



Kentucky Angel Investment Tax Credit

Statutorily Required Annual Reports

FY 2016-2017



Kentucky Angel Investment Act

List of Qualified Small Businesses as of 8/24/2017

The following businesses have been certified as Qualified Small Businesses under the Kentucky Angel Investment Act (KRS 154.20-230 through 240). A small business must be on this list and have maintained "Qualified" status to be eligible for consideration of a Qualified Investment by a Qualified Investor. The Cabinet for Economic Development does not perform financial screening or due diligence on the companies listed here and limits its certification to the statutory parameters for a Qualified Small Business. Refer to the Kentucky Angel Investment Act Program Fact Sheet for information on Qualified Small Business eligibility criteria.

The Qualified Small Business designation applies only to the Kentucky Angel Investment Act program. Firms on this list may or may not qualify as a small business for other programs with differing small business criteria.

Qualified Small Businesses

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
1020 Digital, LLC	1900 Three Springs Road	Bowling Green	Warren	www.cnx.io	Information Technology & Communications	06/03/2015	Qualified
451 Tech L.L.C.	153 Bittersweet Way	Lexington	Fayette	www.451.tech	Information Technology & Communications	12/28/2016	Qualified
Adaptive Technologies, LLC Advanced Energy Materials, LLC	6001 Claymont Village Drive, Suite 6	Crestwood	Oldham	www.mobilefit.com	Information Technology & Communications	02/03/2016	Qualified
AffiniCorp LLC	311 E Lee St	Louisville	Jefferson	www.advancedenergymat.com	Materials Science & Advanced Manufacturing	08/16/2017	Qualified
ALKYMOS, Inc.	2307 River Road, Ste 101	Louisville	Jefferson	www.affinincorp.com	Health & Human Development	03/24/2016	Qualified
All Around Care LLC	1300 Golf Course Circle	Lexington	Fayette	www.alkyimos.com	Health & Human Development	05/06/2015	Qualified
Atalo Holdings, Inc.	2335 West Oak Street Hemp Research Campus 4274 Colby Road	Louisville	Jefferson	www.allaroundhealthcare.com	Health & Human Development	12/13/2016	Qualified
		Winchester	Clark	www.ataloholdings.com	Bioscience	07/05/2017	Qualified



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
Baqua, Inc.	325 W Main St, Third Floor	Lexington	Fayette	www.baqua.com	Other New Economy Knowledge Based Activity	11/16/2016	Qualified
Beach Front Energy Holding Company, LLC	450 S. 3rd St., Suite 204	Louisville	Jefferson	www.beachfrontenergy.com	Environmental & Energy Technology	12/29/2014	Qualified
Bexion Pharmaceuticals, Inc.	632 Russell Street	Covington	Kenton	www.bexionpharma.com	Health & Human Development	04/18/2017	Qualified
Blue222 LLC	415 E Market St #101	Louisville	Jefferson	www.blue222.com	Information Technology & Communications	07/24/2017	Qualified
Braxton Brewing Company, LLC	27 W 7th St	Covington	Kenton	www.braxtonbrewing.com	Advanced Manufacturing	04/01/2015	Qualified
Breath Diagnostics, Inc.	9418 Norton Commons Blvd, Suite 201	Prospect	Jefferson	www.breathdiagnosticsinc.com	Health & Human Development	12/13/2016	Qualified
Circus Mojo, LLC	326 Elm St	Ludlow	Kenton	www.circusmojo.com	Health & Human Development	07/21/2017	Qualified
Comfy Cow, LLC	2221 Frankfort Ave	Louisville	Jefferson	www.thecomfycow.com	Materials Science & Advanced Manufacturing	04/12/2017	Qualified
Community Core LLC	235 South Fifth Street, Fourth Floor	Louisville	Jefferson	www.findra.com	Information Technology & Communications	07/25/2017	Qualified
CompleteSet, Inc.	910 Madison Avenue	Covington	Kenton	www.completeset.com	Other New Economy Knowledge Based Activity	04/10/2017	Qualified
Construction Industry Resources LLC	2393 Alumni Drive, Suite 201	Lexington	Fayette	www.myclima.com	Information Technology & Communications	07/24/2017	Qualified
CrossCoat Medical, LLC	1501 Bull Lea Road, Suite 103	Lexington	Fayette	www.crosscoatmedical.com	Health & Human Development	07/25/2017	Qualified
CTL Fuels, Inc.	1648 N Main Street	Hazard	Perry	www.ctlfuels.com	Materials Science & Advanced Manufacturing	04/03/2017	Qualified
Cuddle Clones LLC	624 W. Main St., 3rd Floor	Louisville	Jefferson	www.cuddlclones.com	Other New Economy Knowledge Based Activity	01/09/2017	Qualified
Dental Exchange Inc.	291 N. Hubbards Lane, Suite 171	Louisville	Jefferson	www.thedentalexchange.com	Information Technology & Communications	02/20/2015	Qualified
Double Double D, LLC	2205 Global Way	Hebron	Boone	www.paperwaterbottle.com	Materials Science & Advanced Manufacturing	01/18/2017	Qualified
Earthineer, LLC	1175 KY Hwy 16	Glencoe	Gallatin	www.earthineer.com	Information Technology & Communications	04/01/2015	Qualified



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eCoach, LLC	1999 Richmond Road, Suite 300	Lexington	Fayette	www.ecoachsports.com	Information Technology & Communications	08/01/2017	Qualified
Edj Analytics, LLC	732 E. Market Street	Louisville	Jefferson	www.edjanalytics.com	Other New Economy Knowledge Based Activity	03/10/2016	Qualified
Edumedics LLC	201 East Jefferson Street	Louisville	Jefferson	http://edumedics.com	Health & Human Development	12/08/2016	Qualified
EIE Materials, Inc. dba Lumenari, Inc.	1501 Bull Lea Road Suite 105	Lexington	Fayette	www.lumenariinc.com	Materials Science & Advanced Manufacturing	07/24/2017	Qualified
First Care Holding, LLC	1752 Highway 192 W	London	Laurel	www.firstcareclinics.com	Health & Human Development	12/27/2016	Qualified
Flying Axes, Inc.	146 N Clay St	Louisville	Jefferson	www.flyingaxes.com	Information Technology & Communications	05/01/2017	Qualified
Forest Giant, Inc.	2858 Frankfort Ave	Louisville	Jefferson	www.forestgiant.com	Information Technology & Communications	01/13/2017	Qualified
Frogdice, Inc.	1204 Winchester Road, Suite 275	Lexington	Fayette	www.frogdice.com	Information Technology & Communications	01/18/2017	Qualified
Goby Holdings Inc.	112 West Pike Street	Covington	Kenton	www.gobapr.com	Information Technology & Communications	12/13/2016	Qualified
Gourmet Renee LLC	322 Elm St	Ludlow	Kenton	www.bircus.com	Materials Science & Advanced Manufacturing	05/08/2017	Qualified
Greenlight CE Partners, L.L.C.	805 Buck Court	Erlanger	Kenton		Other New Economy Knowledge Based Activity	03/16/2015	Qualified
Gun Media Holdings, Inc.	163 E. Main Street 3rd Floor	Lexington	Fayette	www.fearthesun.com	Information Technology & Communications	08/19/2015	Qualified
Healthcare Asset Network, Inc.	6006 Waterfall Way, Suite 100	Prospect	Oldham	www.healthcareassetnetwork.com	Information Technology & Communications	12/15/2016	Qualified
Healthcast US Inc	112 W Pike St	Covington	Kenton	www.health-cast.com	Information Technology & Communications	12/08/2016	Qualified
Hera Testing Laboratories, Inc.	2277 Thunderstick Drive, Suite 500	Lexington	Fayette	www.herabiolabs.com	Bioscience	12/22/2016	Qualified
Hippo Manager Software, Inc.	110 W Vine Street, 4th Floor	Lexington	Fayette	http://hippomanager.com	Information Technology & Communications	04/19/2017	Qualified
Horse Racing Labs LLC	714 West Main Street	Louisville	Jefferson	www.horseracingnation.com	Other New Economy Knowledge Based Activity	11/02/2016	Qualified



