



Kentucky Angel Investment Act

Qualified Investments 2015 - 2018

Small Business	Investor	County	Angel Qualified Activity	Date	Investment Status	Projected Investment	Actual Investment	Tax Credit
1020 Digital, LLC	Allison, Robert William	Warren	Information Technology & Communications	06/25/15	Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Bickel III, Paul J	Warren	Information Technology & Communications	06/25/15	Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Cox, Mary Kathleen	Warren	Information Technology & Communications	06/25/15	Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Glasscock, Charles Edward	Warren	Information Technology & Communications	06/25/15	Investment Made	\$400,000	\$400,000	\$160,000
1020 Digital, LLC	Grant, Craig D	Warren	Information Technology & Communications	06/25/15	Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Hardy, David L	Warren	Information Technology & Communications	06/25/15	Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Nixon, Mary	Warren	Information Technology & Communications	06/25/15	Investment Made	\$50,000	\$50,000	\$20,000
1020 Digital, LLC	Ulmer, Daniel Conrad	Warren	Information Technology & Communications	06/25/15	Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Ulmer, Gary C	Warren	Information Technology & Communications	06/25/15	Investment Made	\$100,000	\$100,000	\$40,000
1020 Digital, LLC	Wheeler, Mark Randall	Warren	Information Technology & Communications	06/25/15	Investment Made	\$100,000	\$75,000	\$30,000
1020 Digital, LLC	Wiseman, Elizabeth Ulmer	Warren	Information Technology & Communications	06/25/15	Investment Made	\$100,000	\$100,000	\$40,000
3DLT, LLC	Bray, Chad	Kenton	Information Technology & Communications	03/26/15	Inactive	\$10,000	\$0	\$0
3DLT, LLC	Collins, Andrew C.	Kenton	Information Technology & Communications	03/26/15	Inactive	\$10,000	\$0	\$0
3DLT, LLC	Haas, Eric	Kenton	Information Technology & Communications	03/26/15	Inactive	\$20,000	\$0	\$0
3DLT, LLC	Hagan IV, George S.	Kenton	Information Technology & Communications	03/26/15	Inactive	\$25,000	\$0	\$0
3DLT, LLC	Klunk, Joseph A.	Kenton	Information Technology & Communications	01/29/15	Inactive	\$50,000	\$0	\$0
3DLT, LLC	Murdock, Shawn L.	Kenton	Information Technology & Communications	03/26/15	Inactive	\$10,000	\$0	\$0
3DLT, LLC	Sartori, Paul A.	Kenton	Information Technology & Communications	03/26/15	Inactive	\$10,000	\$0	\$0
3DLT, LLC	Scheper, Charles R.	Kenton	Information Technology & Communications	03/26/15	Inactive	\$25,000	\$0	\$0
3DLT, LLC	Zapp, Bradley R.	Kenton	Information Technology & Communications	03/26/15	Inactive	\$10,000	\$0	\$0
451 Tech L.L.C.	Iaquinto, Barbara A.	Fayette	Information Technology & Communications	01/26/17	Inactive	\$100,000	\$0	\$0
Adaptive Technologies, LLC	Antonsson, Stefan	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$50,000	\$50,000	\$20,000
Adaptive Technologies, LLC	Baggott, Stephen J	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Bruck, John Mark	Oldham	Information Technology & Communications	02/25/16	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Capossela, Theodore A	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Frey, Robert E.	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$40,000	\$40,000	\$16,000
Adaptive Technologies, LLC	Ganster, John Hugo	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Geeding, Daniel W	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Habbert, John H	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$50,000	\$50,000	\$20,000
Adaptive Technologies, LLC	Haman, William W	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$25,000	\$25,000	\$10,000

Adaptive Technologies, LLC	Kulick, Roy M	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Mattix, Chadwick M	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	McKee, Donald F.	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Minson, Gilbert J.	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	O'Reilly, James T	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Adaptive Technologies, LLC	Petrik, Robert J.	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$10,500	\$10,500	\$4,200
Adaptive Technologies, LLC	Rader, M. Elizabeth	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Adaptive Technologies, LLC	Seal, Richard C	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$25,000	\$25,000	\$10,000
Adaptive Technologies, LLC	Shipley, Tony L	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$15,000	\$15,000	\$6,000
Adaptive Technologies, LLC	Wilson, Steven A.	Oldham	Information Technology & Communications	02/25/16	Investment Made	\$15,000	\$15,000	\$6,000
Adaptive Technologies, LLC	Wright, Terrence M	Oldham	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Advanced Energy Materials, LLC	Abbu, Ramanadham	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Chadalawada, Satyanarayana	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Advanced Energy Materials, LLC	Chennareddy, Sudhakar B.	Jefferson	Materials Science & Advanced Manufacturing	08/31/17	Investment Made	\$25,000	\$25,000	\$9,680
Advanced Energy Materials, LLC	Devineni, Srinvasa Babu	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Advanced Energy Materials, LLC	Ghanta, Guru P.	Jefferson	Materials Science & Advanced Manufacturing	05/25/17	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Ghanta, Praveen	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Kolli, Rajendra P.	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Pagano, Dominick Anthony	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$500,000	\$500,000	\$200,000
Advanced Energy Materials, LLC	Patil, Santosh	Jefferson	Materials Science & Advanced Manufacturing	03/30/17	Investment Made	\$50,000	\$50,000	\$20,000
Advanced Energy Materials, LLC	Vempati, Malati	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
AffiniCorp LLC	Baluyut, Arlene R	Jefferson	Health & Human Development	03/31/16	Investment Made	\$107,000	\$100,000	\$40,000
ALKYMOS, Inc.	Fiorini, Albert Edward	Fayette	Health & Human Development	05/28/15	Investment Made	\$35,000	\$35,000	\$14,000
ALKYMOS, Inc.	Halecky, Benedicta M.	Fayette	Health & Human Development	02/26/15	Investment Made	\$50,000	\$50,000	\$20,000
ALKYMOS, Inc.	Kuhn, Robert J	Fayette	Health & Human Development	01/29/15	Investment Made	\$10,000	\$10,000	\$4,000
ALKYMOS, Inc.	Needham, Phil D.	Fayette	Health & Human Development	02/26/15	Investment Made	\$50,000	\$50,000	\$20,000
ALKYMOS, Inc.	Nicholas, Thomas L.	Fayette	Health & Human Development	01/29/15	Investment Made	\$15,000	\$15,000	\$6,000
ALKYMOS, Inc.	Zhan, Chang-Guo	Fayette	Health & Human Development	02/26/15	Investment Made	\$30,000	\$30,000	\$12,000
All Around Care LLC	Jones, Steven Edward	Jefferson	Health & Human Development	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Mullins, Gregory C.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Rice, Jardane M.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Schiavone, Todd Anthony	Jefferson	Health & Human Development	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Skaggs, Jr., Dale B.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
