | Pietromonaco, MaryAnn       | 6/30/2022 | Investment Made   | \$10,032.00          | \$10,032.00       | \$2,508.00           | \$2,508.00        |
| Wendal Inc                   | Duncan, Angela M.           | 6/30/2022 | Investment Made   | \$10,032.00          | \$10,032.00       | \$2,508.00           | \$2,508.00        |
| Wendal Inc                   | Sumerel, Craig D.           | 7/28/2022 | Investment Made   | \$200,024.00         | \$200,024.00      | \$50,006.00          | \$50,006.00       |
| Wendal Inc                   | Lanham, Nicholas R.         | 5/26/2022 | Investment Made   | \$40,040.00          | \$40,040.00       | \$10,010.00          | \$10,010.00       |
| Wendal Inc                   | Schroeder, Gregory Lee      | 12/8/2022 | Investment Made   | \$600,000.00         | \$600,000.00      | \$150,000.00         | \$150,000.00      |
| Wendal Inc                   | Tuffin, Mark Charles        | 12/8/2022 | Investment Made   | \$1,000,000.00       | \$1,000,000.00    | \$200,000.00         | \$200,000.00      |
| Wendal Inc                   | Watkins, Matthew David      | 6/30/2022 | Investment Made   | \$10,032.00          | \$10,032.00       | \$2,508.00           | \$2,508.00        |
| Wendal Inc                   | Zapp, Robert W.             | 4/28/2022 | Investment Made   | \$50,000.00          | \$50,000.00       | \$12,500.00          | \$12,500.00       |
| Wendal Inc                   | Grace, Corey Paul           | 4/28/2022 | Investment Made   | \$20,020.00          | \$20,020.00       | \$5,005.00           | \$5,005.00        |
| Wendal Inc                   | Verst, Paul Thomas          | 4/28/2022 | Investment Made   | \$50,000.00          | \$49,984.00       | \$12,500.00          | \$12,496.00       |
| Wendal Inc                   | Arrasmith, II, Jerry Joseph | 4/28/2022 | Investment Made   | \$30,008.00          | \$30,008.00       | \$7,502.00           | \$7,502.00        |
| Wendal Inc                   | Schlotman, John Michael     | 4/28/2022 | Investment Made   | \$50,028.00          | \$50,028.00       | \$12,507.00          | \$12,507.00       |

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|----------------------------------|------------------------------|-----------|-------------------|----------------------|-------------------|----------------------|-------------------|
| Wendal Inc                       | Heidrich, David P.           | 8/25/2022 | Investment Made   | \$25,036.00          | \$25,036.00       | \$6,259.00           | \$6,259.00        |
| Wendal Inc                       | Beck, Steven Clay            | 6/30/2022 | Investment Made   | \$10,032.00          | \$10,032.00       | \$2,508.00           | \$2,508.00        |
| Wendal Inc                       | Haas, Eric A.                | 6/30/2022 | Investment Made   | \$22,000.00          | \$22,000.00       | \$5,500.00           | \$5,500.00        |
| Wendal Inc                       | Bray, Scott R.               | 6/30/2022 | Investment Made   | \$20,328.00          | \$20,328.00       | \$5,082.00           | \$5,082.00        |
| Wendal Inc                       | Lonnemann, Jr., John Michael | 6/30/2022 | Investment Made   | \$10,032.00          | \$10,032.00       | \$2,508.00           | \$2,508.00        |
| Wendal Inc                       | Becker, Mark J.              | 8/25/2022 | Investment Made   | \$20,020.00          | \$20,020.00       | \$5,005.00           | \$5,005.00        |
| Wendal Inc                       | Wulfeck, Jason Edward        | 8/25/2022 | Investment Made   | \$80,036.00          | \$80,036.00       | \$20,009.00          | \$20,009.00       |
| Wendal Inc                       | Fritz, Michael B.            | 9/29/2022 | Investment Made   | \$10,032.00          | \$10,032.00       | \$2,508.00           | \$2,508.00        |
| <b>Grand Totals (63 records)</b> |                              |           |                   | \$6,222,332.00       | \$6,122,208.38    | \$1,505,583.00       | \$1,480,549.00    |