

Kentucky Angel Investment Tax Credit

Statutorily Required Annual Reports

FY 2017-2018





Kentucky Angel Investment Act

List of Qualified Small Businesses as of 9/28/2018

The following businesses have been certified as Qualified Small Businesses under the Kentucky Angel Investment Act (KRS 154.20-230 through 240). A small business must be on this list and have maintained "Qualified" status to be eligible for consideration of a Qualified Investment by a Qualified Investor. The Cabinet for Economic Development does not perform financial screening or due diligence on the companies listed here and limits its certification to the statutory parameters for a Qualified Small Business. Refer to the Kentucky Angel Investment Act Program Fact Sheet for information on Qualified Small Business eligibility criteria.

The Qualified Small Business designation applies only to the Kentucky Angel Investment Act program. Firms on this list may or may not qualify as a small business for other programs with differing small business criteria.

Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
1020 Digital, LLC	1900 Three Springs Road	Bowling Green	Warren	www.cnx.io	Information Technology & Communications	06/03/2015	Qualified
451 Tech L.L.C.	153 Bittersweet Way	Lexington	Fayette	www.451.tech	Information Technology & Communications	12/28/2016	Qualified
Adaptive Technologies, LLC	6001 Claymont Village Drive, Suite 6	Crestwood	Oldham	www.mobilefit.com	Information Technology & Communications	02/03/2016	Qualified
Advanced Energy Materials, LLC	311 E Lee St	Louisville	Jefferson	www.advancedenergy.mat.com	Materials Science & Advanced Manufacturing	08/16/2017	Qualified
AffiniCorp LLC	2307 River Road, Ste 101	Louisville	Jefferson	www.affinicorp.com	Health & Human Development	03/24/2016	Qualified
ALKYMOS, Inc.	1300 Golf Course Circle	Lexington	Fayette	www.alkymos.com	Health & Human Development	05/06/2015	Qualified
All Around Care LLC	2335 West Oak Street	Louisville	Jefferson	www.allaroundhealthcare.com	Health & Human Development	12/13/2016	Qualified
AroVitas, LLC	2705 Running Creek Dr Hemp Research Campus	Florence	Boone	www.arovitas.com	Information Technology & Communications	03/19/2018	Qualified
Atalo Holdings, Inc.	4274 Colby Road	Winchester	Clark	www.ataloholdings.com	Bioscience	04/30/2018	Qualified



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
Baqua, Inc.	325 W Main St, Third Floor 201 East Jefferson Street, Suite 302	Lexington	Fayette	www.baqua.com	Other New Economy Knowledge Based Activity	01/02/2018	Qualified
Bert Thin Films, LLC		Louisville	Jefferson	www.berthinfilms.com	Materials Science & Advanced Manufacturing	12/18/2017	Qualified
Bexion Pharmaceuticals, Inc.	632 Russell Street	Covington	Kenton	www.bexionpharma.com	Health & Human Development	06/11/2018	Qualified
Blue222 LLC	415 E Market St #101	Louisville	Jefferson	www.blue222.com	Information Technology & Communications	07/24/2017	Qualified
Braxton Brewing Company, LLC	27 W 7th St 9418 Norton Commons Blvd, Suite 201	Covington	Kenton	www.braxtonbrewing.com	Materials Science & Advanced Manufacturing	04/01/2015	Qualified
Breath Diagnostics, Inc.		Prospect	Jefferson	www.breathdiagnosticsinc.com	Health & Human Development	12/13/2016	Qualified
Circus Mojo, LLC	326 Elm St 235 South Fifth Street, Fourth Floor	Ludlow	Kenton	www.circusmojo.com	Health & Human Development	07/21/2017	Qualified
Community Core LLC		Louisville	Jefferson	www.findcra.com	Information Technology & Communications	07/25/2017	Qualified
CompleteSet, Inc.	910 Madison Avenue 2393 Alumni Drive, Suite 201	Covington	Kenton	www.completeset.com	Other New Economy Knowledge Based Activity	04/10/2017	Qualified
Construction Industry Resources LLC		Lexington	Fayette	www.myclma.com	Information Technology & Communications	07/24/2017	Qualified
CrossCoat Medical, LLC	1501 Bull Lea Road, Suite 103	Lexington	Fayette	www.crosscoatmedical.com	Health & Human Development	07/25/2017	Qualified
CTL Fuels, Inc.	1648 N Main Street	Hazard	Perry	www.ctffuels.com	Materials Science & Advanced Manufacturing	04/03/2017	Qualified
Cuddle Clones LLC	624 W. Main St., 3rd Floor	Louisville	Jefferson	www.cuddleclones.com	Other New Economy Knowledge Based Activity	12/26/2017	Qualified
Dental Exchange Inc.	1302 Clear Springs Trace 65 Cummings Drive, Suite B	Louisville	Jefferson	www.thedentalexchange.com	Information Technology & Communications	02/20/2015	Qualified
Double Double D, LLC		Walton	Boone	www.paperwaterbottle.com	Materials Science & Advanced Manufacturing	01/02/2018	Qualified
Earthineer, LLC	1175 KY Hwy 16 1999 Richmond Road, Suite 300	Glencoe	Gallatin	www.earthineer.com	Information Technology & Communications	04/01/2015	Qualified
eCoach, LLC		Lexington	Fayette	www.ecoachsports.com	Information Technology & Communications	08/01/2017	Qualified
EdjAnalytics, LLC	732 E. Market Street	Louisville	Jefferson	www.edjanalytics.com	Other New Economy Knowledge Based Activity	04/27/2018	Qualified