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Intralink Spine, Inc.	1501 Bull Lea Road, Suite 103	Lexington	Fayette	www.intralinkspine.com	Health & Human Development	07/25/2017	Qualified
IREPORTSOURCE Inc.	112 W Pike Street	Covington	Kenton	www.ireportsource.com	Information Technology & Communications	11/22/2016	Qualified
Liberate Medical (DE), Inc.	6400 Westwind Way, Suite A	Crestwood	Oldham		Bioscience	01/04/2017	Qualified
Lucina Health, Inc.	10612 Meeting Street, Suite 101	Prospect	Jefferson	www.lucinahealth.com	Information Technology & Communications	05/08/2017	Qualified
Lunacy Productions, LLC	6009 Brownsboro Park Blvd Suite G	Louisville	Jefferson		Other New Economy	05/13/2015	Qualified
M B Device LLC	1044 East Chestnut Street	Louisville	Jefferson	www.mbdevice.com	Health & Human Development	07/07/2017	Qualified
MakeTime Inc.	446 East High Street #110	Lexington	Fayette	www.maketime.io	Information Technology & Communications	03/20/2015	Qualified
MEP Equine Solutions, LLC	3905 English Oak Circle	Lexington	Fayette	www.theparasightssystem.com	Bioscience	02/13/2015	Qualified
MER Ventures LLC	15310 Crystal Springs Way	Louisville	Jefferson	www.servicebank.com	Information Technology & Communications	12/28/2016	Qualified
MobileMedTek Holdings, Inc.	1205 E. Washington St., Ste 115	Louisville	Jefferson	http://mobilemedtek.com	Health & Human Development	02/16/2016	Qualified
MobileServe LLC	789 E. Oak Street, Suite 4	Louisville	Jefferson	www.mobileserve.org	Information Technology & Communications	07/09/2017	Qualified
Moonshine, Beer & BBQ Co.	425 Outlet Ave	Eddyville	Lyon	www.mbbg.com	Materials Science & Advanced Manufacturing	01/23/2017	Qualified
Neuronetrix Solutions, LLC	1044 E Chestnut Street	Louisville	Jefferson	www.neuronetrix.com	Health & Human Development	01/10/2017	Qualified
NX Development Corp.	870 Corporate Drive, Suite 403	Lexington	Fayette	www.nxdevcorp.com	Health & Human Development	12/28/2016	Qualified
NX Prenatal Inc.	4350 Brownsboro Rd #110	Louisville	Jefferson	www.nxprenatal.com	Bioscience	03/17/2016	Qualified
Omveria, LLC	300 West Vine Street, Suite 1400	Lexington	Fayette	www.omveria.com	Information Technology & Communications	09/30/2015	Qualified
Onovative, LLC	118 East Main St. 6th Floor	Louisville	Jefferson	www.onovative.com	Information Technology & Communications	02/02/2016	Qualified



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
PASIV Duty LLC	3185 Lamar Drive	Lexington	Fayette	www.pasivduty.com	Information Technology & Communications	12/27/2016	Qualified
Patriot Brands LLC	2175 Strathmoor Blvd	Louisville	Jefferson		Materials Science & Advanced Manufacturing	04/19/2017	Qualified
Personal Medicine Plus, LLC	614 Madison St	Paducah	McCracken	www.personalmedicineplus.com	Information Technology & Communications	12/27/2016	Qualified
Popplr, Inc.	281 Steele Rd	Franklin	Simpson	www.popplr.com	Information Technology & Communications	05/16/2015	Qualified
PowerTech Water, LLC	145 Graham Avenue Suite A254 ASTeCC	Lexington	Fayette	www.powertechwater.com	Environmental & Energy Technology	01/03/2017	Qualified
Pyrochem Catalyst Company	11361 Decimal Dr	Louisville	Jefferson	www.pyrochemcatalyst.com	Materials Science & Advanced Manufacturing	02/20/2017	Qualified
Rabbit Hole Spirits, LLC	1452 Cherokee Rd	Louisville	Jefferson	www.rabbitholedistilling.com	Other New Economy	04/18/2017	Qualified
Red e Productions LLC	216 South Shelby Street	Louisville	Jefferson	www.rredeapp.com	Information Technology & Communications	07/21/2017	Qualified
RedLeaf Biologies, Inc.	145 Graham Ave ASTeCC Building - A152	Lexington	Fayette		Knowledge Based Activity	04/18/2017	Qualified
Revio, Inc.	1205 E Washington St	Louisville	Jefferson	www.myrevio.com	Bioscience	02/04/2016	Qualified
Saverest Inc.	4950 Jennie Kate Lane	Lexington	Fayette	www.ibuylocalretailers.com	Materials Science & Advanced Manufacturing	07/24/2017	Qualified
Scant Evidence LLC	163 E Main St., 3rd Floor, Suite 311	Lexington	Fayette	www.scante.net	Information Technology & Communications	03/11/2015	Qualified
Second Sight Spirits, LLC	310 Elm Street	Ludlow	Kenton	www.secondsightspirits.com	Information Technology & Communications	07/06/2015	Qualified
Smart Farm Systems, Inc.	204 Industry PKWY, Suite F	Nicholasville	Jessamine	www.smartfarm.ag	Materials Science & Advanced Manufacturing	01/24/2017	Qualified
Space Tango, Inc.	333 E Short St Suite 220	Lexington	Fayette	www.spacetango.com	Other New Economy	12/28/2016	Qualified
Stretch Fabrics, LLC	1388 Vidot Ct.	Ft. Wright	Kenton		Knowledge Based Activity	01/04/2017	Qualified
Studio Calico, LLC	114 Corporate Ct	Bowling Green	Warren	www.studiocalico.com	Materials Science & Advanced Manufacturing	02/17/2016	Qualified
					Other New Economy	01/26/2016	Qualified
					Knowledge Based Activity		



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
Sunstrand, LLC	1401 Locust Street	Louisville	Jefferson	www.sunstrands.com	Materials Science & Advanced Manufacturing	12/19/2016	Qualified
SuperFanU, Inc.	946 Goss Avenue, Suite 3106	Louisville	Jefferson	www.superfanu.com	Information Technology & Communications	03/02/2016	Qualified
SuperGrow LLC	723 Central Avenue	Lexington	Fayette	www.supergrow.ag	Environmental & Energy Technology	01/10/2017	Qualified
SuperGrow Manufacturing & Supply LLC	723 Central Avenue	Lexington	Fayette	www.supergrow.ag	Materials Science & Advanced Manufacturing	12/05/2016	Qualified
Switcher Inc	1302 Clear Springs Trace	Louisville	Jefferson	www.switcherstudio.com	Information Technology & Communications	12/21/2016	Qualified
Tier 1 Accelerated Health, LLC	100 E. Rivercenter Blvd.	Covington	Kenton	www.xldinc.com	Information Technology & Communications	03/08/2016	Qualified
Transposagen Biopharmaceuticals, Inc.	535 West Second Street Suite 10	Lexington	Fayette	www.transposagenbio.com	Bioscience	01/05/2017	Qualified
Triblue Corporation	1201 Deatsville Rd	Coxs Creek	Nelson	www.triblueengineering.com	Environmental & Energy Technology	07/09/2017	Qualified
Udeo.Me LLC	2041 Stratford Drive	Owensboro	Daviess	http://udeo.me	Information Technology & Communications	07/23/2017	Qualified
Urban Shipper Technology Inc.	1895 Airport Exchange Blvd. Suite 290	Erlanger	Kenton	www.urbanshipper.com	Information Technology & Communications	01/20/2017	Qualified
Urban Technology Inc.	1895 Airport Exchange Blvd., Suite 290	Erlanger	Kenton	www.urbanshipper.com	Information Technology & Communications	11/29/2016	Qualified
VBP, Inc.	1822 North Main St	Madisonville	Hopkins		Bioscience	11/02/2016	Qualified
Venminder, Inc.	400 Ring Road, Suite 131	Elizabethtown	Hardin	www.venminder.com	Information Technology & Communications	05/04/2016	Qualified
Vindico NanoBio Technology Inc.	145 Graham Ave., ASTeCC Bldg. A169	Lexington	Fayette	www.vindicopharma.com	Bioscience	02/12/2015	Qualified
Virtual Peaker, Inc.	201 E Jefferson St, Suite 305	Louisville	Jefferson	www.virtualpeaker.io	Environmental & Energy Technology	12/05/2016	Qualified
Vita-Stat LLC	5650 Bardstown Road	Louisville	Jefferson	www.vita-stat.com	Information Technology & Communications	11/29/2016	Qualified
Vivorte (DE), Inc.	1044 East Chestnut Street	Louisville	Jefferson	www.vivorte.com	Bioscience	12/15/2016	Qualified



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
Wyzerz Inc.	1 Innovation Alley	Covington	Kenton	www.wyzerz.com	Information Technology & Communications	03/03/2016	Qualified