All Around Care LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Atalo Holdings, Inc.	Halecky, Benedicta M.	Clark	Bioscience	05/31/18	Investment Made	\$137,500	\$137,500	\$55,000
Atalo Holdings, Inc.	Needham, Phil D.	Clark	Bioscience	05/31/18	Investment Made	\$112,500	\$112,500	\$45,000
Baqua, Inc.	Forrest, Joan S.	Fayette	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$20,000	\$20,000	\$8,000
Baqua, Inc.	Halecky, Benedicta M.	Fayette	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Baqua, Inc.	Pewitt, Stephen P.	Fayette	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$40,000	\$40,000	\$16,000
Baqua, Inc.	Pewitt, Tyler S.	Fayette	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$10,000	\$10,000	\$4,000
Baqua, Inc.	Taylor, Valerie	Fayette	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$20,000	\$20,000	\$8,000
Beach Front Energy Holding Company, LLC	Perman, Mark	Jefferson	Environmental & Energy Technology	01/29/15	Investment Made	\$150,000	\$150,000	\$60,000
Bexion Pharmaceuticals, Inc.	Butler, William P.	Kenton	Health & Human Development	02/23/17	Investment Made	\$500,000	\$1,100,000	\$200,000
Bexion Pharmaceuticals, Inc.	Butler, William P.	Kenton	Health & Human Development	01/25/18	Investment Made	\$500,000	\$200,000	\$80,000
Bexion Pharmaceuticals, Inc.	Stacy, Raymond Michael	Kenton	Health & Human Development	05/31/18	Investment Made	\$250,000	\$250,000	\$100,000
Bexion Pharmaceuticals, Inc.	van Rooyen, Guy Lloyd	Kenton	Health & Human Development	06/28/18	Investment Made	\$600,000	\$302,000	\$120,800
Bexion Pharmaceuticals, Inc.	Zapp, Robert W.	Kenton	Health & Human Development	04/27/17	Investment Made	\$250,000	\$250,000	\$100,000
Braxton Brewing Company, LLC	Dorsey, Scott	Kenton	Materials Science & Advanced Manufacturing	01/29/15	Inactive	\$100,000	\$0	\$0

Braxton Brewing Company, LLC	Dube, Richard	Kenton	Materials Science & Advanced Manufacturing	02/26/15	Investment Made	\$10,000	\$10,000	\$4,000
Braxton Brewing Company, LLC	Flanagan, Matthew	Kenton	Materials Science & Advanced Manufacturing	02/26/15	Inactive	\$25,000	\$0	\$0
Braxton Brewing Company, LLC	Foster, Jason Alton	Kenton	Materials Science & Advanced Manufacturing	02/26/15	Inactive	\$15,000	\$0	\$0
Braxton Brewing Company, LLC	Hertlein, Denise	Kenton	Materials Science & Advanced Manufacturing	01/29/15	Investment Made	\$25,185	\$25,185	\$10,074
Braxton Brewing Company, LLC	Knox, David George	Kenton	Materials Science & Advanced Manufacturing	01/29/15	Investment Made	\$10,000	\$10,000	\$4,000
Braxton Brewing Company, LLC	Modi, Neelam S.	Kenton	Materials Science & Advanced Manufacturing	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Braxton Brewing Company, LLC	Orzali, Peter J.	Kenton	Materials Science & Advanced Manufacturing	02/26/15	Investment Made	\$20,000	\$20,000	\$8,000
Braxton Brewing Company, LLC	Richardson, Charles Todd	Kenton	Materials Science & Advanced Manufacturing	01/29/15	Investment Made	\$15,000	\$15,000	\$6,000
Braxton Brewing Company, LLC	Short, Jeffrey William	Kenton	Materials Science & Advanced Manufacturing	04/30/15	Investment Made	\$15,000	\$15,000	\$6,000
Braxton Brewing Company, LLC	Veith, Terrence Michael	Kenton	Materials Science & Advanced Manufacturing	01/29/15	Inactive	\$10,000	\$0	\$0
Comfy Cow, LLC	Absher, Nelea A.	Jefferson	Materials Science & Advanced Manufacturing	03/26/15	Inactive	\$25,000	\$0	\$0
Comfy Cow, LLC	Absher, Nelea A.	Jefferson	Materials Science & Advanced Manufacturing	04/30/15	Investment Made	\$50,000	\$50,000	\$20,000
Comfy Cow, LLC	Brown, Christina Lee	Jefferson	Materials Science & Advanced Manufacturing	12/10/15	Investment Made	\$100,000	\$100,000	\$40,000
Comfy Cow, LLC	Brown, Christina Lee	Jefferson	Materials Science & Advanced Manufacturing	04/30/15	Inactive	\$100,000	\$0	\$0
Comfy Cow, LLC	Brown, Kimberly F.	Jefferson	Materials Science & Advanced Manufacturing	01/28/16	Investment Made	\$100,000	\$50,000	\$20,000
Comfy Cow, LLC	Evans, Richard Edwin	Jefferson	Materials Science & Advanced Manufacturing	04/30/15	Investment Made	\$100,000	\$100,000	\$40,000
Comfy Cow, LLC	Osborn, Jr., Charles A.	Jefferson	Materials Science & Advanced Manufacturing	01/28/16	Investment Made	\$100,000	\$50,000	\$20,000
Comfy Cow, LLC	Rosenberg, Alan M	Jefferson	Materials Science & Advanced Manufacturing	01/28/16	Investment Made	\$50,000	\$50,000	\$20,000
Comfy Cow, LLC	Singal, Inder	Jefferson	Materials Science & Advanced Manufacturing	04/27/17	Investment Made	\$100,000	\$100,000	\$40,000
CompleteSet, Inc.	Brand, George E.	Kenton	Other New Economy Knowledge Based Activity	01/26/17	Investment Made	\$75,000	\$75,000	\$30,000
CompleteSet, Inc.	Glasscock, Charles Edward	Kenton	Other New Economy Knowledge Based Activity	04/27/17	Investment Made	\$25,000	\$25,000	\$10,000
CompleteSet, Inc.	Klunk, Joseph A.	Kenton	Other New Economy Knowledge Based Activity	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
CompleteSet, Inc.	Murdock, Shawn L.	Kenton	Other New Economy Knowledge Based Activity	01/26/17	Investment Made	\$20,000	\$19,999	\$8,000
Cuddle Clones LLC	McClelland, Martin N.	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Cuddle Clones LLC	Wood, Phoebe A.	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Dental Exchange Inc.	Martin, Gerald R.	Jefferson	Information Technology & Communications	02/26/15	Inactive	\$35,000	\$0	\$0
Dental Exchange Inc.	Newman, Jill H.	Jefferson	Information Technology & Communications	01/29/15	Investment Made	\$35,000	\$35,000	\$14,000
Double Double D, LLC	Anglin, Richard	Boone	Materials Science & Advanced Manufacturing	02/26/15	Investment Made	\$250,000	\$250,000	\$100,000
Double Double D, LLC	Ferguson, James Michael	Boone	Materials Science & Advanced Manufacturing	10/27/16	Investment Made	\$50,000	\$50,000	\$20,000
Double Double D, LLC	North, Alan John	Boone	Materials Science & Advanced Manufacturing	01/25/18	Inactive	\$100,000	\$0	\$0
Double Double D, LLC	Rice, Jr., George Johnson	Boone	Materials Science & Advanced Manufacturing	05/26/16	Investment Made	\$250,000	\$250,000	\$100,000
Double Double D, LLC	Rooker, Daniel Kenyon	Boone	Materials Science & Advanced Manufacturing	08/27/15	Inactive	\$100,000	\$0	\$0
Double Double D, LLC	Rooker, Daniel Kenyon	Boone	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Double Double D, LLC	Suriano, Douglas Anthony	Boone	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$250,000	\$150,000	\$60,000
Double Double D, LLC	Suriano, Douglas Anthony	Boone	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Earthineer, LLC	Morgan, Hoyt David	Gallatin	Information Technology & Communications	03/26/15	Investment Made	\$10,000	\$10,000	\$4,000
Earthineer, LLC	Schy, Tony	Gallatin	Information Technology & Communications	04/30/15	Investment Made	\$10,000	\$10,000	\$4,000
Earthineer, LLC	Self, Thomas Benjamin	Gallatin	Information Technology & Communications	03/26/15	Investment Made	\$10,000	\$10,000	\$4,000
Earthineer, LLC	Young, Christopher H	Gallatin	Information Technology & Communications	03/26/15	Investment Made	\$12,500	\$12,500	\$5,000
Edj Analytics, LLC	Boden, Dale J	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$25,000	\$25,000	\$10,000
Edj Analytics, LLC	Cobb, Douglas Ford	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