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
EdjSports, LLC	732 E Market Street 201 East Jefferson Street, Suite 301	Louisville	Jefferson	www.edjsports.com	Other New Economy Knowledge Based Activity	05/22/2018	Qualified
Edumedics LLC	1501 Bull Lea Road Suite 105	Louisville	Jefferson	http://edumedics.com/about/	Health & Human Development	12/18/2017	Qualified
EIE Materials Inc.	607 W Main St #002	Louisville	Fayette	www.lumenairinc.com	Materials Science & Advanced Manufacturing	04/30/2018	Qualified
Enable AI Inc	1752 Highway 192 W	Louisville	Jefferson	http://enable.ai/	Information Technology & Communications	12/13/2017	Qualified
First Care Holding, LLC	146 N Clay St	London	Laurel	www.firstcareclinics.com	Health & Human Development	12/27/2016	Qualified
Flying Axes, Inc.	2858 Frankfort Ave	Louisville	Jefferson	www.flyingaxes.com	Information Technology & Communications	05/01/2017	Qualified
Forest Giant, Inc.	400 W 3rd Street 1204 Winchester Road Suite 275	Louisville	Jefferson	forestgiant.com	Information Technology & Communications	01/13/2017	Qualified
Fourth Wall, L.L.C.	112 West Pike Street	Covington	Kenton	www.theatrehouse.com	Other New Economy Knowledge Based Activity	12/21/2017	Qualified
Frogdice, Inc.	322 Elm St	Lexington	Fayette	www.frogdice.com	Information Technology & Communications	01/18/2017	Qualified
Goby Holdings Inc.	13710 Saddlecreek Drive	Covington	Kenton	www.gopapr.com	Information Technology & Communications	12/13/2016	Qualified
Gourmet Renee LLC	805 Buck Court	Ludlow	Kenton	www.bircus.com	Materials Science & Advanced Manufacturing	04/27/2018	Qualified
GoWild LLC	2920 Fairview Drive	Louisville	Jefferson	www.timetogowild.com	Information Technology & Communications	01/04/2018	Qualified
Greenlight CE Partners, L.L.C.	112 Esplanade 9418 Norton Commons Blvd, Suite 206	Erlanger	Kenton	www.fearthegun.com	Other New Economy Knowledge Based Activity	03/16/2015	Qualified
Gryphon Environmental, LLC	2277 Thunderstick Drive, Suite 500	Owensboro	Daviess	www.2gryphon.com	Environmental & Energy Technology	01/04/2018	Qualified
Gun Media Holdings, Inc.	112 W Pike St	Lexington	Fayette	www.healthcareassetnetwork.com	Information Technology & Communications	05/07/2018	Qualified
Healthcare Asset Network, Inc.	112 W Pike St 2277 Thunderstick Drive, Suite 500	Prospect	Jefferson	www.healthcareassetnetwork.com	Information Technology & Communications	12/15/2016	Qualified
Healthcast US Inc	112 W Pike St 2277 Thunderstick Drive, Suite 500	Covington	Kenton	www.health-cast.com	Information Technology & Communications	12/08/2016	Qualified
Hera Testing Laboratories, Inc.	112 W Pike St 2277 Thunderstick Drive, Suite 500	Lexington	Fayette	www.herabiolabs.com	Information Technology & Communications	12/08/2016	Qualified
					Bioscience	01/04/2018	Qualified



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Hippo Manager Software, Inc.	110 W Vine Street, 4th Floor	Lexington	Fayette	http://hippomanager.com	Information Technology & Communications	04/19/2017	Qualified
Horse Racing Labs LLC	714 West Main Street	Louisville	Jefferson	www.horseracingnation.com	Other New Economy	11/02/2016	Qualified
Hummingbird Nano, Inc.	1708 Jaggie Fox Way	Lexington	Fayette	www.hummingbirdnano.com	Knowledge Based Activity Materials Science & Advanced Manufacturing	04/27/2018	Qualified
Hyalynx Inc.	1822 North Main Street	Madisonville	Hopkins	www.hyalynx.com	Bioscience	12/14/2017	Qualified
Intralink Spine, Inc.	1501 Bull Lea Road, Suite 103	Lexington	Fayette	www.intralinkspine.com	Health & Human Development	07/25/2017	Qualified
IREPORTSOURCE Inc.	112 W Pike Street	Covington	Kenton	www.ireportsource.com	Information Technology & Communications	11/22/2016	Qualified
Jems and Joules, Inc.	190 Market St	Lexington	Fayette		Other New Economy	02/01/2018	Qualified
Liberate Medical (DE), Inc.	6400 Westwind Way, Suite A	Crestwood	Oldham		Knowledge Based Activity		
Lucina Health, Inc.	10612 Meeting Street, Suite 101	Prospect	Jefferson	www.lucinahealth.com	Bioscience	01/04/2017	Qualified
Lunacy Productions, LLC	6009 Brownsboro Park Blvd Suite G	Louisville	Jefferson		Information Technology & Communications	05/08/2017	Qualified
M B Device LLC	1044 East Chestnut Street	Louisville	Jefferson	www.mbddevice.com	Other New Economy	05/13/2015	Qualified
MailHaven Inc	201 East Jefferson St, Suite 110C	Louisville	Jefferson	www.mailhaven.co	Health & Human Development	07/07/2017	Qualified
MakeTime Inc.	446 East High Street #110	Lexington	Fayette	www.maketime.io	Information Technology & Communications	03/08/2018	Qualified
MEP Equine Solutions, LLC	3905 English Oak Circle	Lexington	Fayette	www.theparasightssystem.com	Information Technology & Communications	02/13/2015	Qualified
MER Ventures LLC	15310 Crystal Springs Way	Louisville	Jefferson	www.servicebank.com	Health & Human Development	04/27/2018	Qualified
MobileMedTek Holdings, Inc.	1205 E. Washington St., Ste 115	Louisville	Jefferson	http://mobilemedtek.com	Information Technology & Communications	02/16/2016	Qualified
MobileServe LLC	789 E. Oak Street, Suite 4	Louisville	Jefferson	www.mobileserve.org	Materials Science & Advanced Manufacturing	05/04/2018	Qualified
Moonshine, Beer & BBQ Co.	425 Outlet Ave	Eddyville	Lyon	www.mbbq.com	Advanced Manufacturing	01/23/2017	Qualified



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
Neuronetrix Solutions, LLC	1044 E Chestnut Street 870 Corporate Drive, Suite 403	Louisville	Jefferson	www.neuronetrix.com	Health & Human Development	12/21/2017	Qualified
NX Development Corp.		Lexington	Fayette	http://www.nxdevcorp.com	Health & Human Development	12/28/2016	Qualified
NX Prenatal Inc.	4350 Brownsboro Rd #110	Louisville	Jefferson	www.nxprenatal.com	Bioscience	03/17/2016	Qualified
OdoRecept, LLC	1064 Dry Ridge Road	Versailles	Woodford	www.odorconcept.com	Bioscience	09/01/2017	Qualified
Omveria, LLC	300 West Vine Street, Suite 1400	Lexington	Fayette	www.omveria.com	Information Technology & Communications	09/30/2015	Qualified
Onovative, LLC	118 East Main St. 6th Floor	Louisville	Jefferson	www.onovative.com	Information Technology & Communications	02/02/2016	Qualified
PASIV Duty LLC	3185 Lamar Drive	Lexington	Fayette	www.pasivduty.com	Information Technology & Communications	12/27/2016	Qualified
Patriot Brands LLC	2175 Strathmoor Blvd	Louisville	Jefferson		Materials Science & Advanced Manufacturing	12/19/2017	Qualified
Personal Medicine Plus, LLC	614 Madison St	Paducah	McCracken	www.personalmedicineplus.com	Information Technology & Communications	12/27/2016	Qualified
Podchaser LLC	8716 Wooded Trail Ct	Louisville	Jefferson	www.podchaser.com	Information Technology & Communications	12/14/2017	Qualified
Popplr, Inc.	281 Steele Rd	Franklin	Simpson	www.popplr.com	Information Technology & Communications	05/16/2015	Qualified
PowerTech Water, LLC	145 Graham Avenue Suite A254 ASTeCC	Lexington	Fayette	www.powertechwater.com	Environmental & Energy Technology	01/03/2017	Qualified
Pumpkin Vine Creek Farm, LLC	3695 Walnut Meadow Road Paint Lick		Garrard	http://treeofthefield.dev.www.btc.com	Environmental & Energy Technology	09/01/2017	Qualified
Pyrochem Catalyst Company	11361 Decimal Dr	Louisville	Jefferson	www.pyrochemcatalyst.com	Materials Science & Advanced Manufacturing	04/27/2018	Qualified
R.M. Haney Distilling Company, LLC	6201 Birkdale Bnd	Paducah	McCracken		Materials Science & Advanced Manufacturing	12/21/2017	Qualified
Rabbit Hole Spirits, LLC	727 E. Jefferson St	Louisville	Jefferson	www.rabbittholedistilling.com	Other New Economy		
RCM Brain, Inc.	12300 Plantside Drive	Louisville	Jefferson	www.rcmbrain.com	Knowledge Based Activity	05/01/2018	Qualified
Red e Productions LLC	216 South Shelby Street	Louisville	Jefferson	www.redeapp.com	Information Technology & Communications	04/30/2018	Qualified
			Jefferson	www.redeapp.com	Information Technology & Communications	07/21/2017	Qualified