Inactive Small Businesses

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
33 Degrees Convenience Connect, Inc.	13100 Magisterial Drive, Suite 102	Louisville	Jefferson	www.33degreescc.com	Information Technology & Communications	03/30/2016	Inactive-Closed
3DLT, LLC	535 Madison Avenue, Suite 201	Covington	Kenton	www.3dlt.com	Information Technology & Communications	03/09/2015	Inactive-Closed
Beyond Zero, Inc.	140 W Washington St, 515 449 Lewis Hargett Circle, Suite 190	Louisville	Jefferson	www.bzice.com	Advanced Manufacturing	07/25/2016	Inactive-Closed
Biological Prospects, LLC	4101 Tates Creek Centre Dr #150-143	Lexington	Fayette	www.biologicalprospects.com	Bioscience	08/31/2015	Inactive-Closed
Blink Technologies Inc.		Lexington	Fayette	www.blinkscan.com	Information Technology & Communications	03/20/2015	Inactive-Closed
CincyFriend, LLC	112 W. Pike St.	Covington	Kenton	www.kiwilive.com	Information Technology & Communications	01/19/2016	Inactive-Closed
CitiLogics, LLC	615 Madison Ave	Covington	Kenton	www.citilogics.com	Environmental & Energy Technology	11/25/2015	Inactive-Closed
Cloud Puffs Inc.	134 Olivia Drive	Nicholasville	Jessamine	http://cloudpuffs.com	Information Technology & Communications	12/11/2015	Inactive-Closed
Collabra Innovations LLC	201 E. Main St. #305	Louisville	Jefferson	www.collabramusic.com	Information Technology & Communications	02/12/2016	Inactive-Closed
Ecobridge Industries Limited Liability Company	635 Myrtle Street	Louisville	Jefferson	www.ecobridgeindustries.com	Materials Science & Advanced Manufacturing	11/09/2015	Inactive-Closed
Equimarker Analytics Corp.	5837 Woolper Road	Petersburg	Boone	www.equimarkers.com	Bioscience	02/23/2015	Inactive-Closed
Fanbloom, Inc.	910 Madison Ave	Covington	Kenton	www.fanbloom.com	Information Technology & Communications	05/18/2016	Inactive-Closed
FantasyHub LLC	320 Whittington Parkway Ste 215	Louisville	Jefferson	www.fantasyhub.com	Information Technology & Communications	02/17/2015	Inactive-Closed
Fanvester, LLC	112 W Pike St.	Covington	Kenton	www.fanvester.com	Information Technology & Communications	01/26/2016	Inactive-Closed



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
FittingRoom, LLC	201 Price Road Suite 116	Lexington	Fayette	www.westyleapp.com	Information Technology & Communications	01/28/2015	Inactive-Closed
Fooji LLC	257 E. Short St.	Lexington	Fayette	fooji.co	Information Technology & Communications	12/15/2015	Inactive-Closed
gamejoule, LLC	112 W Pike Street	Covington	Kenton	www.gamejoule.com	Information Technology & Communications	02/01/2016	Inactive-Closed
Global Environmental Services, LLC	109 Triport Road Suite 1	Georgetown	Scott	www.gesrecycles.com	Environmental & Energy Technology	02/10/2015	Inactive-Closed
GroomHQ Limited Liability Company	2308 Meadow Rd	Louisville	Jefferson	www.groomhq.com	Information Technology & Communications	05/21/2015	Inactive-Closed
GWBC LLC	530 York St.	Newport	Campbell	www.wiedemannbeer.com	Materials Science & Advanced Manufacturing	04/06/2015	Inactive-Closed
Hemp Foods America, LLC	39 N. Main St.	New Castle	Henry	www.hempfoodsamerica.com	Other New Economy	01/26/2016	Inactive-Closed
Hive App LLC	112 W Pike Street	Covington	Kenton	www.gohiveapp.com	Information Technology & Communications	01/19/2016	Inactive-Closed
Horse Races Now, LLC	828 Lane Allen Rd, Suite 240	Lexington	Fayette	www.horseracesnow.com	Information Technology & Communications	12/15/2014	Inactive-Closed
Inscope Medical Solutions, Inc.	1229 S. Shelby Street	Louisville	Jefferson	www.inscopemedical.com	Health & Human Development	03/15/2016	Inactive-Closed
JTM Ventures, L.L.C.	739 Iron Liege Dr	Union	Boone	www.blinkit.co	Information Technology & Communications	02/17/2015	Inactive-Closed
Kandidly Inc.	908 Madison Avenue	Covington	Kenton	http://kandid.ly	Information Technology & Communications	04/13/2015	Inactive-Closed
Kentucky Chia LLC	1500 River Shore Drive #115	Louisville	Jefferson	www.uschia.com	Other New Economy	03/02/2015	Inactive-Closed
Kinetic Corporation	200 Distillery Commons, Suite 200	Louisville	Jefferson	www.thetechnologyagency.com	Information Technology & Communications	01/30/2015	Inactive-Closed
Know The Na, LLC	2041 Stratford Drive	Owensboro	Daviess	http://knowthena.com	Communications	05/06/2015	Inactive-Closed
Liberate Medical, LLC	6400 Westwind Way, Suite A	Crestwood	Oldham	www.liberatemedical.com	Bioscience	03/28/2016	Inactive-Closed
Linkedu LLC	112 Pike Street	Covington	Kenton	www.linkedu.co	Information Technology & Communications	03/02/2015	Inactive-Closed
Lollipops, LLC	908 Madison Ave	Covington	Kenton	www.helloparent.com	Information Technology & Communications	05/20/2015	Inactive-Closed



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Mic Monks Media, LLC	621 Madison Avenue	Covington	Kenton	www.rcnky.com	Information Technology & Communications	03/30/2016	Inactive-Closed
Mountainshak Ventures LLC New Home Marketing Services, LLC	1006 Greenup Street 1708 Grandview Drive	Covington Hebron	Kenton Boone	www.urbantrials.com www.newhomemarketingservices.com	Information Technology & Communications Information Technology & Communications	12/16/2015 12/30/2014	Inactive-Closed Inactive-Closed
Nexeon MedSystems Inc	1708 Jaggie Fox Way	Lexington	Fayette	www.nexeonmed.com	Bioscience Information Technology & Communications	02/09/2016	Inactive-Closed
Reconomy Inc.	110 Springhouse Drive	Nicholasville	Jessamine	www.roundisnow.com	Information Technology & Communications	12/16/2015	Inactive-Closed
RhinoCyte, Inc.	1044 E. Chestnut St.	Louisville	Jefferson	www.rhinocyte.com	Bioscience Other New Economy	02/17/2015	Inactive-Closed
Stockpilz, LLC	2617 Legends Way	Crestview Hills	Kenton	www.stockpilz.com	Knowledge Based Activity Health & Human	01/19/2016	Inactive-Closed
Therabracelet, Inc. TopherTronics, LLC DBA GearBrake	1316 DeBarr Street 1500 River Shore Dr. Suite 234	Louisville Louisville	Jefferson Jefferson	www.therabracelet.com www.gearbrake.com	Development Materials Science & Advanced Manufacturing & Information Technology & Communications	04/11/2016 12/19/2014	Inactive-Closed Inactive-Closed
Travel Notes Incorporated Tryfecta Business Solutions, LLC	112 W. Pike Street 2050 Brannon Rd	Covington Nicholasville	Kenton Jessamine	www.travelnotes.io www.pawmetrics.com	Information Technology & Communications Other New Economy	04/20/2015 03/20/2015	Inactive-Closed Inactive-Closed
Waygr, LLC	112 West Pike Street	Covington	Kenton	www.waygr.com	Knowledge Based Activity	04/29/2015	Inactive-Closed

Kentucky Angel Investment Act

List of Qualified Investors as of 8/23/2017

The following individuals have been certified as Qualified Investors under the Kentucky Angel Investment Act (KRS 154.20-230 through 240). To be eligible for tax credit consideration through the Kentucky Angel Investment Act, an individual must be on this list prior to making a Qualified Investment in a Qualified Small Business. The Cabinet for Economic Development does not perform financial screening or due diligence on the individuals listed here and limits its certification to the statutory parameters for a Qualified Investor. Refer to the Kentucky Angel Investment Act Program Fact Sheet for information on Qualified Investor eligibility criteria.

The Qualified Investor designation applies only to the Kentucky Angel Investment Act program. Individuals on this list may or may not qualify as a qualified investor for other programs with differing investor criteria.

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Abbas, Gregory M.	05/01/2017	Qualified	Logeman, Doug	01/22/2015	Qualified
Abbu, Ramanadham	01/20/2017	Qualified	Luftman, Brian A.	01/05/2017	Qualified
Absher, Nelea A.	04/23/2015	Qualified	Lunsford, William Bruce	11/29/2016	Qualified
Adams, Brian Patrick	01/11/2016	Qualified	Mackin, Craig L	03/17/2016	Qualified
Adler, Edward C	05/02/2016	Qualified	Marcum, Weston	12/15/2015	Qualified
Alford, Farra M.	01/17/2017	Qualified	Martin, Gerald R.	02/20/2015	Qualified
Allison, Robert William	06/01/2015	Qualified	Masters, Cynthia R.	08/11/2017	Qualified
Andrews, Robin W.	02/11/2015	Qualified	Mattix, Chadwick M	12/15/2015	Qualified
Anglin, Richard	02/17/2015	Qualified	Mayer, John Albert	06/17/2015	Qualified
Antonsson, Stefan	12/15/2015	Qualified	McCarty, Ryan W.	02/18/2015	Qualified
Anzalone, John	05/14/2015	Qualified	McCarty, William R	02/18/2015	Qualified
Baggott, Stephen J	12/15/2015	Qualified	McClelland, Martin	02/12/2015	Qualified
Baker, William True	12/21/2015	Qualified	McKee, Donald F.	12/15/2015	Qualified
Balbach, Anthony J	05/14/2015	Qualified	McKeown, Peter P.	01/20/2017	Qualified
Baluyut, Arlene R	03/21/2016	Qualified	Meng, Kenneth A	11/21/2014	Qualified
Banta, Thomas E.	03/16/2016	Qualified	Meyer, Michael D	02/18/2015	Qualified
Beanblossom, Brent Christian	01/09/2017	Qualified	Miller, Jr., Richard C.	12/30/2014	Qualified
Becker, Mark John	12/29/2015	Qualified	Mills, Richard C	03/17/2016	Qualified
Beebe, Susan Pollard	04/13/2015	Qualified	Minson, Gilbert J.	01/19/2016	Qualified
Bejma, Jeffrey Paul	01/10/2017	Qualified	Mlynek, Scott Alan	03/13/2015	Qualified
Benedict, William J.	12/27/2016	Qualified	Modi, Neelam S.	12/18/2014	Qualified
Beneke, Michael J.	12/02/2016	Qualified	Moeves, Tobias	03/29/2016	Qualified
Benz, Laurence N	12/08/2014	Qualified	Moore, Kevin C	03/04/2016	Qualified
Berg, Fran L	01/23/2015	Qualified	Morgan, Hoyt David	03/20/2015	Qualified