Edj Analytics, LLC	Fischer, Gregory E	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Glasscock, Charles Edward	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, James J	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, Mark Thomas	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Kirchdorfer, Mark Thomas	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$75,000	\$75,000	\$30,000
Edj Analytics, LLC	Patterson II, James A.	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Patterson II, James A.	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000

Edj Analytics, LLC	Rudd, William O	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Thompson, John C	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Young, Christopher H	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Edj Analytics, LLC	Young, Christopher H	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
EdjSports, LLC	Glasscock, Charles Edward	Jefferson	Other New Economy Knowledge Based Activity	05/31/18	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Chadha, Vikrampal	Jefferson	Health & Human Development	01/26/17	Investment Made	\$20,000	\$20,000	\$8,000
Edumedics LLC	Cobb, Douglas Ford	Jefferson	Health & Human Development	01/25/18	Investment Made	\$50,000	\$20,000	\$8,000
Edumedics LLC	Crum, Dennis W.	Jefferson	Health & Human Development	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Danehy, Jack Clifford	Jefferson	Health & Human Development	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Danehy, Jack Clifford	Jefferson	Health & Human Development	01/25/18	Investment Made	\$500,000	\$500,000	\$200,000
Edumedics LLC	Heil, Carol L.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$30,000	\$25,000	\$10,000
Edumedics LLC	Le, Tao T.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Lunsford, William Bruce	Jefferson	Health & Human Development	01/25/18	Investment Made	\$500,000	\$500,000	\$200,000
Edumedics LLC	Nguyen, Yung T.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Edumedics LLC	Skaggs, Jr., Dale B.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Stigler, Charles M.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Edumedics LLC	Taylor, James Todd	Jefferson	Health & Human Development	01/26/17	Investment Made	\$300,000	\$300,000	\$120,000
Edumedics LLC	Taylor, James Todd	Jefferson	Health & Human Development	01/25/18	Investment Made	\$500,000	\$500,000	\$200,000
EIE Materials Inc.	Young, Christopher H	Fayette	Materials Science & Advanced Manufacturing	05/31/18	Investment Made	\$100,000	\$95,920	\$38,368
Enable AI Inc	Ardery, Oliver B.	Jefferson	Information Technology & Communications	01/25/18	Inactive	\$75,000	\$0	\$0
Fanbloom, Inc.	Cain, David A.	Kenton	Information Technology & Communications	05/26/16	Inactive	\$20,000	\$0	\$0
Fanbloom, Inc.	Klunk, Joseph A.	Kenton	Information Technology & Communications	04/28/16	Inactive	\$50,000	\$0	\$0
Fanbloom, Inc.	Murdock, Shawn L.	Kenton	Information Technology & Communications	05/26/16	Inactive	\$25,000	\$0	\$0
Fanbloom, Inc.	Young, Christopher Shane	Kenton	Information Technology & Communications	04/28/16	Inactive	\$25,000	\$0	\$0
First Care Holding, LLC	Carlton, Gerald J.	Laurel	Health & Human Development	09/29/16	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Carlton, Gerald J.	Laurel	Health & Human Development	05/28/15	Investment Made	\$250,000	\$75,000	\$30,000
First Care Holding, LLC	Fincher, Ronald M	Laurel	Health & Human Development	06/30/16	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Hardy, David L	Laurel	Health & Human Development	06/25/15	Investment Made	\$50,000	\$50,000	\$20,000
First Care Holding, LLC	Jacobs, Joey	Laurel	Health & Human Development	07/28/16	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	Jones, Jeffrey L	Laurel	Health & Human Development	06/25/15	Investment Made	\$250,000	\$250,000	\$100,000
First Care Holding, LLC	Jones, Jeffrey L	Laurel	Health & Human Development	02/25/16	Investment Made	\$200,000	\$200,000	\$100,000
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	06/30/16	Investment Made	\$100,000	\$100,000	\$50,000
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	06/25/15	Inactive	\$50,000	\$0	\$0
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	04/30/15	Investment Made	\$100,000	\$100,000	\$40,000
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	01/28/16	Investment Made	\$50,000	\$18,000	\$9,000
First Care Holding, LLC	King, Darren G.	Laurel	Health & Human Development	01/26/17	Investment Made	\$50,000	\$50,000	\$25,000
First Care Holding, LLC	Pitts, Donald Gregory	Laurel	Health & Human Development	04/30/15	Investment Made	\$150,000	\$120,000	\$48,000
First Care Holding, LLC	Polson, Jack	Laurel	Health & Human Development	05/26/16	Inactive	\$100,000	\$0	\$0
First Care Holding, LLC	Strench, William G	Laurel	Health & Human Development	06/25/15	Investment Made	\$40,000	\$40,000	\$16,000
Flying Axes, Inc.	Knox, David George	Jefferson	Information Technology & Communications	05/25/17	Investment Made	\$50,000	\$26,000	\$10,400
Fourth Wall, L.L.C.	Heidrich, David P.	Kenton	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
Fourth Wall, L.L.C.	Zalla, William R.	Kenton	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
Frogdice, Inc.	Cundiff, Clarence K.	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Glasscock, Charles Edward	Fayette	Information Technology & Communications	01/26/17	Investment Made	\$25,000	\$40,000	\$10,000
Frogdice, Inc.	Glasscock, Charles Edward	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$75,000	\$75,000	\$30,000
Frogdice, Inc.	Gramig, Steven B	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Hupman, Paul Steve	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Jakobi, Larry Andrew	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$15,000	\$15,000	\$6,000

Frogdice, Inc.	Kelley, Paul J	Fayette	Information Technology & Communications	04/30/15	Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Klunk, Joseph A.	Fayette	Information Technology & Communications	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Frogdice, Inc.	Klunk, Joseph A.	Fayette	Information Technology & Communications	04/30/15	Investment Made	\$50,000	\$48,000	\$19,200
Frogdice, Inc.	Smith, Stewart A	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$20,000	\$20,000	\$8,000
Frogdice, Inc.	Strench, William G	Fayette	Information Technology & Communications	01/26/17	Investment Made	\$10,000	\$10,000	\$4,000
Frogdice, Inc.	Strench, William G	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$25,000	\$25,000	\$10,000
Frogdice, Inc.	Sweeney, Edward L.	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$12,000	\$12,000	\$4,800
Frogdice, Inc.	Young, Christopher H	Fayette	Information Technology & Communications	04/30/15	Investment Made	\$10,000	\$12,500	\$4,000
Global Environmental Services, LLC	Halecky, Benedicta M.	Scott	Environmental & Energy Technology	02/26/15	Investment Made	\$125,000	\$125,000	\$50,000
Global Environmental Services, LLC	Needham, Phil D.	Scott	Environmental & Energy Technology	02/26/15	Investment Made	\$125,000	\$125,000	\$50,000