Business Name	Street Address	City	County	Website	Qualified Activity	Most Recent Certification Date	Status
RedLeaf Biologics, Inc.	145 Graham Ave ASTeCC Building - A159	Lexington	Fayette		Bioscience	02/04/2016	Qualified
Revio, Inc.	1205 E Washington St 163 E Main St., 3rd Floor, Suite 311	Louisville	Jefferson	www.myrevio.com	Materials Science & Advanced Manufacturing	07/24/2017	Qualified
Scant Evidence LLC		Lexington	Fayette	www.scante.net	Information Technology & Communications	07/06/2015	Qualified
Schedule IT LLC	400 Ring Road	Elizabethtown	Hardin	www.scheduleit.io	Information Technology & Communications	12/20/2017	Qualified
Second Sight Spirits, LLC	310 Elm Street 204 Industry PKWY, Suite F	Ludlow	Kenton	www.secondsightspirits.com	Materials Science & Advanced Manufacturing	01/24/2017	Qualified
Smart Farm Systems, Inc.		Nicholasville	Jessamine	www.smartfarm.ag	Other New Economy Knowledge Based Activity	01/04/2018	Qualified
Space Tango, Inc.	333 E Short St Suite 220 10200 Forest Green Blvd, Suite 112	Lexington	Fayette	www.spacetango.com	Other New Economy Knowledge Based Activity	01/04/2017	Qualified
Stacks, LLC		Louisville	Jefferson	www.istacks.co	Information Technology & Communications	11/09/2017	Qualified
Stretch Fabrics, LLC	1388 Vidot Ct.	Crescent Springs	Kenton		Materials Science & Advanced Manufacturing	02/17/2016	Qualified
Studio Calico, LLC	114 Corporate Ct	Bowling Green	Warren	www.studiocalico.com	Other New Economy Knowledge Based Activity	01/26/2016	Qualified
Sunstrand, LLC	1401 Locust Street 946 Goss Avenue, Suite 3106	Louisville	Jefferson	www.sunstrands.com	Materials Science & Advanced Manufacturing	01/03/2018	Qualified
SuperFanU, Inc.		Louisville	Jefferson	www.superfanu.com	Information Technology & Communications	03/02/2016	Qualified
Switcher Inc	1302 Clear Springs Trace	Louisville	Jefferson	www.switcherstudio.com	Information Technology & Communications	01/02/2018	Qualified
TaxSmack LLC	221 Juniper Drive	Nicholasville	Jessamine	www.taxsmack.com	Information Technology & Communications	12/18/2017	Qualified
TEC Biosciences Inc.	529 Hill N Dale Rd	Lexington	Fayette	www.tecbiosciences.com	Bioscience	12/04/2017	Qualified
The Bard Distillery, LLC	5080 St Rt 175	Graham	Muhlenberg		Other New Economy Knowledge Based Activity	02/01/2018	Qualified
Tier 1 Accelerated Health, LLC	100 E. Rivercenter Blvd. 535 West Second Street Suite 10	Covington	Kenton	www.xldinc.com	Information Technology & Communications	03/08/2016	Qualified
Transposagen Biopharmaceuticals, Inc.		Lexington	Fayette	www.transposagenbio.com	Bioscience	01/05/2017	Qualified



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Triblue Corporation	1201 Deatsville Rd	Coxs Creek	Nelson	www.triblueengineering.com	Environmental & Energy Technology	07/09/2017	Qualified
Udeo.Me LLC	2041 Stratford Drive	Owensboro	Daviess	http://udeo.me	Information Technology & Communications	07/23/2017	Qualified
Urban Shipper Technology Inc.	1895 Airport Exchange Blvd, Suite 290	Erlanger	Kenton	www.urbanshipper.com	Information Technology & Communications	01/20/2017	Qualified
Urban Technology Inc.	1895 Airport Exchange Blvd., Suite 290	Covington	Kenton	www.urbanshipper.com	Information Technology & Communications	11/29/2016	Qualified
VBP, Inc.	1822 North Main St	Madisonville	Hopkins		Bioscience	11/02/2016	Qualified
Venminder, Inc.	400 Ring Road, Suite 131	Elizabethtown	Hardin	www.venminder.com	Information Technology & Communications	05/04/2016	Qualified
Vindico NanoBio Technology Inc.	145 Graham Avenue ASTeCC Building A169	Lexington	Fayette	www.poseida.com	Bioscience	02/12/2015	Qualified
Virtual Peaker, Inc.	201 E Jefferson St, Suite 305	Louisville	Jefferson	www.virtualpeaker.io	Environmental & Energy Technology	04/30/2018	Qualified
Vita-Stat LLC	5650 Bardstown Road	Louisville	Jefferson	www.vita-stat.com	Information Technology & Communications	11/29/2016	Qualified
Vivorte (DE), Inc.	1044 East Chestnut Street	Louisville	Jefferson	www.vivorte.com	Bioscience	12/04/2017	Qualified
WearWare, Inc.	2204 Blackmoor Park Lane	Lexington	Fayette	www.flywirecameras.com	Information Technology & Communications	09/18/2017	Qualified
WeatherCheck Inc.	201 E Jefferson St	Louisville	Jefferson	www.weathercheck.co	Information Technology & Communications	10/16/2017	Qualified
Westwind Power, Inc.	659 South 8th Street, 2nd Floor	Louisville	Jefferson	www.westwindpower.com	Environmental & Energy Technology	08/07/2018	Qualified
Wicked Sheets, LLC	4217 Produce Road	Louisville	Jefferson	www.wickedsheets.com	Materials Science & Advanced Manufacturing	12/21/2017	Qualified
Wyzer Inc.	1 Innovation Alley 501 Darby Creek Road	Covington	Kenton	www.wyzerr.com	Information Technology & Communications	05/08/2018	Qualified
Xooker, LLC	Suite 5	Lexington	Fayette	www.xooker.com	Information Technology & Communications	08/28/2017	Qualified

Kentucky Angel Investment Act

List of Qualified Investors as of 9/27/2018

The following individuals have been certified as Qualified Investors under the Kentucky Angel Investment Act (KRS 154.20-230 through 240). To be eligible for tax credit consideration through the Kentucky Angel Investment Act, an individual must be on this list prior to making a Qualified Investment in a Qualified Small Business. The Cabinet for Economic Development does not perform financial screening or due diligence on the individuals listed here and limits its certification to the statutory parameters for a Qualified Investor. Refer to the Kentucky Angel Investment Act Program Fact Sheet for information on Qualified Investor eligibility criteria.