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Berger, Bryan C.	02/18/2015	Qualified	Moyer, R. Charles	08/09/2016	Qualified
Berger, James Alexander	06/17/2015	Qualified	Mudd, Rob	01/11/2016	Qualified
Berger, John August	06/30/2015	Qualified	Mullins, Gregory C.	11/16/2016	Qualified
Berning, Brian	02/18/2015	Qualified	Murdock, Shawn L.	12/21/2016	Qualified
Bickel III, Paul J	09/16/2016	Qualified	Murry, Wesley E	05/18/2015	Qualified
Bingham, Ronald E	05/06/2015	Qualified	Neace, John F.	01/24/2017	Qualified
Blandford, George E.	12/20/2016	Qualified	Needham, Philip	02/12/2015	Qualified
Boden, Dale J	03/15/2016	Qualified	Newman, Jill H.	01/14/2015	Qualified
Bork, Daniel P	12/30/2016	Qualified	Nguyen, Yung T.	01/09/2017	Qualified
Boyd, Cameron Reid	01/26/2016	Qualified	Nicholas, Nancy L.	01/22/2015	Qualified
Boyd, James R.	05/21/2015	Qualified	Nicholas, Thomas L.	05/14/2015	Qualified
Brand, George E.	01/20/2017	Qualified	Nixon, Mary	06/12/2015	Qualified
Brann, Helen Lisa	10/04/2016	Qualified	Nixon, Theodore Halstead	03/07/2017	Qualified
Bray, Chad	12/29/2015	Qualified	Noonan, Joseph E.	01/24/2017	Qualified
Bray, Scott	12/28/2015	Qualified	Ochsner, Christian F.	02/02/2017	Qualified
Bresser, Brett	06/17/2015	Qualified	O'Leary, Sean	01/23/2015	Qualified
Brown III, Owsley	05/20/2016	Qualified	Olenick, Stephen	03/13/2017	Qualified
Brown, Christina Lee	04/20/2015	Qualified	O'Reilly, James T	01/11/2016	Qualified
Brown, III, Robinson	01/20/2017	Qualified	Orzali, Peter J.	02/19/2015	Qualified
Brown, Kimberly F.	12/16/2015	Qualified	Osborn, Jr., Charles A.	12/16/2015	Qualified
Brown, Stephen L	05/14/2015	Qualified	Ostertag, Eric Michael	09/04/2015	Qualified
Bruck, John Mark	02/02/2016	Qualified	Pagano, Dominick Anthony	01/20/2017	Qualified
Burke, Brennan C.	03/03/2016	Qualified	Page, David A	03/17/2016	Qualified
Burkett, John N.	04/18/2017	Qualified	Patel, Amit B.	12/27/2016	Qualified
Butler, William P.	01/19/2017	Qualified	Patil, Santosh	03/15/2017	Qualified
Cain, Darren L.	05/02/2017	Qualified	Patterson II, James A.	03/22/2016	Qualified
Cain, David A.	03/03/2015	Qualified	Perman, Mark	01/14/2015	Qualified
Cain, John S.	02/17/2015	Qualified	Perry, Donald J	12/15/2015	Qualified
Cain, Rodney Charles	07/02/2015	Qualified	Petrik, Robert J.	12/28/2015	Qualified
Capossela, Theodore A	12/15/2015	Qualified	Pewitt, Stephen P.	06/30/2017	Qualified
Carlton, Gerald J.	09/06/2016	Qualified	Piecoro, Jr., John Joseph	11/28/2016	Qualified
Chadalawada, Satyanarayana	01/20/2017	Qualified	Piecoro, Lance T.	02/18/2016	Qualified
Chadha, Vikrampal	11/20/2016	Qualified	Pietromonaco, MaryAnn	06/17/2015	Qualified
Chan, Richard Tat Lee	01/12/2015	Qualified	Pitts, Donald Gregory	04/23/2015	Qualified
Chandler, Jr., William F.	01/09/2017	Qualified	Polson, Jack	05/20/2016	Qualified
Chapman, Gregory L	12/27/2016	Qualified	Powell, Patrick S	06/15/2015	Qualified
Charasika, James D	02/01/2016	Qualified	Rader, M. Elizabeth	12/15/2015	Qualified
Chengelis, Christopher Peter	01/09/2017	Qualified	Ragan, Steven R.	02/05/2015	Qualified
Chennareddy, Sudhakar B.	08/16/2017	Qualified	Ramsey, Neil P.	01/20/2017	Qualified
Cheripalli, Praveen	01/24/2017	Qualified	Rardin, Annie M.	08/19/2015	Qualified
Clay-Circle, Renee	01/11/2016	Qualified	Rardin, Donnie C.	08/19/2015	Qualified
Clay-Thomas, Amy Renee	01/11/2016	Qualified	Ravichandran, Vidya	11/29/2016	Qualified
Clements, Pat J.	12/27/2016	Qualified	Reed, III, W. Earl	01/30/2015	Qualified
Clements, Twyman Keene	03/15/2016	Qualified	Reilly, Timothy A	03/18/2016	Qualified
Cole, Vincent J.	12/16/2015	Qualified	Rice, Jardane M.	11/20/2016	Qualified
Collins, Andrew C.	07/24/2015	Qualified	Rice, Jr., George Johnson	05/19/2016	Qualified
Cooper, Brent	02/18/2015	Qualified	Richardson, Charles Todd	01/08/2015	Qualified