Gourmet Renee LLC	Flavin Jr., Frank J.	Kenton	Materials Science & Advanced Manufacturing	05/25/17	Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Huff, Michael Adam	Kenton	Materials Science & Advanced Manufacturing	05/31/18	Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Jones, Gregory Scott	Kenton	Materials Science & Advanced Manufacturing	05/31/18	Investment Made	\$10,000	\$10,000	\$4,000
Gourmet Renee LLC	Olenick, Stephen	Kenton	Materials Science & Advanced Manufacturing	03/30/17	Investment Made	\$50,000	\$50,000	\$20,000
Gourmet Renee LLC	Powers, Scott M.	Kenton	Materials Science & Advanced Manufacturing	05/31/18	Investment Made	\$10,000	\$10,000	\$4,000
Greenlight CE Partners, L.L.C.	Stephany, Florine G	Kenton	Other New Economy Knowledge Based Activity	03/26/15	Investment Made	\$60,000	\$60,000	\$24,000
Gryphon Environmental, LLC	Boyd, James R.	Daviess	Environmental & Energy Technology	01/25/18	Investment Made	\$22,120	\$22,120	\$8,848
Gryphon Environmental, LLC	Deane, Gordon L.	Daviess	Environmental & Energy Technology	01/25/18	Investment Made	\$25,000	\$25,000	\$10,000
Gryphon Environmental, LLC	Estes, Gay Nell	Daviess	Environmental & Energy Technology	01/25/18	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Estes, Wayne Lewis	Daviess	Environmental & Energy Technology	01/25/18	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Hoseus, Michael S.	Daviess	Environmental & Energy Technology	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
Gryphon Environmental, LLC	Luftman, Brian A.	Daviess	Environmental & Energy Technology	01/25/18	Investment Made	\$10,000	\$10,000	\$4,000
Gryphon Environmental, LLC	Smith, Stewart A	Daviess	Environmental & Energy Technology	01/25/18	Investment Made	\$10,000	\$10,000	\$4,000
Gun Media Holdings, Inc.	King, Darren G.	Fayette	Information Technology & Communications	05/31/18	Investment Made	\$150,000	\$150,000	\$60,000
Gun Media Holdings, Inc.	Rardin, Annie M.	Fayette	Information Technology & Communications	08/27/15	Investment Made	\$18,071	\$18,071	\$7,228
Gun Media Holdings, Inc.	Rardin, Annie M.	Fayette	Information Technology & Communications	09/24/15	Investment Made	\$31,929	\$31,929	\$10,000
Gun Media Holdings, Inc.	Rardin, Donnie C.	Fayette	Information Technology & Communications	08/27/15	Investment Made	\$18,072	\$18,072	\$7,229
Gun Media Holdings, Inc.	Rardin, Donnie C.	Fayette	Information Technology & Communications	09/24/15	Investment Made	\$31,928	\$31,928	\$10,000
Gun Media Holdings, Inc.	Self, Thomas Benjamin	Fayette	Information Technology & Communications	06/25/15	Investment Made	\$25,000	\$25,000	\$10,000
Gun Media Holdings, Inc.	Young, Christopher H	Fayette	Information Technology & Communications	06/25/15	Investment Made	\$100,000	\$100,000	\$40,000
Gun Media Holdings, Inc.	Young, Christopher H	Fayette	Information Technology & Communications	01/25/18	Investment Made	\$250,000	\$250,000	\$100,000
Healthcare Asset Network, Inc.	Danehy, Jack Clifford	Oldham	Information Technology & Communications	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Healthcare Asset Network, Inc.	Klunk, Joseph A.	Oldham	Information Technology & Communications	01/29/15	Investment Made	\$100,000	\$100,000	\$40,000
Healthcare Asset Network, Inc.	Lapinski, Sterling A.	Oldham	Information Technology & Communications	02/26/15	Investment Made	\$25,000	\$25,000	\$10,000
Healthcare Asset Network, Inc.	Lapinski, Sterling A.	Oldham	Information Technology & Communications	01/26/17	Investment Made	\$17,143	\$17,143	\$6,857
Healthcare Asset Network, Inc.	Lunsford, William Bruce	Oldham	Information Technology & Communications	01/26/17	Investment Made	\$150,000	\$150,000	\$60,000
Healthcare Asset Network, Inc.	Ruffenach, Linda Marie	Oldham	Information Technology & Communications	02/26/15	Investment Made	\$30,000	\$30,000	\$12,000
Healthcare Asset Network, Inc.	Skaggs, Jr., Dale B.	Oldham	Information Technology & Communications	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Stigler, Charles M.	Oldham	Information Technology & Communications	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Healthcare Asset Network, Inc.	Trumbull, Richard Scott	Oldham	Information Technology & Communications	01/29/15	Investment Made	\$200,000	\$200,000	\$80,000
Healthcare Asset Network, Inc.	Trumbull, Richard Scott	Oldham	Information Technology & Communications	01/26/17	Investment Made	\$137,141	\$137,141	\$54,856
Hera Testing Laboratories, Inc.	Chengelis, Christopher Peter	Fayette	Bioscience	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Fraser, Jr., Malcolm James	Fayette	Bioscience	01/29/15	Investment Made	\$30,000	\$30,000	\$12,000
Hera Testing Laboratories, Inc.	Hall, John R.	Fayette	Bioscience	01/29/15	Investment Made	\$70,000	\$70,000	\$28,000
Hera Testing Laboratories, Inc.	Hall, John R.	Fayette	Bioscience	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Hera Testing Laboratories, Inc.	Kazazian, Jr., Haig H.	Fayette	Bioscience	02/26/15	Investment Made	\$20,000	\$25,000	\$8,000
Hera Testing Laboratories, Inc.	Kiliddar, Hussein	Fayette	Bioscience	02/26/15	Investment Made	\$50,000	\$50,000	\$20,000
Hera Testing Laboratories, Inc.	King, Darren G.	Fayette	Bioscience	01/29/15	Investment Made	\$50,000	\$50,000	\$20,000

Hera Testing Laboratories, Inc.	Lewis, Rebecca B.	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Luftman, Brian A.	Fayette	Bioscience	01/26/17	Investment Made	\$20,000	\$20,000	\$8,000
Hera Testing Laboratories, Inc.	Luftman, Brian A.	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	McClelland, Martin N.	Fayette	Bioscience	02/26/15	Investment Made	\$50,000	\$50,000	\$20,000
Hera Testing Laboratories, Inc.	Robey, Ronald G	Fayette	Bioscience	02/26/15	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Saunders, Robert S.	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Schiowitz, Robert	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Smith, Stewart A	Fayette	Bioscience	02/26/15	Investment Made	\$25,000	\$25,000	\$10,000
Hera Testing Laboratories, Inc.	Tavakoli, Ron	Fayette	Bioscience	01/29/15	Investment Made	\$250,000	\$250,000	\$100,000
Hera Testing Laboratories, Inc.	Young, Christopher H	Fayette	Bioscience	01/29/15	Investment Made	\$50,000	\$50,000	\$20,000
Hera Testing Laboratories, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Hera Testing Laboratories, Inc.	Young, Christopher H	Fayette	Bioscience	01/25/18	Inactive	\$100,000	\$0	\$0
Hippo Manager Software, Inc.	Cundiff, Clarence K.	Fayette	Information Technology & Communications	04/27/17	Investment Made	\$10,000	\$10,000	\$4,000
Hippo Manager Software, Inc.	Hoseus, Michael S.	Fayette	Information Technology & Communications	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Hippo Manager Software, Inc.	Luftman, Brian A.	Fayette	Information Technology & Communications	01/26/17	Investment Made	\$10,000	\$10,000	\$4,000
Hippo Manager Software, Inc.	Smith, Stewart A	Fayette	Information Technology & Communications	01/26/17	Investment Made	\$10,000	\$10,000	\$4,000
Hummingbird Nano, Inc.	King, Darren G.	Fayette	Materials Science & Advanced Manufacturing	05/31/18	Investment Made	\$100,000	\$100,000	\$40,000
Hummingbird Nano, Inc.	Young, Christopher H	Fayette	Materials Science & Advanced Manufacturing	05/31/18	Investment Made	\$50,000	\$50,000	\$20,000