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Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Abbas, Gregory M.	12/05/2017	Qualified	Lange, Douglas B	02/18/2015	Qualified
Abbu, Ramanadham	01/20/2017	Qualified	Lapinski, Sterling A.	04/30/2018	Qualified
Absher, Nelea A.	04/23/2015	Qualified	Le, Tao T.	12/13/2016	Qualified
Adams, Brian Patrick	01/11/2016	Qualified	Lebder, Stephen P.	12/13/2016	Qualified
Adler, Edward C	05/02/2016	Qualified	Lewis, Rebecca B.	01/05/2015	Qualified
Alford, Farra M.	01/17/2017	Qualified	Lintner III, William James	03/08/2018	Qualified
Allison, Robert William	06/01/2015	Qualified	Littrell, Kenneth V.	02/28/2017	Qualified
Andrews, Robin W.	02/11/2015	Qualified	Livesay Jr., Kenneth Wayne	12/17/2017	Qualified
Anglin, Richard	02/17/2015	Qualified	Logeman, Doug	01/22/2015	Qualified
Antonsson, Stefan	12/15/2015	Qualified	Luftman, Brian A.	12/22/2017	Qualified
Anzalone, John	05/14/2015	Qualified	Lunsford, William Bruce	12/21/2017	Qualified
Ardery, Oliver B.	12/13/2017	Qualified	Mackin, Craig L	03/17/2016	Qualified
Baggott, Stephen J	12/15/2015	Qualified	Marcum, Weston	12/15/2015	Qualified
Baker, William True	12/21/2015	Qualified	Martin, Gerald R.	02/20/2015	Qualified
Balbach, Anthony J.	05/14/2015	Qualified	Masters, Cynthia R.	04/30/2018	Qualified
Baluyut, Arlene R	03/21/2016	Qualified	Mattix, Chadwick M	12/15/2015	Qualified
Banta, Thomas E.	03/16/2016	Qualified	Mauch, James Carroll	12/19/2017	Qualified
Beanblossom, Brent Christian	01/09/2017	Qualified	Mayer, John Albert	06/17/2015	Qualified
Becker, Mark John	12/29/2015	Qualified	McCarty, Ryan W.	02/18/2015	Qualified
Beebe, Susan Pollard	04/13/2015	Qualified	McCarty, William R	02/18/2015	Qualified
Bejma, Jeffrey Paul	01/10/2017	Qualified	McClelland, Martin N.	12/21/2017	Qualified
Benedict, William J.	12/27/2016	Qualified	McIntosh, Scott Christian	06/14/2018	Qualified
Beneke, Michael J.	12/02/2016	Qualified	McKee, Donald F.	12/15/2015	Qualified
Benz, Laurence N	12/08/2014	Qualified	McKeown, Peter P.	01/20/2017	Qualified
Berg, Fran L.	01/04/2018	Qualified	Meng, George E.	12/19/2017	Qualified
Berger, Bryan C.	02/18/2015	Qualified	Meng, Kenneth A	11/21/2014	Qualified
Berger, James Alexander	06/17/2015	Qualified	Meyer, Michael D	02/18/2015	Qualified
Berger, John August	06/30/2015	Qualified	Miller, Jr., Richard C.	12/30/2014	Qualified

Legal Name	Most Recent Certification Date	Status	Legal Name	Most Recent Certification Date	Status
Berning, Brian	02/18/2015	Qualified	Mills, Richard C	03/17/2016	Qualified
Bickel III, Paul J	09/16/2016	Qualified	Minson, Gilbert J.	01/19/2016	Qualified
Bingham, Ronald E	05/06/2015	Qualified	Mlynek, Scott Alan	03/13/2015	Qualified
Blandford, George E.	12/20/2016	Qualified	Modi, Neelam S.	12/18/2014	Qualified
Boden, Dale J	03/15/2016	Qualified	Moeves, Tobias J.	04/27/2018	Qualified
Bonn, David Paul	12/19/2017	Qualified	Moore, Kevin C	03/04/2016	Qualified
Bork, Daniel P	12/30/2016	Qualified	Morgan, Hoyt David	03/20/2015	Qualified
Boyd, Cameron Reid	01/26/2016	Qualified	Morris, Jerry L.	01/03/2018	Qualified
Boyd, James R.	01/03/2018	Qualified	Moyer, R. Charles	08/09/2016	Qualified
Boyer, Mark H	12/13/2017	Qualified	Mudd, Rob	01/11/2016	Qualified
Brand, George E.	01/20/2017	Qualified	Mullins, Gregory C.	11/16/2016	Qualified
Brann, Helen Lisa	10/04/2016	Qualified	Murdock, Shawn L.	12/21/2016	Qualified
Bray, Chad	12/29/2015	Qualified	Murry, Wesley E	05/18/2015	Qualified
Bray, Scott	12/28/2015	Qualified	Neace, John F.	01/24/2017	Qualified
Bresser, Brett	06/17/2015	Qualified	Needham, Phil D.	05/01/2018	Qualified
Brown, Christina Lee	11/23/2015	Qualified	Newman, Jill H.	01/14/2015	Qualified
Brown, III, Robinson	01/20/2017	Qualified	Nguyen, Yung T.	01/09/2017	Qualified
Brown, Kimberly F.	12/16/2015	Qualified	Nicholas, Nancy L.	01/22/2015	Qualified
Brown, Stephen L	05/14/2015	Qualified	Nicholas, Thomas L.	05/14/2015	Qualified
Brown III, Owsley	05/20/2016	Qualified	Nixon, Mary	06/12/2015	Qualified
Bruck, John Mark	02/02/2016	Qualified	Nixon, Theodore Halstead	03/07/2017	Qualified
Burke, Brennan C.	03/03/2016	Qualified	Noonan, Joseph E.	01/24/2017	Qualified
Burkett, John N.	04/18/2017	Qualified	North, Alan John	12/19/2017	Qualified
Butler, William P.	01/19/2017	Qualified	O'Leary, Sean	01/23/2015	Qualified
Cain, Darren L.	05/02/2017	Qualified	O'Reilly, James T	01/11/2016	Qualified
Cain, David A.	03/03/2015	Qualified	Ochsner, Christian F.	02/02/2017	Qualified
Cain, John S.	02/17/2015	Qualified	Olenick, Stephen	03/13/2017	Qualified
Cain, Rodney Charles	07/02/2015	Qualified	Orzali, Peter J.	02/19/2015	Qualified
Capossela, Theodore A	12/15/2015	Qualified	Osborn, Jr., Charles A.	12/16/2015	Qualified
Carlson, Gerald J.	09/06/2016	Qualified	Ostertag, Eric Michael	09/04/2015	Qualified
Carter, Eric S.	04/27/2018	Qualified	Pagano, Dominick Anthony	01/20/2017	Qualified
Castaneda, Tonye-Marie	12/04/2017	Qualified	Page, David A	03/17/2016	Qualified
Chadalawada, Satyanarayana	01/20/2017	Qualified	Patel, Amit B.	12/27/2016	Qualified
Chadha, Vikrampal	11/20/2016	Qualified	Patil, Santosh	03/15/2017	Qualified
Chan, Richard Tat Lee	01/12/2015	Qualified	Patterson II, James A.	12/07/2017	Qualified
Chandler Jr, William F.	01/09/2017	Qualified	Perkins III, Herbert W	12/13/2017	Qualified
Chapman, Gregory L.	12/27/2016	Qualified	Perman, Mark	01/14/2015	Qualified
Charasika, James D	02/01/2016	Qualified	Perry, Donald J	12/15/2015	Qualified
Chengelis, Christopher Peter	01/09/2017	Qualified	Petrik, Robert J.	12/28/2015	Qualified
Chennareddy, Sudhakar B.	08/16/2017	Qualified	Pewitt, Stephen P.	12/22/2017	Qualified
Cheripalli, Praveen	01/24/2017	Qualified	Pewitt, Tyler S.	12/27/2017	Qualified
Clay-Circle, Renee	01/11/2016	Qualified	Piecoro, Jr., John Joseph	11/28/2016	Qualified
Clay-Thomas, Amy Renee	01/11/2016	Qualified	Piecoro, Lance T.	02/18/2016	Qualified
Clements, Pat J.	12/27/2016	Qualified	Pietromonaco, MaryAnn	06/17/2015	Qualified
Clements, Twyman Keene	03/15/2016	Qualified	Pitts, Donald Gregory	04/23/2015	Qualified
Cobb, Douglas Ford	12/19/2017	Qualified	Polson, Jack	05/20/2016	Qualified
Cole, Vincent J.	12/16/2015	Qualified	Powell, Patrick S	06/15/2015	Qualified
Collins, Andrew C.	07/24/2015	Qualified	Powers, Scott M.	04/30/2018	Qualified
Cooper, Brent	02/18/2015	Qualified	Rader, M. Elizabeth	12/15/2015	Qualified
Cooper, David H.	04/22/2016	Qualified	Ragan, Steven R.	02/05/2015	Qualified
Coulter, Chad J.	12/21/2017	Qualified	Ramsey, Neil P.	01/20/2017	Qualified