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Cooper, David H.	04/22/2016	Qualified	Richardson, David Tuell	01/11/2017	Qualified
Cox, Mary Kathleen	06/01/2015	Qualified	Richardson, Mary Towns	02/11/2015	Qualified
Cundiff, Clarence K.	04/20/2017	Qualified	Rix, Douglas Walter	02/14/2016	Qualified
Danehy, Jack C.	12/19/2016	Qualified	Robbins, Robert E	06/30/2015	Qualified
DelCotto, Laura Day	03/27/2017	Qualified	Robertson, Stephen T	02/18/2016	Qualified
Deo, Nikhil A	03/17/2016	Qualified	Robey, Ronald G	01/30/2015	Qualified
Desmarais, Normand G	03/10/2016	Qualified	Rogers, Fielding Allen	03/10/2016	Qualified
Devineni, Srinivasa Babu	01/20/2017	Qualified	Rooker, Daniel Kenyon	08/13/2015	Qualified
Diaz, Paul J.	01/09/2017	Qualified	Rosenberg, Alan M	01/11/2016	Qualified
Dicken, Kenneth H.	02/11/2015	Qualified	Roth, Bruce J.	02/07/2017	Qualified
Dickinson, Dean Kenneth	02/26/2016	Qualified	Roth, John B.	12/08/2016	Qualified
Donnally, James O.	01/11/2016	Qualified	Rothpletz, John Daniel	06/17/2015	Qualified
Dorning, John W.	02/20/2017	Qualified	Ruckdaeschel, Alexander M.	02/11/2016	Qualified
Dorsey, Scott	01/22/2015	Qualified	Rudd, William O	03/14/2016	Qualified
Dube, Richard	02/11/2015	Qualified	Ruffenach, Linda Marie	01/14/2015	Qualified
Dueber, John E	12/22/2015	Qualified	Russert, Benjamin J	06/17/2015	Qualified
Duncan, Brian	02/28/2017	Qualified	Ryan, Shawn	08/03/2015	Qualified
Ebrahimpour, Arman	10/04/2016	Qualified	Salamon, Michael	02/02/2015	Qualified
Evans, Richard Edwin	04/17/2015	Qualified	Samarasinghe, Dinuka	12/22/2016	Qualified
Fassler, Matt	08/03/2015	Qualified	Samarasinghe, Lalantha	01/23/2015	Qualified
Fehrenbacher, Dennis G.	01/24/2017	Qualified	Samarasinghe, Melanie	12/22/2016	Qualified
Ferguson, Deborah Lynn	02/02/2016	Qualified	Samarasinghe, Rushan Ayendra	12/22/2016	Qualified
Ferguson, James Michael	10/18/2016	Qualified	Sartori, Paul A.	02/26/2015	Qualified
Field, Richard Laidley	08/03/2015	Qualified	Sathe, Andrew David	08/03/2015	Qualified
Fincher, Ronald M	06/21/2016	Qualified	Sathe, Robert B.	03/11/2016	Qualified
Fiorini, Albert Edward	05/16/2015	Qualified	Saunders, Robert S.	01/22/2015	Qualified
Fischer, Gregory E	01/11/2017	Qualified	Sautel, Stephen D.	12/04/2015	Qualified
Flanagan, Matthew	02/12/2015	Qualified	Sautel, Terri A.	12/04/2015	Qualified
Flavin, Jr., Frank J.	05/03/2017	Qualified	Schackel, Eric L.	01/12/2015	Qualified
Forte, Eugene Andrew	12/15/2015	Qualified	Schackel, Richard L.	01/12/2015	Qualified
Foster, Jason Alton	01/23/2015	Qualified	Scheper, Charles R.	02/02/2016	Qualified
Fraser, Jr., Malcolm James	01/23/2015	Qualified	Schiavone, Todd Anthony	11/20/2016	Qualified
Frey, Robert E.	01/08/2016	Qualified	Schiowitz, Robert	01/08/2015	Qualified
Gaffney, Michelle B	07/08/2015	Qualified	Schlotman, John Michael	01/05/2016	Qualified
Galloway, Edward L.	04/03/2017	Qualified	Schlotman, Kyle	06/17/2015	Qualified
Ganster, John Hugo	12/15/2015	Qualified	Schnatter, Charles W	02/23/2015	Qualified
Geeding, Daniel W	12/15/2015	Qualified	Schroeder, Gregory L.	01/12/2016	Qualified
Gernert, Melissa T	10/19/2015	Qualified	Schy, Tony	04/01/2015	Qualified
Gernert, William R	10/19/2015	Qualified	Seal, Richard C	12/15/2015	Qualified
Ghanta, Guru P.	05/03/2017	Qualified	Sears, Richard S.	03/27/2017	Qualified
Ghanta, Praveen	01/20/2017	Qualified	Self, Thomas Benjamin	02/08/2016	Qualified
Ghanta, Rajesh	02/02/2017	Qualified	Shah, Rajesh G.	02/20/2017	Qualified
Ghanta, Ravi Kiran	02/02/2017	Qualified	Sherlock, Isaac Walter	06/30/2015	Qualified
Glasscock, Charles Edward	04/10/2017	Qualified	Shewmaker, Carl Ritchie	05/25/2017	Qualified
Gleis, Gregory E.	01/09/2017	Qualified	Shields, Christopher Brian	05/08/2017	Qualified
Glockner, Andrew Martin	12/16/2015	Qualified	Shiple, Tony L	12/15/2015	Qualified
Goodnight, David L	12/27/2016	Qualified	Short, Jeffrey William	03/26/2015	Qualified



Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Gordinier, Henry	12/13/2016	Qualified	Siegrist, John Thomas	08/11/2015	Qualified
Grable, Robert G.	12/13/2016	Qualified	Sigler, James David	02/26/2016	Qualified
Gramig, Steven B	03/20/2015	Qualified	Singal, Inder	04/10/2017	Qualified
Grant, Craig D	06/01/2015	Qualified	Sirchio, John Kristin	08/25/2015	Qualified
Griffin, Toby Andrew	03/13/2015	Qualified	Skaggs, Jr., Dale B.	11/20/2016	Qualified
Guthrie, Timothy F	12/22/2014	Qualified	Sleet, Harry	08/17/2015	Qualified
Haas, Eric	12/28/2015	Qualified	Smith, Stewart A	12/21/2016	Qualified
Habbert, John H	12/22/2015	Qualified	Somerville, Chris R	12/15/2015	Qualified
Hagan IV, George S.	03/22/2016	Qualified	Soriano, Alex L.	03/27/2017	Qualified
Halecky, Benedicta	02/12/2016	Qualified	Stegman, Brian J.	11/29/2016	Qualified
Hall, John R.	12/28/2016	Qualified	Steiden, Eric A.	06/17/2015	Qualified
Halter, Kevin B	12/16/2015	Qualified	Steinbock, Robert T	02/15/2016	Qualified
Haman, William W	12/15/2015	Qualified	Stengel, Bradford P.	01/09/2017	Qualified
Hand, Donald Reese	01/20/2015	Qualified	Stephany, Florine G	03/16/2015	Qualified
Hardwick, Donald R	01/11/2016	Qualified	Stigler, Charles M.	11/20/2016	Qualified
Hardy, David L	06/12/2015	Qualified	Strange, John Eric	08/21/2015	Qualified
Harmeyer, Gregory W	03/03/2016	Qualified	Streepey, Ben M	03/20/2015	Qualified
Harrell, Chet D.	01/12/2015	Qualified	Strench, William G	01/18/2017	Qualified
Hart, Mark C.	12/13/2016	Qualified	Stuedle, Joseph A.	12/08/2016	Qualified
Hawse, Alan R	04/28/2015	Qualified	Stuedle, Margaret Kristin	12/08/2016	Qualified
Heidrich, David P.	01/23/2015	Qualified	Suriano, Douglas Anthony	01/20/2017	Qualified
Heil, Carol L.	12/02/2016	Qualified	Sussman, Daniel Leonard	01/09/2017	Qualified
Heitker, Thomas Leo	01/20/2017	Qualified	Sutherland, Richard Keith	01/11/2016	Qualified
Heller, Elizabeth R.	01/09/2015	Qualified	Sweeney, Edward L.	03/04/2015	Qualified
Heller, Leonard E.	12/15/2014	Qualified	Switzer, Richard W.	06/30/2015	Qualified
Helmers, David L.	03/03/2015	Qualified	Tavakoli, Ron	01/20/2015	Qualified
Hertlein, Denice	12/19/2014	Qualified	Taylor, James Todd	12/15/2016	Qualified
Hill, Charles A.	01/09/2017	Qualified	Thompson, John C	03/10/2016	Qualified
Hinton, Theresa	02/28/2017	Qualified	Thornton, Matthew A.	12/13/2016	Qualified
Holland, John G.	11/28/2016	Qualified	Tichenor, Joe H.	08/21/2017	Qualified
Holoubek, Phil	02/11/2015	Qualified	Toomey, Hal W	05/14/2015	Qualified
Hoseus, Michael S.	12/27/2016	Qualified	Topits, John R.	08/13/2015	Qualified
Hughes, Dean Lee	12/31/2015	Qualified	Trager, Steven E.	04/13/2015	Qualified
Hunt IV, George Henry	12/22/2015	Qualified	Trumbull, Richard Scott	01/04/2017	Qualified
Hupman, Paul Steve	03/20/2015	Qualified	Ulmer, Daniel Conrad	06/01/2015	Qualified
Iaquinto, Barbara A.	12/27/2016	Qualified	Ulmer, Gary C	06/01/2015	Qualified
Jacobs, Joey	07/20/2016	Qualified	Valenzano, Kenneth J.	01/08/2015	Qualified
Jakobi, Larry Andrew	03/13/2015	Qualified	van Rooyen, Guy L	03/10/2016	Qualified
Jones, Jeffrey L	02/04/2016	Qualified	Veer, Purna	02/02/2017	Qualified
Jones, Steve M	05/20/2016	Qualified	Veith, Terrence Michael	12/30/2014	Qualified
Jones, Steven Edward	11/20/2016	Qualified	Vempati, Malati	01/20/2017	Qualified
Jones, Thomas Allen	12/21/2016	Qualified	Verst, Paul T.	03/29/2016	Qualified
Jones, Todd D.	08/02/2017	Qualified	Vogele, Matthew	07/02/2015	Qualified
Jsa, She-Tai Stanley	02/18/2016	Qualified	Walker, Winston Glenn	01/08/2015	Qualified
Kalikiri, Kishore	02/01/2017	Qualified	Ward, George D	03/06/2015	Qualified
Karem, Jason E.	03/07/2017	Qualified	Watts, Bobby	08/03/2015	Qualified
Karem, Sr., John R.	03/07/2017	Qualified	Wells, Robert M.	12/17/2015	Qualified