Kinetic Corporation	Ragan, Steven R.	Jefferson	Information Technology & Communications	02/26/15	Inactive	\$250,000	\$0	\$0
Kinetic Corporation	Richardson, David Tuell	Jefferson	Information Technology & Communications	02/26/15	Inactive	\$500,000	\$0	\$0
Kinetic Corporation	Richardson, Mary Towns	Jefferson	Information Technology & Communications	02/26/15	Inactive	\$500,000	\$0	\$0
Liberate Medical (DE), Inc.	Diaz, Paul J.	Oldham	Bioscience	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Liberate Medical (DE), Inc.	Hoseus, Michael S.	Oldham	Bioscience	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Lolihops, LLC	Klunk, Joseph A.	Kenton	Information Technology & Communications	03/26/15	Investment Made	\$50,000	\$40,000	\$16,000
Lolihops, LLC	Topits, John R.	Kenton	Information Technology & Communications	05/28/15	Investment Made	\$50,000	\$50,000	\$20,000
Lolihops, LLC	Verst, Paul T.	Kenton	Information Technology & Communications	05/28/15	Investment Made	\$10,000	\$10,000	\$4,000
Lucina Health, Inc.	Fischer, Gregory E	Jefferson	Information Technology & Communications	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Lucina Health, Inc.	Shields, Christopher Brian	Jefferson	Information Technology & Communications	05/25/17	Investment Made	\$179,420	\$179,420	\$71,768
Lunacy Productions, LLC	Anzalone, John	Jefferson	Other New Economy Knowledge Based Activity	05/28/15	Investment Made	\$50,000	\$50,000	\$20,000
Lunacy Productions, LLC	Beebe, Susan Pollard	Jefferson	Other New Economy Knowledge Based Activity	04/30/15	Investment Made	\$100,000	\$100,000	\$40,000
Lunacy Productions, LLC	Reed, Ill, W. Earl	Jefferson	Other New Economy Knowledge Based Activity	02/26/15	Investment Made	\$50,000	\$50,000	\$20,000
Lunacy Productions, LLC	Trager, Steven E.	Jefferson	Other New Economy Knowledge Based Activity	04/30/15	Investment Made	\$50,000	\$50,000	\$20,000
Lunacy Productions, LLC	Trager, Steven E.	Jefferson	Other New Economy Knowledge Based Activity	01/29/15	Inactive	\$50,000	\$0	\$0
MEP Equine Solutions, LLC	Andrews, Robin W.	Fayette	Bioscience	02/26/15	Investment Made	\$15,000	\$15,000	\$6,000
MEP Equine Solutions, LLC	Chan, Richard Tat Lee	Fayette	Bioscience	01/29/15	Investment Made	\$121,599	\$121,599	\$48,640
MEP Equine Solutions, LLC	Guthrie, Timothy F	Fayette	Bioscience	01/29/15	Investment Made	\$13,845	\$13,845	\$5,538
MEP Equine Solutions, LLC	Hand, Donald Reese	Fayette	Bioscience	01/29/15	Investment Made	\$10,000	\$10,002	\$4,000
MEP Equine Solutions, LLC	Harrell, Chet D.	Fayette	Bioscience	01/29/15	Investment Made	\$35,000	\$35,000	\$14,000
MEP Equine Solutions, LLC	Heller, Elizabeth R.	Fayette	Bioscience	01/29/15	Investment Made	\$50,000	\$50,000	\$20,000
MEP Equine Solutions, LLC	Karlstrom, Anders	Fayette	Bioscience	01/29/15	Inactive	\$20,020	\$0	\$0
MEP Equine Solutions, LLC	Schackel, Eric L.	Fayette	Bioscience	01/29/15	Investment Made	\$15,100	\$15,100	\$6,040
MEP Equine Solutions, LLC	Schackel, Richard L.	Fayette	Bioscience	01/29/15	Investment Made	\$42,000	\$42,000	\$16,800
MEP Equine Solutions, LLC	Valenzano, Kenneth J.	Fayette	Bioscience	01/29/15	Investment Made	\$65,000	\$65,000	\$26,000
MEP Equine Solutions, LLC	Walker, Winston Glenn	Fayette	Bioscience	01/29/15	Investment Made	\$46,751	\$46,751	\$18,700
MEP Equine Solutions, LLC	Young, Christopher H	Fayette	Bioscience	01/29/15	Investment Made	\$10,003	\$10,002	\$4,001
MER Ventures LLC	Masters, Cynthia R.	Jefferson	Information Technology & Communications	05/31/18	Investment Made	\$25,000	\$25,000	\$10,000
MER Ventures LLC	Young, Anthony John	Jefferson	Information Technology & Communications	01/26/17	Inactive	\$200,000	\$0	\$0
MobileMedTek Holdings, Inc.	Steinbock, Robert T	Jefferson	Health & Human Development	02/25/16	Investment Made	\$174,000	\$174,000	\$69,600

MobileMedTek Holdings, Inc.	Steinbock, Robert T	Jefferson	Health & Human Development	04/30/15	Investment Made	\$50,000	\$50,000	\$20,000
MobileServe LLC	Heuser, Henry V.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
MobileServe LLC	McClelland, Martin N.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
MobileServe LLC	Swope, Carl L.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$25,000	\$25,000	\$10,000
Moonshine, Beer & BBQ Co.	Fehrenbacher, Dennis G.	Lyon	Materials Science & Advanced Manufacturing	01/26/17	Inactive	\$400,000	\$0	\$0
Neuronetrix Solutions, LLC	Berg, Fran L.	Jefferson	Health & Human Development	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Brown, III, Robinson	Jefferson	Health & Human Development	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Greenwald, Bart L.	Jefferson	Health & Human Development	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Hill, Charles A.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$150,000	\$150,000	\$60,000
Neuronetrix Solutions, LLC	Ramsey, Neil P.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$300,000	\$300,000	\$120,000
Neuronetrix Solutions, LLC	Richardson, David Tuell	Jefferson	Health & Human Development	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Neuronetrix Solutions, LLC	Schnatter, Charles W	Jefferson	Health & Human Development	01/25/18	Investment Made	\$200,000	\$75,000	\$30,000
Neuronetrix Solutions, LLC	Stengel, Bradford P.	Jefferson	Health & Human Development	01/26/17	Investment Made	\$150,000	\$150,000	\$60,000
Nexeon MedSystems Inc	Ruckdaeschel, Alexander M.	Fayette	Bioscience	02/25/16	Inactive	\$350,000	\$0	\$0
NX Development Corp.	Beanblossom, Brent Christian	Fayette	Health & Human Development	01/26/17	Investment Made	\$150,000	\$150,000	\$60,000
NX Development Corp.	Sussman, Daniel Leonard	Fayette	Health & Human Development	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
NX Prenatal Inc.	Deo, Nikhil A	Jefferson	Bioscience	03/31/16	Investment Made	\$25,000	\$25,000	\$10,000
NX Prenatal Inc.	Lapinski, Sterling A.	Jefferson	Bioscience	01/29/15	Inactive	\$50,000	\$0	\$0
NX Prenatal Inc.	Page, David A	Jefferson	Bioscience	03/31/16	Investment Made	\$30,000	\$20,000	\$8,000
NX Prenatal Inc.	Reilly, Timothy A	Jefferson	Bioscience	03/31/16	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Balbach, Anthony J.	Fayette	Information Technology & Communications	05/28/15	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Brown, Stephen L	Fayette	Information Technology & Communications	05/28/15	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Fiorini, Albert Edward	Fayette	Information Technology & Communications	05/28/15	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Nicholas, Thomas L.	Fayette	Information Technology & Communications	05/28/15	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Sherlock, Isaac Walter	Fayette	Information Technology & Communications	07/30/15	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Smith, Stewart A	Fayette	Information Technology & Communications	09/24/15	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Smith, Stewart A	Fayette	Information Technology & Communications	10/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Omveria, LLC	Smith, Stewart A	Fayette	Information Technology & Communications	02/26/15	Investment Made	\$50,000	\$50,000	\$20,000
Omveria, LLC	Smith, Stewart A	Fayette	Information Technology & Communications	04/30/15	Investment Made	\$50,000	\$50,000	\$20,000