Legal Name	Most Recent Certification Date	Status
Cox, Mary Kathleen	06/01/2015	Qualified
Crum, Dennis W.	12/19/2017	Qualified
Cundiff, Clarence K.	04/20/2017	Qualified
Danehy, Jack Clifford	12/19/2017	Qualified
Davis, Michael D.	01/03/2018	Qualified
Deane, Gordon L.	12/04/2017	Qualified
DelCotto, Laura Day	03/27/2017	Qualified
Deo, Nikhil A	03/17/2016	Qualified
Desmarais, Normand G	03/10/2016	Qualified
Devineni, Srinvasa Babu	01/20/2017	Qualified
Diaz, Paul J.	01/09/2017	Qualified
Dicken, Kenneth H.	02/11/2015	Qualified
Dickinson, Dean Kenneth	02/26/2016	Qualified
Dixon, Donald Layne	02/01/2018	Qualified
Doligale, Robert W.	12/19/2017	Qualified
Donnally, James O.	01/11/2016	Qualified
Donohue, Michael T.	12/19/2017	Qualified
Dorning, John W.	02/20/2017	Qualified
Dorsey, Scott	01/22/2015	Qualified
Dube, Richard	02/11/2015	Qualified
Dueber, John E	12/22/2015	Qualified
Duncan, Brian	02/28/2017	Qualified
Ebrahimpour, Arman	10/04/2016	Qualified
Estes, Gay Nell	12/13/2017	Qualified
Estes, Wayne Lewis	12/13/2017	Qualified
Evans, Richard Edwin	04/17/2015	Qualified
Fassler, Matt	08/03/2015	Qualified
Ferguson, Deborah Lynn	02/02/2016	Qualified
Ferguson, James Michael	10/18/2016	Qualified
Field, Richard Laidley	08/03/2015	Qualified
Fincher, Ronald M	06/21/2016	Qualified
Fiorini, Albert Edward	05/16/2015	Qualified
Fischer, Gregory E	01/11/2017	Qualified
Fitzhugh, Scott E.	12/19/2017	Qualified
Flanagan, Matthew	02/12/2015	Qualified
Flavin Jr., Frank J.	05/03/2017	Qualified
Foley, Kevin M.	12/18/2017	Qualified
Foley, Rick L.	12/19/2017	Qualified
Forrest, Joan S.	01/02/2018	Qualified
Forte, Eugene Andrew	12/15/2015	Qualified
Foster, Jason Alton	01/23/2015	Qualified
Fraser, Jr., Malcolm James	01/23/2015	Qualified
Frey, Robert E.	01/08/2016	Qualified
Gaffney, Michelle B	07/08/2015	Qualified
Galloway, Edward L.	12/21/2017	Qualified
Ganster, John Hugo	12/15/2015	Qualified
Geeding, Daniel W	12/15/2015	Qualified
Gernert, Melissa T	10/19/2015	Qualified
Gernert, William R	10/19/2015	Qualified
Ghanta, Guru P.	05/03/2017	Qualified
Ghanta, Praveen	01/20/2017	Qualified