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Karlstrom, Anders	01/14/2015	Qualified	Wersching, James P.	07/02/2015	Qualified
Kautzman, Traci G.	02/18/2015	Qualified	Wesdorp, Benet Earl	12/28/2015	Qualified
Kazazian, Jr., Haig H.	02/23/2015	Qualified	Westheimer, Richard L	12/17/2015	Qualified
Kelley, Paul J	03/26/2015	Qualified	Wheeler, Mark Randall	06/08/2015	Qualified
Kelmanson, Louis R	12/04/2014	Qualified	Wilkins, Todd Christopher	01/21/2016	Qualified
Khiani, Kamal J	05/03/2017	Qualified	Willett, Joseph P	01/19/2016	Qualified
Kienzle, Barry G.	02/18/2015	Qualified	Willmoth, John H.	12/20/2016	Qualified
Kiliddar, Hussein	02/19/2015	Qualified	Wilson, Steven A.	02/17/2016	Qualified
King, Darren G.	12/29/2016	Qualified	Winbigler, David Brian	04/19/2017	Qualified
Kirchdorfer, James J	03/15/2016	Qualified	Winbigler, Douglas D.	05/03/2017	Qualified
Kirchdorfer, Mark T	03/15/2016	Qualified	Wiseman, Elizabeth Ulmer	06/01/2015	Qualified
Kirkwood, Robert Joseph	03/03/2016	Qualified	Wood, David Y	12/16/2015	Qualified
Klunk, Joseph A.	01/18/2017	Qualified	Wood, Phoebe A.	12/04/2014	Qualified
Knox, David George	05/01/2017	Qualified	Wright, Creighton B.	01/30/2015	Qualified
Koch, David A.	01/14/2015	Qualified	Wright, Terrence M	12/29/2015	Qualified
Kolli, Rajendra P.	01/20/2017	Qualified	Wright, William Steve	12/21/2015	Qualified
Kuhn, Robert J	01/13/2015	Qualified	Wulfeck, Andrew	02/18/2015	Qualified
Kulick, Roy M	12/15/2015	Qualified	Wulfeck, Kenneth W	01/11/2016	Qualified
Lackey, Doug	06/17/2015	Qualified	Young, Anthony John	12/22/2016	Qualified
Lampert, Mark N.	01/19/2016	Qualified	Young, Christopher H	01/05/2017	Qualified
Langdon, Gregory Lee	01/26/2016	Qualified	Young, Christopher Shane	04/14/2016	Qualified
Lange, Douglas B	02/18/2015	Qualified	Young, William Ryan	12/21/2015	Qualified
Lapinski, Sterling A.	12/30/2016	Qualified	Youngs, Heather	01/11/2016	Qualified
Le, Tao T.	12/13/2016	Qualified	Zalla, William R.	02/17/2016	Qualified
Lebder, Stephen P.	12/13/2016	Qualified	Zapp, Bradley R.	12/29/2015	Qualified
Lewis, Rebecca B.	01/05/2015	Qualified	Zapp, Robert W.	04/18/2017	Qualified
Littrell, Kenneth V.	02/28/2017	Qualified	Zhan, Chang-Guo	01/30/2015	Qualified
Livesay, Jr., Kenneth W.	03/07/2017	Qualified			



Kentucky Angel Investment Act

Investment Summary 2016

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Adaptive Technologies, LLC	Antonsson, Stefan	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Adaptive Technologies, LLC	Baggott, Stephen J	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Bruck, John Mark	Oldham	Information Technology & Communications	02/25/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Capossela, Theodore A	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Frey, Robert E.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$40,000	\$40,000	\$16,000
Adaptive Technologies, LLC	Ganster, John Hugo	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Geeding, Daniel W	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Habbert, John H	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Adaptive Technologies, LLC	Haman, William W	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Kulick, Roy M	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Mattix, Chadwick M	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	McKee, Donald F.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Adaptive Technologies, LLC	Minson, Gilbert J.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	O'Reilly, James T	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Petrik, Robert J.	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,500	\$10,500	\$4,200
Adaptive Technologies, LLC	Rader, M. Elizabeth	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Seal, Richard C	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Shipley, Tony L	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$15,000	\$15,000	\$6,000
Adaptive Technologies, LLC	Wilson, Steven A.	Oldham	Information Technology & Communications	02/25/2016	Investment Made	\$15,000	\$15,000	\$6,000
Adaptive Technologies, LLC	Wright, Terrence M	Oldham	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
AffiniCorp LLC	Baluyut, Arlene R	Jefferson	Health & Human Development	03/31/2016	Investment Made	\$107,000	\$100,000	\$40,000
Comfy Cow, LLC	Brown, Kimberly F.	Jefferson	Materials Science & Advanced Manufacturing	01/28/2016	Investment Made	\$100,000	\$50,000	\$20,000
Comfy Cow, LLC	Osborn, Jr., Charles A.	Jefferson	Materials Science & Advanced Manufacturing	01/28/2016	Investment Made	\$100,000	\$50,000	\$20,000
Comfy Cow, LLC	Rosenberg, Alan M	Jefferson	Materials Science & Advanced Manufacturing	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Digital Comply, Inc.	Fischer, Gregory E	Hardin	Information Technology & Communications	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Digital Comply, Inc.	Glasscock, Charles Edward	Hardin	Information Technology & Communications	02/25/2016	Investment Made	\$250,000	\$250,000	\$100,000
Digital Comply, Inc.	Patterson II, James A.	Hardin	Information Technology & Communications	02/25/2016	Investment Made	\$250,000	\$250,000	\$100,000
Digital Comply, Inc.	Sautel, Stephen D.	Hardin	Information Technology & Communications	01/28/2016	Investment Made	\$500,000	\$499,890	\$199,956
Digital Comply, Inc.	Sautel, Terri A.	Hardin	Information Technology & Communications	01/28/2016	Investment Made	\$500,000	\$282,778	\$113,111



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Double Double D, LLC	Ferguson, James Michael	Boone	Materials Science & Advanced Manufacturing	10/27/2016	Investment Made	\$50,000	\$50,000	\$20,000
Double Double D, LLC	Rice, Jr., George Johnson	Boone	Materials Science & Advanced Manufacturing	05/26/2016	Investment Made	\$250,000	\$250,000	\$100,000
Edj Analytics, LLC	Boden, Dale J	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
Edj Analytics, LLC	Fischer, Gregory E	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Glasscock, Charles Edward	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, James J	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, Mark T	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Patterson II, James A.	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Rudd, William O	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Thompson, John C	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Young, Christopher H	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Fanbloom, Inc.	Cain, David A.	Kenton	Information Technology & Communications	05/26/2016	Inactive	\$20,000	\$0	\$0
Fanbloom, Inc.	Klunk, Joseph A.	Kenton	Information Technology & Communications	04/28/2016	Inactive	\$50,000	\$0	\$0
Fanbloom, Inc.	Murdock, Shawn L.	Kenton	Information Technology & Communications	05/26/2016	Inactive	\$25,000	\$0	\$0
Fanbloom, Inc.	Young, Christopher Shane	Kenton	Information Technology & Communications	04/28/2016	Inactive	\$25,000	\$0	\$0
First Care Holding, LLC	Carlton, Gerald J.	Laurel	Health & Human Development	09/29/2016	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Fincher, Ronald M	Laurel	Health & Human Development	06/30/2016	Investment Made	\$100,000	\$100,000	\$50,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
First Care Holding, LLC	Jacobs, Joey	Laurel	Health & Human Development	07/28/2016	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Jones, Jeffrey L	Laurel	Health & Human Development	02/25/2016	Investment Made	\$200,000	\$200,000	\$100,000
First Care Holding, LLC	King, Darren	Laurel	Health & Human Development	01/28/2016	Investment Made	\$50,000	\$18,000	\$9,000
First Care Holding, LLC	King, Darren	Laurel	Health & Human Development	06/30/2016	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Polson, Jack	Laurel	Health & Human Development	05/26/2016	Inactive	\$100,000	\$0	\$0
MobileMedTek Holdings, Inc.	Steinbock, Robert T	Jefferson	Health & Human Development	02/25/2016	Investment Made	\$174,000	\$174,000	\$69,600
Nexeon MedSystems Inc	Ruckdaeschel, Alexander M.	Fayette	Bioscience	02/25/2016	Inactive	\$350,000	\$0	\$0
NX Prenatal Inc.	Deo, Nikhil A	Jefferson	Bioscience	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
NX Prenatal Inc.	Page, David A	Jefferson	Bioscience	03/31/2016	Investment Made	\$30,000	\$20,000	\$8,000
NX Prenatal Inc.	Reilly, Timothy A	Jefferson	Bioscience	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Langdon, Gregory Lee	Jefferson	Information Technology & Communications	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Self, Thomas Benjamin	Jefferson	Information Technology & Communications	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Young, Christopher H	Jefferson	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Burkett, John	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Hardwick, Donald R	Jefferson	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Jones, Thomas Allen	Jefferson	Other New Economy Knowledge Based Activity	05/26/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Jsa, She-Tai Stanley	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Inactive	\$100,000	\$0	\$0