Onovative, LLC	Langdon, Gregory Lee	Jefferson	Information Technology & Communications	02/25/16	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Self, Thomas Benjamin	Jefferson	Information Technology & Communications	02/25/16	Investment Made	\$25,000	\$25,000	\$10,000
Onovative, LLC	Young, Christopher H	Jefferson	Information Technology & Communications	01/28/16	Investment Made	\$50,000	\$50,000	\$20,000
Patriot Brands LLC	Beneke, Michael J.	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$300,000	\$300,000	\$120,000
Patriot Brands LLC	Blandford, George E.	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Gordinier, Henry	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$150,000	\$150,000	\$60,000
Patriot Brands LLC	Hart, Mark C.	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Karem Sr., John R.	Jefferson	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Karem, Jason E.	Jefferson	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Livesay Jr., Kenneth Wayne	Jefferson	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Morris, Jerry L.	Jefferson	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Reid, Raymond Scott	Jefferson	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$250,000	\$250,000	\$100,000
Patriot Brands LLC	Sears, Richard S.	Jefferson	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$100,000	\$100,000	\$40,000
Patriot Brands LLC	Winbigler, David Brian	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Winbigler, David Brian	Jefferson	Materials Science & Advanced Manufacturing	04/27/17	Investment Made	\$25,000	\$25,000	\$10,000
Patriot Brands LLC	Winbigler, Douglas D.	Jefferson	Materials Science & Advanced Manufacturing	05/25/17	Investment Made	\$100,000	\$100,000	\$40,000
Popplr, Inc.	Steinbock, Robert T	Simpson	Information Technology & Communications	04/30/15	Investment Made	\$50,000	\$50,000	\$20,000
Popplr, Inc.	Toomey, Hal W	Simpson	Information Technology & Communications	05/28/15	Investment Made	\$30,000	\$30,000	\$12,000
PowerTech Water, Inc.	Boyd, James R.	Fayette	Environmental & Energy Technology	05/28/15	Investment Made	\$20,000	\$20,000	\$8,000

PowerTech Water, Inc.	Goodnight, David L	Fayette	Environmental & Energy Technology	05/28/15	Investment Made	\$10,000	\$10,000	\$4,000
PowerTech Water, Inc.	Goodnight, David L	Fayette	Environmental & Energy Technology	01/26/17	Inactive	\$15,000	\$0	\$0
PowerTech Water, Inc.	Murry, Wesley E	Fayette	Environmental & Energy Technology	05/28/15	Investment Made	\$10,000	\$10,000	\$4,000
PowerTech Water, Inc.	Young, Christopher H	Fayette	Environmental & Energy Technology	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
PowerTech Water, Inc.	Young, Christopher H	Fayette	Environmental & Energy Technology	03/26/15	Investment Made	\$20,000	\$20,000	\$8,000
Pyrochem Catalyst Company	Streepey, Ben M	Jefferson	Materials Science & Advanced Manufacturing	05/31/18	Investment Made	\$20,000	\$20,091	\$8,000
Rabbit Hole Spirits, LLC	Abbas, Gregory M.	Jefferson	Other New Economy Knowledge Based Activity	05/25/17	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Abbas, Gregory M.	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$125,000	\$124,800	\$49,920
Rabbit Hole Spirits, LLC	Burkett, John N.	Jefferson	Other New Economy Knowledge Based Activity	02/25/16	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Burkett, John N.	Jefferson	Other New Economy Knowledge Based Activity	04/27/17	Investment Made	\$22,800	\$22,800	\$9,120
Rabbit Hole Spirits, LLC	Cain, Darren L.	Jefferson	Other New Economy Knowledge Based Activity	05/25/17	Investment Made	\$50,000	\$150,000	\$20,000
Rabbit Hole Spirits, LLC	Carter, Eric S.	Jefferson	Other New Economy Knowledge Based Activity	05/31/18	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Chandler Jr, William F.	Jefferson	Other New Economy Knowledge Based Activity	01/26/17	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Hardwick, Donald R	Jefferson	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Jones, Thomas Allen	Jefferson	Other New Economy Knowledge Based Activity	01/26/17	Investment Made	\$100,000	\$60,000	\$24,000
Rabbit Hole Spirits, LLC	Jones, Thomas Allen	Jefferson	Other New Economy Knowledge Based Activity	05/26/16	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Jsa, She-Tai Stanley	Jefferson	Other New Economy Knowledge Based Activity	02/25/16	Inactive	\$100,000	\$0	\$0
Rabbit Hole Spirits, LLC	Kakarlapudi, Venkata V.	Jefferson	Other New Economy Knowledge Based Activity	05/31/18	Investment Made	\$150,000	\$150,000	\$60,000
Rabbit Hole Spirits, LLC	Khiani, Kamal J.	Jefferson	Other New Economy Knowledge Based Activity	02/25/16	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Khiani, Kamal J.	Jefferson	Other New Economy Knowledge Based Activity	05/25/17	Investment Made	\$23,000	\$22,800	\$9,120
Rabbit Hole Spirits, LLC	Mackin, Craig L	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$200,000	\$200,000	\$80,000
Rabbit Hole Spirits, LLC	Robertson, Stephen T	Jefferson	Other New Economy Knowledge Based Activity	02/25/16	Inactive	\$100,000	\$0	\$0
Rabbit Hole Spirits, LLC	Sigler, James David	Jefferson	Other New Economy Knowledge Based Activity	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
Rabbit Hole Spirits, LLC	Strench, William G	Jefferson	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$50,000	\$50,000	\$20,000
Rabbit Hole Spirits, LLC	Sutherland, Richard Keith	Jefferson	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$200,000	\$200,000	\$80,000
Rabbit Hole Spirits, LLC	Tichenor, Joe H.	Jefferson	Other New Economy Knowledge Based Activity	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Coulter, Chad J.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$30,000	\$30,000	\$12,000
RCM Brain, Inc.	Doligale, Robert W.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$30,000	\$30,000	\$12,000
RCM Brain, Inc.	Fitzhugh, Scott E.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$25,000	\$25,000	\$10,000
RCM Brain, Inc.	Foley, Kevin M.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$30,000	\$30,000	\$12,000
RCM Brain, Inc.	Foley, Rick L.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$25,000	\$25,000	\$10,000
RCM Brain, Inc.	Kelmanson, Louis R	Jefferson	Information Technology & Communications	05/31/18	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Perkins III, Herbert W	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Skaggs, Jr., Dale B.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Stigler, Charles M.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
RCM Brain, Inc.	Taylor, Robert N.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
RedLeaf Biologics, Inc.	Baker, William True	Jessamine	Bioscience	01/28/16	Investment Made	\$15,000	\$15,000	\$6,000
RedLeaf Biologics, Inc.	Dueber, John E	Jessamine	Bioscience	01/28/16	Investment Made	\$10,000	\$15,000	\$4,000
RedLeaf Biologics, Inc.	Ferguson, Deborah Lynn	Jessamine	Bioscience	02/25/16	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Halecky, Benedicta M.	Jessamine	Bioscience	02/25/16	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Lampert, Mark N.	Jessamine	Bioscience	01/28/16	Investment Made	\$85,000	\$85,000	\$34,000