Legal Name	Most Recent Certification Date	Status
Rardin, Annie M.	08/19/2015	Qualified
Rardin, Donnie C.	08/19/2015	Qualified
Ravichandran, Vidya	11/29/2016	Qualified
Reed, III, W. Earl	01/30/2015	Qualified
Reid, Raymond Scott	12/04/2017	Qualified
Reilly, Timothy A	03/18/2016	Qualified
Rice, Jardane M.	11/20/2016	Qualified
Rice, Jr., George Johnson	05/19/2016	Qualified
Richardson, Charles Todd	01/08/2015	Qualified
Richardson, David Tuell	01/11/2017	Qualified
Richardson, Mary Towns	02/11/2015	Qualified
Rix, Douglas Walter	02/14/2016	Qualified
Robbins, Robert E	06/30/2015	Qualified
Robertson, Stephen T	02/18/2016	Qualified
Robey, Ronald G	01/30/2015	Qualified
Rogers, Fielding Allen	03/10/2016	Qualified
Rooker, Daniel Kenyon	01/03/2018	Qualified
Rosenberg, Alan M	01/11/2016	Qualified
Roth, Bruce J.	02/07/2017	Qualified
Roth, John B.	12/08/2016	Qualified
Rothpletz, John Daniel	06/17/2015	Qualified
Ruckdaeschel, Alexander M.	02/11/2016	Qualified
Rudd, William O	03/14/2016	Qualified
Ruffenach, Linda Marie	01/14/2015	Qualified
Russert, Benjamin J	06/17/2015	Qualified
Ryan, Shawn	08/03/2015	Qualified
Salamon, Michael	02/02/2015	Qualified
Samarasinghe, Dinuka	12/22/2016	Qualified
Samarasinghe, Melanie	12/22/2016	Qualified
Samarasinghe, Rushan Ayendra	12/22/2016	Qualified
Sartori, Paul A.	02/26/2015	Qualified
Sathe, Andrew David	08/03/2015	Qualified
Sathe, Robert B.	03/11/2016	Qualified
Saunders, Robert S.	01/22/2015	Qualified
Sautel, Stephen D.	12/04/2015	Qualified
Sautel, Terri A.	12/04/2015	Qualified
Schackel, Eric L.	01/12/2015	Qualified
Schackel, Richard L.	01/12/2015	Qualified
Scheper, Charles R.	02/02/2016	Qualified
Schiavone, Todd Anthony	11/20/2016	Qualified
Schiowitz, Robert	01/08/2015	Qualified
Schlotman, John Michael	01/05/2016	Qualified
Schlotman, Kyle	06/17/2015	Qualified
Schnatter, Charles W	12/11/2017	Qualified
Schroeder, Gregory L.	01/12/2016	Qualified
Schy, Tony	04/01/2015	Qualified
Seal, Richard C	12/15/2015	Qualified
Sears, Richard S.	12/26/2017	Qualified
Self, Thomas Benjamin	02/08/2016	Qualified
Shah, Rajesh G.	02/20/2017	Qualified
Sherlock, Isaac Walter	06/30/2015	Qualified

Legal Name	Most Recent Certification Date	Status
Ghanta, Rajesh	02/02/2017	Qualified
Ghanta, Ravi Kiran	02/02/2017	Qualified
Glasscock, Charles Edward	04/27/2018	Qualified
Gleis, Gregory E.	01/09/2017	Qualified
Glockner, Andrew Martin	12/16/2015	Qualified
Goodnight, David L	12/27/2016	Qualified
Gordinier, Henry	12/13/2016	Qualified
Grable, Robert G.	12/13/2016	Qualified
Gramig, Steven B	03/20/2015	Qualified
Grant, Craig D	01/03/2018	Qualified
Greenwald, Bart L.	12/04/2017	Qualified
Griffin, Toby Andrew	03/13/2015	Qualified
Griggs, Stacy Brian	01/02/2018	Qualified
Guthrie, Timothy F	12/22/2014	Qualified
Haas, Eric	12/28/2015	Qualified
Habbert, John H	12/22/2015	Qualified
Hagan IV, George S.	03/22/2016	Qualified
Halecky, Benedicta M.	04/30/2018	Qualified
Hall, John R.	12/28/2016	Qualified
Halter, Kevin B	12/16/2015	Qualified
Haman, William W	12/15/2015	Qualified
Hand, Donald Reese	01/20/2015	Qualified
Hardwick, Donald R	01/11/2016	Qualified
Hardy, David L	06/12/2015	Qualified
Harmeyer, Gregory W	03/03/2016	Qualified
Harrell, Chet D.	01/12/2015	Qualified
Hart, Mark C.	12/13/2016	Qualified
Hauck, Eric William	12/19/2017	Qualified
Hawse, Alan R	04/28/2015	Qualified
Heidrich, David P.	01/03/2018	Qualified
Heil, Carol L.	12/02/2016	Qualified
Heitker, Thomas Leo	01/20/2017	Qualified
Heller, Elizabeth R.	01/09/2015	Qualified
Heller, Leonard E.	12/15/2014	Qualified
Helmets, David L.	03/03/2015	Qualified
Hertlein, Denice	12/19/2014	Qualified
Heuser, Henry V.	12/19/2017	Qualified
Hill, Charles A.	01/09/2017	Qualified
Hilliard, William R.	12/13/2017	Qualified
Hinton, Theresa	02/28/2017	Qualified
Hodge, Kenneth Martin	01/02/2018	Qualified
Hollan, Charles G.	06/14/2018	Qualified
Holland, John G.	11/28/2016	Qualified
Holoubek, Phil	02/11/2015	Qualified
Hoseus, Michael S.	01/03/2018	Qualified
Huff, Kathleen L.	12/19/2017	Qualified
Huff, Michael Adam	04/27/2018	Qualified
Hughes, Dean Lee	12/31/2015	Qualified
Hunt IV, George Henry	12/22/2015	Qualified
Hupman, Paul Steve	03/20/2015	Qualified
Iaquinto, Barbara A.	12/27/2016	Qualified

Legal Name	Most Recent Certification Date	Status
Shewmaker, Carl Ritchie	05/25/2017	Qualified
Shields, Christopher Brian	05/08/2017	Qualified
Shiple, Tony L	12/15/2015	Qualified
Short, Jeffrey William	03/26/2015	Qualified
Siegrist, John Thomas	08/11/2015	Qualified
Sigler, James David	02/26/2016	Qualified
Singal, Inder	04/10/2017	Qualified
Sirchio, John Kristin	08/25/2015	Qualified
Skaggs, Jr., Dale B.	12/28/2017	Qualified
Sleet, Harry	08/17/2015	Qualified
Smith, Stewart A	01/04/2018	Qualified
Somerville, Chris R	12/15/2015	Qualified
Soriano, Alex L.	12/24/2017	Qualified
Stacy, Raymond Michael	05/08/2018	Qualified
Stegman, Brian J.	11/29/2016	Qualified
Steiden, Eric A.	06/17/2015	Qualified
Steinbock, Robert T	02/15/2016	Qualified
Stengel, Bradford P.	01/09/2017	Qualified
Stephany, Florine G	03/16/2015	Qualified
Stigler, Charles M.	12/19/2017	Qualified
Strange, John Eric	08/21/2015	Qualified
Streepey, Ben M	04/28/2018	Qualified
Strench, William G	01/18/2017	Qualified
Stuedle, Joseph A.	12/08/2016	Qualified
Stuedle, Margaret Kristin	12/08/2016	Qualified
Suriano, Douglas Anthony	12/11/2017	Qualified
Sussman, Daniel Leonard	01/09/2017	Qualified
Sutherland, Richard Keith	01/11/2016	Qualified
Sweeney, Edward L.	03/04/2015	Qualified
Switzer, Richard W.	06/30/2015	Qualified
Swope, Carl L.	12/19/2017	Qualified
Tavakoli, Ron	01/20/2015	Qualified
Taylor, James Todd	12/20/2017	Qualified
Taylor, Robert N.	12/19/2017	Qualified
Taylor, Valerie	12/04/2017	Qualified
Thompson, John C	12/12/2017	Qualified
Thornton, Matthew A.	12/13/2016	Qualified
Tichenor, Joe H.	01/02/2018	Qualified
Toomey, Hal W	05/14/2015	Qualified
Topits, John R.	08/13/2015	Qualified
Trager, Steven E.	04/13/2015	Qualified
Trumbull, Richard Scott	01/04/2017	Qualified
Ulmer, Daniel Conrad	06/01/2015	Qualified
Ulmer, Gary C	06/01/2015	Qualified
Valenzano, Kenneth J.	01/08/2015	Qualified
van Rooyen, Guy Lloyd	06/11/2018	Qualified
Veer, Purna	02/02/2017	Qualified
Veith, Terrence Michael	12/30/2014	Qualified
Vempati, Malati	01/20/2017	Qualified
Verst, Paul T.	03/29/2016	Qualified
Vogele, Matthew	07/02/2015	Qualified