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Rabbit Hole Spirits, LLC	Khiani, Kamal J	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Mackin, Craig L	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$200,000	\$200,000	\$80,000
Rabbit Hole Spirits, LLC	Robertson, Stephen T	Jefferson	Other New Economy Knowledge Based Activity	02/25/2016	Inactive	\$100,000	\$0	\$0
Rabbit Hole Spirits, LLC	Sigler, James David	Jefferson	Other New Economy Knowledge Based Activity	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Strench, William G	Jefferson	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Sutherland, Richard Keith	Jefferson	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$200,000	\$200,000	\$80,000
RedLeaf Biologics, Inc.	Baker, William True	Jessamine	Bioscience	01/28/2016	Investment Made	\$15,000	\$15,000	\$6,000
RedLeaf Biologics, Inc.	Dueber, John E	Jessamine	Bioscience	01/28/2016	Investment Made	\$10,000	\$15,000	\$4,000
RedLeaf Biologics, Inc.	Ferguson, Deborah Lynn	Jessamine	Bioscience	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Halecky, Benedicta	Jessamine	Bioscience	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Lampert, Mark N.	Jessamine	Bioscience	01/28/2016	Investment Made	\$85,000	\$85,000	\$34,000
RedLeaf Biologics, Inc.	Rix, Douglas Walter	Jessamine	Bioscience	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Somerville, Chris R	Jessamine	Bioscience	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
RedLeaf Biologics, Inc.	Youngs, Heather	Jessamine	Bioscience	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Smart Farm Systems, Inc.	Bork, Daniel P	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$25,000	\$24,998	\$9,999
Smart Farm Systems, Inc.	Clay-Circle, Renee	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Smart Farm Systems, Inc.	Clay-Thomas, Amy Renee	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$30,000	\$29,998	\$11,999



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Smart Farm Systems, Inc.	Cole, Vincent J.	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$75,000	\$74,999	\$30,000
Smart Farm Systems, Inc.	Goodnight, David L.	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$50,000	\$49,999	\$20,000
Smart Farm Systems, Inc.	Wells, Robert M.	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$100,000	\$100,000	\$40,000
Smart Farm Systems, Inc.	Young, Christopher H.	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$50,000	\$49,999	\$20,000
Smart Farm Systems, Inc.	Young, William Ryan	Jessamine	Other New Economy Knowledge Based Activity	01/28/2016	Investment Made	\$250,000	\$249,999	\$99,999
Space Tango, Inc.	Hughes, Dean Lee	Fayette	Other New Economy Knowledge Based Activity	01/28/2016	Inactive	\$100,000	\$0	\$0
Space Tango, Inc.	Hunt IV, George Henry	Fayette	Other New Economy Knowledge Based Activity	01/28/2016	Inactive	\$35,000	\$0	\$0
Space Tango, Inc.	Rogers, Fielding Allen	Fayette	Other New Economy Knowledge Based Activity	03/31/2016	Inactive	\$50,000	\$0	\$0
Stretch Fabrics, LLC	Zalla, William R.	Kenton	Materials Science & Advanced Manufacturing	02/25/2016	Investment Made	\$50,000	\$50,000	\$20,000
Sunstrand, LLC	Bickel III, Paul J.	Jefferson	Materials Science & Advanced Manufacturing	09/29/2016	Investment Made	\$53,000	\$74,200	\$21,200
SuperFanU, Inc.	Dickinson, Dean Kenneth	Jefferson	Information Technology & Communications	03/31/2016	Investment Made	\$100,000	\$100,000	\$40,000
SuperFanU, Inc.	Goodnight, David L.	Jefferson	Information Technology & Communications	03/31/2016	Investment Made	\$25,000	\$25,000	\$10,000
SuperFanU, Inc.	Klunk, Joseph A.	Jefferson	Information Technology & Communications	02/25/2016	Investment Made	\$50,000	\$50,000	\$20,000
Tier 1 Accelerated Health, LLC	Banta, Thomas E.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$10,000	\$10,000	\$4,000
Tier 1 Accelerated Health, LLC	Butler, William P.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$75,000	\$70,000	\$28,000
Tier 1 Accelerated Health, LLC	Hagan IV, George S.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$10,000	\$10,000	\$4,000
Tier 1 Accelerated Health, LLC	Harmeyer, Gregory W.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$114,526	\$114,526	\$45,810



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Tier 1 Accelerated Health, LLC	Moore, Kevin C	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$114,526	\$114,526	\$45,810
Tier 1 Accelerated Health, LLC	Sathe, Robert B.	Kenton	Information Technology & Communications	03/31/2016	Inactive	\$50,000	\$0	\$0
Tier 1 Accelerated Health, LLC	Scheper, Charles R.	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Kirkwood, Robert Joseph	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Piccoro, Jr., John Joseph	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$20,000	\$20,000	\$8,000
Urban Technology Inc.	Piccoro, Jr., John Joseph	Kenton	Information Technology & Communications	12/08/2016	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Piccoro, Lance T.	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$25,000	\$25,000	\$10,000
Urban Technology Inc.	Stegman, Brian J.	Kenton	Information Technology & Communications	05/26/2016	Investment Made	\$25,000	\$25,000	\$10,000
Urban Technology Inc.	Stegman, Brian J.	Kenton	Information Technology & Communications	12/08/2016	Investment Made	\$10,000	\$10,000	\$4,000
Vivorte (DE), Inc.	Schlotman, John Michael	Jefferson	Bioscience	01/28/2016	Investment Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Siegrist, John Thomas	Jefferson	Bioscience	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Becker, Mark John	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$25,000	\$0	\$0
Wyzerr Inc.	Bray, Chad	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Bray, Scott	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Burke, Brennan C.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$15,000	\$15,000	\$6,000
Wyzerr Inc.	Frey, Robert E.	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$40,000	\$0	\$0
Wyzerr Inc.	Geeding, Daniel W	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$10,000	\$0	\$0



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Wyzerr Inc.	Haas, Eric	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Klunk, Joseph A.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Moeves, Tobias	Kenton	Information Technology & Communications	03/31/2016	Inactive	\$10,000	\$0	\$0
Wyzerr Inc.	Murdock, Shawn L.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Sathe, Robert B.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$30,000	\$30,000	\$12,000
Wyzerr Inc.	Scheper, Charles R.	Kenton	Information Technology & Communications	02/25/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Schlotman, John Michael	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$300,000	\$300,000	\$120,000
Wyzerr Inc.	Schroeder, Gregory L.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Verst, Paul T.	Kenton	Information Technology & Communications	01/28/2016	Inactive	\$10,000	\$0	\$0
Wyzerr Inc.	Verst, Paul T.	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Wesdorp, Benet Earl	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Wilkins, Todd Christopher	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Young, Christopher Shane	Kenton	Information Technology & Communications	03/31/2016	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Zapp, Bradley R.	Kenton	Information Technology & Communications	01/28/2016	Investment Made	\$10,000	\$10,000	\$4,000
KAIA Total						\$8,813,552	\$7,368,412	\$2,998,684



Kentucky Angel Investment Act

Investment Summary 2017

Updated as of 5/25/17

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
451 Tech L.L.C.	Iaquinto, Barbara A.	Fayette	Information Technology & Communications	01/26/2017	IA - Ineligible	\$100,000	\$0	\$0
Advanced Energy Materials, LLC	Abbu, Ramanadham	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Chadalawada, Satyanarayana	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Advanced Energy Materials, LLC	Devineni, Srinivasa Babu	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Advanced Energy Materials, LLC	Ghanta, Guru P.	Jefferson	Materials Science & Advanced Manufacturing	05/25/2017	Active - Awaiting Investment	\$50,000		\$20,000
Advanced Energy Materials, LLC	Ghanta, Praveen	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Kolli, Rajendra P	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Pagano, Dominick Anthony	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$500,000	\$500,000	\$200,000
Advanced Energy Materials, LLC	Patil, Santosh	Jefferson	Materials Science & Advanced Manufacturing	03/30/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Vempati, Malati	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Jones, Steven Edward	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Mullins, Gregory C.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Rice, Jardane M.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
All Around Care LLC	Schiavone, Todd Anthony	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Skaggs Jr., Dale B.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Bexion Pharmaceuticals, Inc.	Butler, William P.	Kenton	Health & Human Development	02/23/2017	Active - Investment Made	\$500,000	\$500,000	\$200,000
Bexion Pharmaceuticals, Inc.	Zapp, Robert	Kenton	Health & Human Development	04/27/2017	Active - Investment Made	\$250,000	\$250,000	\$100,000
Comfy Cow, LLC	Singal, Inder	Jefferson	Materials Science & Advanced Manufacturing	04/27/2017	Active - Awaiting Investment	\$100,000		\$40,000
CompleteSet, Inc.	Brand, George E.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Active - Investment Made	\$75,000	\$75,000	\$30,000
CompleteSet, Inc.	Glasscock, Charles Edward	Kenton	Other New Economy Knowledge Based Activity	04/27/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
CompleteSet, Inc.	Klunk, Joseph A.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
CompleteSet, Inc.	Murdock, Shawn L.	Kenton	Other New Economy Knowledge Based Activity	01/26/2017	Active - Investment Made	\$20,000	\$19,999	\$8,000
Double Double D, LLC	Suriano, Douglas Anthony	Boone	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$250,000	\$150,000	\$60,000
Edumedics LLC	Chadha, Vikrampal	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$20,000	\$20,000	\$8,000
Edumedics LLC	Danehy, Jack C.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Heil, Carol L.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$30,000	\$25,000	\$10,000
Edumedics LLC	Le, Tao T	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Nguyen, Yung T.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Skaggs Jr., Dale B.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000