RedLeaf Biologics, Inc.	Rix, Douglas Walter	Jessamine	Bioscience	02/25/16	Investment Made	\$25,000	\$25,000	\$10,000
RedLeaf Biologics, Inc.	Somerville, Chris R	Jessamine	Bioscience	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
RedLeaf Biologics, Inc.	Youngs, Heather	Jessamine	Bioscience	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Saverest Inc.	Smith, Stewart A	Fayette	Information Technology & Communications	03/26/15	Investment Made	\$40,000	\$40,000	\$16,000
Scant Evidence LLC	Bingham, Ronald E	Fayette	Information Technology & Communications	05/28/15	Investment Made	\$10,000	\$10,000	\$4,000
Scant Evidence LLC	Halecky, Benedicta M.	Fayette	Information Technology & Communications	07/30/15	Investment Made	\$15,000	\$15,000	\$6,000
Scant Evidence LLC	Powell, Patrick S	Fayette	Information Technology & Communications	06/25/15	Investment Made	\$10,000	\$10,000	\$4,000

Scant Evidence LLC	Young, Christopher H	Fayette	Information Technology & Communications	03/26/15	Inactive	\$25,000	\$0	\$0
Schedule It LLC	Swope, Carl L.	Hardin	Information Technology & Communications	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
Smart Farm Systems, Inc.	Bork, Daniel P	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$25,000	\$24,998	\$9,999
Smart Farm Systems, Inc.	Bork, Daniel P	Jessamine	Other New Economy Knowledge Based Activity	01/26/17	Investment Made	\$25,000	\$24,998	\$9,999
Smart Farm Systems, Inc.	Clay-Circle, Renee	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$100,000	\$100,000	\$40,000
Smart Farm Systems, Inc.	Clay-Thomas, Amy Renee	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$30,000	\$29,998	\$11,999
Smart Farm Systems, Inc.	Cole, Vincent J.	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$75,000	\$74,999	\$30,000
Smart Farm Systems, Inc.	Goodnight, David L	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$50,000	\$49,999	\$20,000
Smart Farm Systems, Inc.	Wells, Robert M.	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$100,000	\$100,000	\$40,000
Smart Farm Systems, Inc.	Young, Christopher H	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$50,000	\$49,999	\$20,000
Smart Farm Systems, Inc.	Young, Christopher H	Jessamine	Other New Economy Knowledge Based Activity	01/25/18	Inactive	\$50,000	\$0	\$0
Smart Farm Systems, Inc.	Young, William Ryan	Jessamine	Other New Economy Knowledge Based Activity	01/28/16	Investment Made	\$250,000	\$249,999	\$99,999
Space Tango, Inc.	Clements, Pat J.	Fayette	Other New Economy Knowledge Based Activity	01/26/17	Investment Made	\$20,000	\$20,000	\$8,000
Space Tango, Inc.	Hughes, Dean Lee	Fayette	Other New Economy Knowledge Based Activity	01/28/16	Inactive	\$100,000	\$0	\$0
Space Tango, Inc.	Hunt IV, George Henry	Fayette	Other New Economy Knowledge Based Activity	01/28/16	Inactive	\$35,000	\$0	\$0
Space Tango, Inc.	Rogers, Fielding Allen	Fayette	Other New Economy Knowledge Based Activity	03/31/16	Inactive	\$50,000	\$0	\$0
Stretch Fabrics, LLC	Zalla, William R.	Kenton	Materials Science & Advanced Manufacturing	02/25/16	Investment Made	\$50,000	\$50,000	\$20,000
Stretch Fabrics, LLC	Zalla, William R.	Kenton	Materials Science & Advanced Manufacturing	02/26/15	Investment Made	\$80,000	\$80,000	\$32,000
Sunstrand, LLC	Bickel III, Paul J	Jefferson	Materials Science & Advanced Manufacturing	09/29/16	Investment Made	\$53,000	\$74,200	\$21,200
Sunstrand, LLC	Gleis, Gregory E.	Jefferson	Materials Science & Advanced Manufacturing	01/26/17	Investment Made	\$53,000	\$53,000	\$21,200
Sunstrand, LLC	Grant, Craig D	Jefferson	Materials Science & Advanced Manufacturing	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
SuperFanU, Inc.	Dickinson, Dean Kenneth	Jefferson	Information Technology & Communications	03/31/16	Investment Made	\$100,000	\$100,000	\$40,000
SuperFanU, Inc.	Goodnight, David L	Jefferson	Information Technology & Communications	03/31/16	Investment Made	\$25,000	\$25,000	\$10,000
SuperFanU, Inc.	Klunk, Joseph A.	Jefferson	Information Technology & Communications	02/25/16	Investment Made	\$50,000	\$50,000	\$20,000
Switcher Inc	Holland, John G.	Jefferson	Information Technology & Communications	01/26/17	Investment Made	\$10,000	\$10,000	\$4,000
Switcher Inc	Willmoth, John H.	Jefferson	Information Technology & Communications	01/26/17	Investment Made	\$40,000	\$40,000	\$16,000
Switcher Inc	Willmoth, John H.	Jefferson	Information Technology & Communications	01/25/18	Investment Made	\$25,000	\$25,000	\$10,000
TEC Biosciences Inc.	Castaneda, Tonye-Marie	Fayette	Bioscience	01/25/18	Inactive	\$500,000	\$0	\$0
TEC Biosciences Inc.	Wagner, Peter Edward	Fayette	Bioscience	01/25/18	Inactive	\$500,000	\$0	\$0
Tier 1 Accelerated Health, LLC	Banta, Thomas E.	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$10,000	\$10,000	\$4,000
Tier 1 Accelerated Health, LLC	Bray, Scott	Kenton	Information Technology & Communications	01/29/15	Inactive	\$20,000	\$0	\$0
Tier 1 Accelerated Health, LLC	Bray, Scott	Kenton	Information Technology & Communications	04/30/15	Investment Made	\$20,000	\$20,000	\$8,000
Tier 1 Accelerated Health, LLC	Butler, William P.	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$75,000	\$70,000	\$28,000
Tier 1 Accelerated Health, LLC	Butler, William P.	Kenton	Information Technology & Communications	04/30/15	Investment Made	\$70,000	\$70,000	\$28,000
Tier 1 Accelerated Health, LLC	Cain, John S.	Kenton	Information Technology & Communications	02/26/15	Investment Made	\$20,000	\$20,000	\$8,000
Tier 1 Accelerated Health, LLC	Collins, Andrew C.	Kenton	Information Technology & Communications	02/26/15	Investment Made	\$20,000	\$20,000	\$8,000
Tier 1 Accelerated Health, LLC	Hagan IV, George S.	Kenton	Information Technology & Communications	04/30/15	Investment Made	\$46,875	\$46,875	\$18,750
Tier 1 Accelerated Health, LLC	Hagan IV, George S.	Kenton	Information Technology & Communications	01/29/15	Inactive	\$46,875	\$0	\$0
Tier 1 Accelerated Health, LLC	Hagan IV, George S.	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$10,000	\$10,000	\$4,000
Tier 1 Accelerated Health, LLC	Harmeyer, Gregory W	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$114,526	\$114,526	\$45,810
Tier 1 Accelerated Health, LLC	Moore, Kevin C	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$114,526	\$114,526	\$45,810
Tier 1 Accelerated Health, LLC	Sathe, Robert B.	Kenton	Information Technology & Communications	03/31/16	Inactive	\$50,000	\$0	\$0
Tier 1 Accelerated Health, LLC	Sathe, Robert B.	Kenton	Information Technology & Communications	04/30/15	Investment Made	\$50,000	\$50,000	\$20,000
Tier 1 Accelerated Health, LLC	Scheper, Charles R.	Kenton	Information Technology & Communications	04/30/15	Investment Made	\$50,000	\$50,000	\$20,000
Tier 1 Accelerated Health, LLC	Scheper, Charles R.	Kenton	Information Technology & Communications	01/29/15	Inactive	\$50,000	\$0	\$0
Tier 1 Accelerated Health, LLC	Scheper, Charles R.	Kenton	Information Technology & Communications	02/25/16	Investment Made	\$10,000	\$10,000	\$4,000
Tier 1 Accelerated Health, LLC	Wright, Creighton B.	Kenton	Information Technology & Communications	02/26/15	Investment Made	\$25,000	\$25,000	\$10,000
Tier 1 Accelerated Health, LLC	Zapp, Robert W.	Kenton	Information Technology & Communications	02/26/15	Investment Made	\$20,000	\$20,000	\$8,000