Legal Name	Most Recent Certification Date	Status
Jacobs, Joey	07/20/2016	Qualified
Jakobi, Larry Andrew	03/13/2015	Qualified
Jones, Gregory Scott	05/04/2018	Qualified
Jones, II, Ernest H.	11/16/2017	Qualified
Jones, Jeffrey L.	02/04/2016	Qualified
Jones, Steve M.	05/20/2016	Qualified
Jones, Steven Edward	11/20/2016	Qualified
Jones, Thomas Allen	12/21/2016	Qualified
Jones, Todd D.	08/02/2017	Qualified
Jsa, She-Tai Stanley	02/18/2016	Qualified
Kakarlapudi, Venkata V.	05/01/2018	Qualified
Kalikiri, Kishore	02/01/2017	Qualified
Karem, Jason E.	12/21/2017	Qualified
Karem Sr., John R.	12/21/2017	Qualified
Karlstrom, Anders	01/14/2015	Qualified
Kautzman, Traci G.	02/18/2015	Qualified
Kazazian, Jr., Haig H.	02/23/2015	Qualified
Kelley, Paul J.	03/26/2015	Qualified
Kelmanson, Louis R.	04/28/2018	Qualified
Khiani, Kamal J.	05/03/2017	Qualified
Kienzle, Barry G.	02/18/2015	Qualified
Kiliddar, Hussein	02/19/2015	Qualified
King, Darren G.	04/30/2018	Qualified
Kirchdorfer, James J.	03/15/2016	Qualified
Kirchdorfer, Mark Thomas	12/11/2017	Qualified
Kirkwood, Robert Joseph	03/03/2016	Qualified
Klunk, Joseph A.	01/18/2017	Qualified
Knox, David George	05/01/2017	Qualified
Koch, David A.	01/14/2015	Qualified
Kolli, Rajendra P.	01/20/2017	Qualified
Kuhn, Robert J.	01/13/2015	Qualified
Kulick, Roy M.	12/15/2015	Qualified
Lackey, Doug	06/17/2015	Qualified
Lampert, Mark N.	01/19/2016	Qualified
Langdon, Gregory Lee	01/26/2016	Qualified

Legal Name	Most Recent Certification Date	Status
Wagner, Peter Edward	12/04/2017	Qualified
Walker, Winston Glenn	01/08/2015	Qualified
Ward, George D.	03/06/2015	Qualified
Watts, Bobby	08/03/2015	Qualified
Wells, Charles Linton	05/03/2018	Qualified
Wells, Robert M.	12/17/2015	Qualified
Wernersbach, Glen C.	03/23/2018	Qualified
Wersching, James P.	07/02/2015	Qualified
Wesdorp, Benet Earl	12/28/2015	Qualified
Westheimer, Richard L.	12/17/2015	Qualified
Wheeler, Mark Randall	06/08/2015	Qualified
Wilhite, Steve T.	01/05/2018	Qualified
Wilkins, Todd Christopher	01/21/2016	Qualified
Willett, Joseph P.	01/19/2016	Qualified
Willmoth, John H.	12/11/2017	Qualified
Wilson, Steven A.	02/17/2016	Qualified
Winbigler, David Brian	04/19/2017	Qualified
Winbigler, Douglas D.	05/03/2017	Qualified
Wiseman, Elizabeth Ulmer	06/01/2015	Qualified
Wood, David Y.	12/16/2015	Qualified
Wood, Phoebe A.	01/01/2018	Qualified
Wright, Creighton B.	01/30/2015	Qualified
Wright, Terrence M.	12/29/2015	Qualified
Wright, William Steve	12/21/2015	Qualified
Wulfeck, Andrew	02/18/2015	Qualified
Wulfeck, Kenneth W.	01/11/2016	Qualified
Young, Anthony John	12/22/2016	Qualified
Young, Christopher H.	04/27/2018	Qualified
Young, Christopher Shane	04/14/2016	Qualified
Young, William Ryan	12/21/2015	Qualified
Youngs, Heather	01/11/2016	Qualified
Zalla, William R.	01/03/2018	Qualified
Zapp, Bradley R.	12/29/2015	Qualified
Zapp, Robert W.	04/18/2017	Qualified
Zhan, Chang-Guo	01/30/2015	Qualified