	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Small Business								
Edumedics LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Taylor, James Todd	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$300,000	\$300,000	\$120,000
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$25,000
Flying Axes, Inc.	Knox, David George	Jefferson	Information Technology & Communications	05/25/2017	Active - Awaiting Investment	\$50,000		\$20,000
Frogdice, Inc.	Glasscock, Charles Edward	Fayette	Information Technology & Communications	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Frogdice, Inc.	Klunk, Joseph A.	Fayette	Information Technology & Communications	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Frogdice, Inc.	Strench, William G	Fayette	Information Technology & Communications	01/26/2017	Active - Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Flavin, Jr., Frank J.	Kenton	Materials Science & Advanced Manufacturing	05/25/2017	Active - Awaiting Investment	\$10,000		\$4,000
Gourmet Renee LLC	Olenick, Stephen	Kenton	Materials Science & Advanced Manufacturing	03/30/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Danehy, Jack C.	Oldham	Information Technology & Communications	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Healthcare Asset Network, Inc.	Lapinski, Sterling A	Oldham	Information Technology & Communications	01/26/2017	Active - Investment Made	\$17,143	\$17,143	\$6,857
Healthcare Asset Network, Inc.	Lunsford, William Bruce	Oldham	Information Technology & Communications	01/26/2017	Active - Investment Made	\$150,000	\$150,000	\$60,000
Healthcare Asset Network, Inc.	Skaggs Jr., Dale B.	Oldham	Information Technology & Communications	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Stigler, Charles M.	Oldham	Information Technology & Communications	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Trumbull, Richard Scott	Oldham	Information Technology & Communications	01/26/2017	Active - Investment Made	\$137,141	\$137,141	\$54,856
Hera Testing Laboratories, Inc.	Chengelis, Christopher Peter	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Hall, John R.	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Hera Testing Laboratories, Inc.	Luftman, Brian A.	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$20,000	\$20,000	\$8,000
Hera Testing Laboratories, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Hippo Manager Software, Inc.	Cundiff, Clarence K.	Fayette	Information Technology & Communications	04/27/2017	Active - Investment Made	\$10,000	\$10,000	\$4,000
Hippo Manager Software, Inc.	Hoseus, Michael S	Fayette	Information Technology & Communications	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Hippo Manager Software, Inc.	Luftman, Brian A.	Fayette	Information Technology & Communications	01/26/2017	Active - Investment Made	\$10,000	\$10,000	\$4,000
Hippo Manager Software, Inc.	Smith, Stewart A	Fayette	Information Technology & Communications	01/26/2017	Active - Investment Made	\$10,000	\$10,000	\$4,000
Liberate Medical (DE), Inc.	Diaz, Paul J.	Oldham	Bioscience	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Liberate Medical (DE), Inc.	Hoseus, Michael S	Oldham	Bioscience	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Lucina Health, Inc.	Fischer, Gregory E	Jefferson	Information Technology & Communications	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Lucina Health, Inc.	Shields, Christopher Brian	Jefferson	Information Technology & Communications	05/25/2017	Active - Awaiting Investment	\$179,420		\$71,768
MER Ventures LLC	Young, Anthony John	Jefferson	Information Technology & Communications	01/26/2017	IA - Ineligible	\$200,000	\$0	\$0
Moonshine, Beer & BBQ Co.	Fehrenbacher, Dennis G.	Lyon	Materials Science & Advanced Manufacturing	01/26/2017	IA - Ineligible	\$400,000	\$0	\$0
Neuronetrix Solutions, LLC	Brown, III, Robinson	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Hill, Charles A.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$150,000	\$150,000	\$60,000
Neuronetrix Solutions, LLC	Ramsey, Neil P.	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$300,000	\$300,000	\$120,000
Neuronetrix Solutions, LLC	Richardson, David Tuell	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Stengel, Bradford P	Jefferson	Health & Human Development	01/26/2017	Active - Investment Made	\$150,000	\$150,000	\$60,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
NX Development Corp.	Beanblossom, Brent Christian	Fayette	Health & Human Development	01/26/2017	Active - Investment Made	\$150,000	\$150,000	\$60,000
NX Development Corp.	Sussman, Daniel Leonard	Fayette	Health & Human Development	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Beneke, Michael J	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$300,000	\$300,000	\$120,000
Patriot Brands LLC	Blandford, George E	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Gordinier, Henry	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$150,000	\$150,000	\$60,000
Patriot Brands LLC	Hart, Mark C	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Winbigler, David Brian	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Winbigler, David Brian	Jefferson	Materials Science & Advanced Manufacturing	04/27/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Winbigler, Douglas D.	Jefferson	Materials Science & Advanced Manufacturing	05/25/2017	Active - Awaiting Investment	\$100,000		\$40,000
PowerTech Water, LLC	Goodnight, David L	Fayette	Environmental & Energy Technology	01/26/2017	IA - Ineligible	\$15,000	\$0	\$0
PowerTech Water, LLC	Young, Christopher H	Fayette	Environmental & Energy Technology	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Abbas, Gregory M	Jefferson	Other New Economy Knowledge Based Activity	05/25/2017	Active - Awaiting Investment	\$50,000		\$20,000
Rabbit Hole Spirits, LLC	Burkett, John	Jefferson	Other New Economy Knowledge Based Activity	04/27/2017	Active - Investment Made	\$22,800	\$22,800	\$9,120
Rabbit Hole Spirits, LLC	Cain, Darren L.	Jefferson	Other New Economy Knowledge Based Activity	05/25/2017	Active - Awaiting Investment	\$50,000		\$20,000
Rabbit Hole Spirits, LLC	Chandler, Jr., William F.	Jefferson	Other New Economy Knowledge Based Activity	01/26/2017	Active - Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Jones, Thomas Allen	Jefferson	Other New Economy Knowledge Based Activity	01/26/2017	Active - Investment Made	\$100,000	\$60,000	\$24,000
Rabbit Hole Spirits, LLC	Khiani, Kamal J	Jefferson	Other New Economy Knowledge Based Activity	05/25/2017	Active - Awaiting Investment	\$23,000		\$9,200



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Smart Farm Systems, Inc.	Bork, Daniel P	Jessamine	Other New Economy Knowledge Based Activity	01/26/2017	Active - Investment Made	\$25,000	\$24,998	\$9,999
Space Tango, Inc.	Clements, Pat J	Fayette	Other New Economy Knowledge Based Activity	01/26/2017	Active - Investment Made	\$20,000	\$20,000	\$8,000
Sunstrand, LLC	Gleis, Gregory E.	Jefferson	Materials Science & Advanced Manufacturing	01/26/2017	Active - Investment Made	\$53,000	\$53,000	\$21,200
Switcher Inc	Holland, John G	Jefferson	Information Technology & Communications	01/26/2017	Active - Investment Made	\$10,000	\$10,000	\$4,000
Switcher Inc	Willmoth, John H	Jefferson	Information Technology & Communications	01/26/2017	Active - Investment Made	\$40,000	\$40,000	\$16,000
Transposagen Biopharmaceuticals, Inc.	Bejma, Jeffrey Paul	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Dinuka	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Melanie	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Rushan Ayendra	Fayette	Bioscience	01/26/2017	Active - Investment Made	\$125,000	\$125,000	\$50,000
Transposagen Biopharmaceuticals, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/2017	IA - Ineligible	\$150,000	\$0	\$0
Urban Shipper Technology Inc.	Heitker, Thomas Leo	Kenton	Information Technology & Communications	01/26/2017	Active - Investment Made	\$20,000	\$20,000	\$8,000
Vita-Stat LLC	Danehy, Jack C.	Jefferson	Information Technology & Communications	01/26/2017	Active - Investment Made	\$300,000	\$300,000	\$120,000
Vivorte (DE), Inc.	Murdock, Shawn L.	Jefferson	Bioscience	01/26/2017	Active - Investment Made	\$20,000	\$20,000	\$8,000
Vivorte (DE), Inc.	Roth, John B	Jefferson	Bioscience	01/26/2017	Active - Investment Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Stuedle, Joseph A.	Jefferson	Bioscience	01/26/2017	Active - Investment Made	\$10,000	\$10,000	\$4,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Vivorte (DE), Inc.	Stuedle, Margaret Kristin	Jefferson	Bioscience	01/26/2017	Active - Investment Made	\$15,000	\$15,000	\$6,000
KAIA Total*						\$8,497,504	\$6,875,082	\$3,000,000
KAIA Previous Years								\$5,998,684
KIFA Allocation**								\$24,945,672
Grand Total***								\$33,944,356

Status Description:

- *Active - Awaiting Investment* - Investment application has been approved by KEDFA but investment not yet confirmed.
- *Active - Investment Made* - Investor made the qualified investment and submitted sufficient proof by the required deadlines.
- *IA - Ineligible*- Investor failed to make the investment or submit sufficient documentation by the required deadlines.

* The total amount of tax credits available for the Kentucky Angel Investment Act program shall not exceed \$3,000,000 per calendar year.

** Credits approved through the Kentucky Investment Fund Act.

*** The total amount of tax credits awarded for both the Kentucky Angel Investment Act and the Kentucky Investment Fund Act cannot exceed \$40,000,000 for all years.