Kentucky Angel Investment Act

Investment Summary 2018

Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Atalo Holdings, Inc.	Needham, Phil D.	Clark	Bioscience	05/31/2018	Investment Made	\$112,500	\$112,500	\$45,000
Atalo Holdings, Inc.	Halecky, Benedicta M.	Clark	Bioscience	05/31/2018	Investment Made	\$137,500	\$137,500	\$55,000
Baqua, Inc.	Halecky, Benedicta M.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Baqua, Inc.	Forrest, Joan S.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$20,000	\$20,000	\$8,000
Baqua, Inc.	Pewitt, Tyler S.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Baqua, Inc.	Pewitt, Stephen P.	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$40,000	\$40,000	\$16,000
Baqua, Inc.	Taylor, Valerie	Fayette	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$20,000	\$20,000	\$8,000
Bexion Pharmaceuticals, Inc.	Butler, William P.	Kenton	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$200,000	\$80,000
Bexion Pharmaceuticals, Inc.	van Rooyen, Guy Lloyd	Kenton	Health & Human Development	06/28/2018	Investment Made	\$600,000	\$302,000	\$120,800
Bexion Pharmaceuticals, Inc.	Stacy, Raymond Michael	Kenton	Health & Human Development	05/31/2018	Investment Made	\$250,000	\$250,000	\$100,000
Cuddle Clones LLC	Wood, Phoebe A.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Cuddle Clones LLC	McClelland, Martin N.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Double Double D, LLC	North, Alan John	Boone	Materials Science & Advanced Manufacturing	01/25/2018	Inactive	\$100,000	\$0	\$0
Double Double D, LLC	Rooker, Daniel Kenyon	Boone	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Double Double D, LLC	Suriano, Douglas Anthony	Boone	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Patterson II, James A.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, Mark Thomas	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$75,000	\$75,000	\$30,000
Edj Analytics, LLC	Young, Christopher H	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Edj Analytics, LLC	Cobb, Douglas Ford	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
EdjSports, LLC	Glasscock, Charles Edward	Jefferson	Other New Economy Knowledge Based Activity	05/31/2018	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Lunsford, William Bruce	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$500,000	\$200,000
Edumedics LLC	Taylor, James Todd	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$500,000	\$200,000
Edumedics LLC	Cobb, Douglas Ford	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$50,000	\$20,000	\$8,000
Edumedics LLC	Crum, Dennis W.	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Danehy, Jack Clifford	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$500,000	\$500,000	\$200,000
EIE Materials Inc.	Young, Christopher H	Fayette	Materials Science & Advanced Manufacturing	05/31/2018	Investment Made	\$100,000	\$95,920	\$38,368
Enable AI Inc	Ardey, Oliver B.	Jefferson	Information Technology & Communications	01/25/2018	Inactive	\$75,000	\$0	\$0
Fourth Wall, L.L.C.	Heidrich, David P.	Kenton	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Fourth Wall, L.L.C.	Zalla, William R.	Kenton	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Gourmet Renee LLC	Huff, Michael Adam	Kenton	Materials Science & Advanced Manufacturing	05/31/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Powers, Scott M.	Kenton	Materials Science & Advanced Manufacturing	05/31/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Jones, Gregory Scott	Kenton	Materials Science & Advanced Manufacturing	05/31/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Estes, Gay Nell	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Luftman, Brian A.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Estes, Wayne Lewis	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Boyd, James R.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$22,120	\$22,120	\$8,848
Gryphon Environmental, LLC	Hoseus, Michael S.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Gryphon Environmental, LLC	Deane, Gordon L.	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
Gryphon Environmental, LLC	Smith, Stewart A	Daviess	Environmental & Energy Technology	01/25/2018	Investment Made	\$10,000	\$10,000	\$4,000
Gun Media Holdings, Inc.	Young, Christopher H	Fayette	Information Technology & Communications	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Gun Media Holdings, Inc. Hera Testing Laboratories, Inc.	King, Darren G.	Fayette	Information Technology & Communications	05/31/2018	Investment Made	\$150,000	\$150,000	\$60,000
	Young, Christopher H	Fayette	Bioscience	01/25/2018	Inactive	\$100,000	\$0	\$0
Hummingbird Nano, Inc.	King, Darren G.	Fayette	Materials Science & Advanced Manufacturing	05/31/2018	Investment Made	\$100,000	\$100,000	\$40,000
Hummingbird Nano, Inc.	Young, Christopher H	Fayette	Materials Science & Advanced Manufacturing	05/31/2018	Investment Made	\$50,000	\$50,000	\$20,000
MER Ventures LLC	Masters, Cynthia R.	Jefferson	Information Technology & Communications	05/31/2018	Investment Made	\$25,000	\$25,000	\$10,000
MobileServe LLC	McClelland, Martin N.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
MobileServe LLC	Swope, Carl L.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
MobileServe LLC	Heuser, Henry V.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Greenwald, Bart L.	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Berg, Fran L.	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Schnatter, Charles W	Jefferson	Health & Human Development	01/25/2018	Investment Made	\$200,000	\$75,000	\$30,000
Patriot Brands LLC	Karem, Jason E.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Karem Sr., John R.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Sears, Richard S.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Morris, Jerry L.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Reid, Raymond Scott	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Livesay Jr., Kenneth Wayne	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$250,000	\$250,000	\$100,000
Pyrochem Catalyst Company	Streepey, Ben M	Jefferson	Materials Science & Advanced Manufacturing	05/31/2018	Investment Made	\$20,000	\$20,091	\$8,000
Rabbit Hole Spirits, LLC	Kakarlapudi, Venkata V.	Jefferson	Other New Economy Knowledge Based Activity	05/31/2018	Investment Made	\$150,000	\$150,000	\$60,000
Rabbit Hole Spirits, LLC	Carter, Eric S.	Jefferson	Other New Economy Knowledge Based Activity	05/31/2018	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Abbas, Gregory M.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$125,000	\$124,800	\$49,920
Rabbit Hole Spirits, LLC	Tichenor, Joe H.	Jefferson	Other New Economy Knowledge Based Activity	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Kelmanson, Louis R	Jefferson	Information Technology & Communications	05/31/2018	Investment Made	\$50,000	\$50,000	\$20,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
RCM Brain, Inc.	Coulter, Chad J.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$30,000	\$30,000	\$12,000
RCM Brain, Inc.	Doligale, Robert W.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$30,000	\$30,000	\$12,000
RCM Brain, Inc.	Skaggs, Jr., Dale B.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Taylor, Robert N.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Perkins III, Herbert W.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Fitzhugh, Scott E.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
RCM Brain, Inc.	Foley, Rick L.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
RCM Brain, Inc.	Stigler, Charles M.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Foley, Kevin M.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$30,000	\$30,000	\$12,000
Schedule It LLC	Swope, Carl L.	Hardin	Information Technology & Communications	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Smart Farm Systems, Inc.	Young, Christopher H.	Jessamine	Other New Economy Knowledge Based Activity	01/25/2018	Inactive	\$50,000	\$0	\$0
Sunstrand, LLC	Grant, Craig D.	Jefferson	Materials Science & Advanced Manufacturing	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Switcher Inc	Willmoth, John H.	Jefferson	Information Technology & Communications	01/25/2018	Investment Made	\$25,000	\$25,000	\$10,000
TEC Biosciences Inc.	Wagner, Peter Edward	Fayette	Bioscience	01/25/2018	Inactive	\$500,000	\$0	\$0
TEC Biosciences Inc.	Castaneda, Tonye-Marie	Fayette	Bioscience	01/25/2018	Inactive	\$500,000	\$0	\$0
Virtual Peaker, Inc.	Lapinski, Sterling A.	Jefferson	Environmental & Energy Technology	05/31/2018	Investment Made	\$50,000	\$50,000	\$20,000
Virtual Peaker, Inc.	Wilhite, Steve T.	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$150,000	\$150,000	\$60,000



Small Business	Investor	County	Activity	Approval Date	Status	Projected Investment	Confirmed Investment	Credit
Virtual Peaker, Inc.	Galloway, Edward L.	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Virtual Peaker, Inc.	Boyer, Mark H	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$150,000	\$150,000	\$60,000
Virtual Peaker, Inc.	Cobb, Douglas Ford	Jefferson	Environmental & Energy Technology	01/25/2018	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Moeves, Tobias J.	Kenton	Information Technology & Communications	05/31/2018	Investment Made	\$10,000	\$10,000	\$4,000
KAIA Total						\$9,577,120	\$7,494,931	\$2,997,936