Transposagen Biopharmaceuticals, Inc.	Bejma, Jeffrey Paul	Fayette	Bioscience	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Dinuka	Fayette	Bioscience	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Melanie	Fayette	Bioscience	01/26/17	Investment Made	\$100,000	\$100,000	\$40,000
Transposagen Biopharmaceuticals, Inc.	Samarasinghe, Rushan Ayendra	Fayette	Bioscience	01/26/17	Investment Made	\$125,000	\$125,000	\$50,000
Transposagen Biopharmaceuticals, Inc.	Young, Christopher H	Fayette	Bioscience	01/26/17	Inactive	\$150,000	\$0	\$0
Urban Shipper Technology Inc.	Heitker, Thomas Leo	Kenton	Information Technology & Communications	01/26/17	Investment Made	\$20,000	\$20,000	\$8,000
Urban Technology Inc.	Kirkwood, Robert Joseph	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Piccoro, Jr., John Joseph	Kenton	Information Technology & Communications	12/08/16	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Piccoro, Jr., John Joseph	Kenton	Information Technology & Communications	02/25/16	Investment Made	\$20,000	\$20,000	\$8,000
Urban Technology Inc.	Piccoro, Lance T.	Kenton	Information Technology & Communications	02/25/16	Investment Made	\$25,000	\$25,000	\$10,000
Urban Technology Inc.	Stegman, Brian J.	Kenton	Information Technology & Communications	12/08/16	Investment Made	\$10,000	\$10,000	\$4,000
Urban Technology Inc.	Stegman, Brian J.	Kenton	Information Technology & Communications	05/26/16	Investment Made	\$25,000	\$25,000	\$10,000
Venminder, Inc.	Fischer, Gregory E	Hardin	Information Technology & Communications	01/28/16	Investment Made	\$100,000	\$100,000	\$40,000
Venminder, Inc.	Glasscock, Charles Edward	Hardin	Information Technology & Communications	02/25/16	Investment Made	\$250,000	\$250,000	\$100,000
Venminder, Inc.	Patterson II, James A.	Hardin	Information Technology & Communications	02/25/16	Investment Made	\$250,000	\$250,000	\$100,000
Venminder, Inc.	Sautel, Stephen D.	Hardin	Information Technology & Communications	01/28/16	Investment Made	\$500,000	\$499,890	\$199,956
Venminder, Inc.	Sautel, Terri A.	Hardin	Information Technology & Communications	01/28/16	Investment Made	\$500,000	\$282,778	\$113,111
Vindico NanoBio Technology Inc.	Fraser, Jr., Malcolm James	Fayette	Bioscience	01/29/15	Investment Made	\$30,000	\$30,000	\$12,000
Vindico NanoBio Technology Inc.	Hall, John R.	Fayette	Bioscience	01/29/15	Investment Made	\$70,000	\$70,000	\$28,000
Vindico NanoBio Technology Inc.	Kiliddar, Hussein	Fayette	Bioscience	02/26/15	Investment Made	\$50,000	\$50,000	\$20,000
Vindico NanoBio Technology Inc.	Lewis, Rebecca B.	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Luftman, Brian A.	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Miller, Jr., Richard C.	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Robey, Ronald G	Fayette	Bioscience	02/26/15	Investment Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Samarasinghe, Lalantha	Fayette	Bioscience	01/29/15	Investment Made	\$200,000	\$200,000	\$80,000
Vindico NanoBio Technology Inc.	Saunders, Robert S.	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Schiowitz, Robert	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Smith, Stewart A	Fayette	Bioscience	01/29/15	Investment Made	\$25,000	\$25,000	\$10,000
Vindico NanoBio Technology Inc.	Sweeney, Edward L.	Fayette	Bioscience	01/29/15	Investment Made	\$35,000	\$35,000	\$14,000
Vindico NanoBio Technology Inc.	Tavakoli, Ron	Fayette	Bioscience	01/29/15	Investment Made	\$250,000	\$250,000	\$100,000
Vindico NanoBio Technology Inc.	Young, Christopher H	Fayette	Bioscience	01/29/15	Investment Made	\$150,000	\$150,000	\$60,000
Virtual Peaker, Inc.	Boyer, Mark H	Jefferson	Environmental & Energy Technology	01/25/18	Investment Made	\$150,000	\$150,000	\$60,000
Virtual Peaker, Inc.	Cobb, Douglas Ford	Jefferson	Environmental & Energy Technology	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
Virtual Peaker, Inc.	Galloway, Edward L.	Jefferson	Environmental & Energy Technology	01/25/18	Investment Made	\$50,000	\$50,000	\$20,000
Virtual Peaker, Inc.	Lapinski, Sterling A.	Jefferson	Environmental & Energy Technology	05/31/18	Investment Made	\$50,000	\$50,000	\$20,000
Virtual Peaker, Inc.	Wilhite, Steve T.	Jefferson	Environmental & Energy Technology	01/25/18	Investment Made	\$150,000	\$150,000	\$60,000
Vita-Stat LLC	Danehy, Jack Clifford	Jefferson	Information Technology & Communications	01/26/17	Investment Made	\$300,000	\$300,000	\$120,000
Vivorte (DE), Inc.	Collins, Andrew C.	Jefferson	Bioscience	07/30/15	Investment Made	\$20,000	\$20,000	\$8,000
Vivorte (DE), Inc.	Murdock, Shawn L.	Jefferson	Bioscience	08/27/15	Investment Made	\$10,000	\$10,000	\$4,000
Vivorte (DE), Inc.	Murdock, Shawn L.	Jefferson	Bioscience	01/26/17	Investment Made	\$20,000	\$20,000	\$8,000
Vivorte (DE), Inc.	Reilly, Timothy A	Jefferson	Bioscience	07/30/15	Investment Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Roth, John B.	Jefferson	Bioscience	01/26/17	Investment Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Schlotman, John Michael	Jefferson	Bioscience	01/28/16	Investment Made	\$25,000	\$25,000	\$10,000
Vivorte (DE), Inc.	Siegrist, John Thomas	Jefferson	Bioscience	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Vivorte (DE), Inc.	Siegrist, John Thomas	Jefferson	Bioscience	08/27/15	Investment Made	\$20,000	\$20,000	\$8,000
Vivorte (DE), Inc.	Stuedle, Joseph A.	Jefferson	Bioscience	01/26/17	Investment Made	\$10,000	\$10,000	\$4,000
Vivorte (DE), Inc.	Stuedle, Margaret Kristin	Jefferson	Bioscience	01/26/17	Investment Made	\$15,000	\$15,000	\$6,000
Vivorte (DE), Inc.	Topits, John R.	Jefferson	Bioscience	08/27/15	Investment Made	\$13,857	\$13,857	\$5,543

Vivorte (DE), Inc.	Topits, John R.	Jefferson	Bioscience	07/30/15	Investment Made	\$11,143	\$11,143	\$4,457
Vivorte (DE), Inc.	Verst, Paul T.	Jefferson	Bioscience	08/27/15	Investment Made	\$10,000	\$10,000	\$4,000
Vivorte (DE), Inc.	Zapp, Robert W.	Jefferson	Bioscience	07/30/15	Investment Made	\$50,000	\$25,000	\$10,000
Wyzerr Inc.	Becker, Mark John	Kenton	Information Technology & Communications	01/28/16	Inactive	\$25,000	\$0	\$0
Wyzerr Inc.	Bray, Chad	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Bray, Scott	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Burke, Brennan C.	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$15,000	\$15,000	\$6,000
Wyzerr Inc.	Frey, Robert E.	Kenton	Information Technology & Communications	01/28/16	Inactive	\$40,000	\$0	\$0
Wyzerr Inc.	Geeding, Daniel W	Kenton	Information Technology & Communications	01/28/16	Inactive	\$10,000	\$0	\$0
Wyzerr Inc.	Haas, Eric	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Klunk, Joseph A.	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Moeves, Tobias J.	Kenton	Information Technology & Communications	05/31/18	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Moeves, Tobias J.	Kenton	Information Technology & Communications	03/31/16	Inactive	\$10,000	\$0	\$0
Wyzerr Inc.	Murdock, Shawn L.	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Sathe, Robert B.	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$30,000	\$30,000	\$12,000
Wyzerr Inc.	Scheper, Charles R.	Kenton	Information Technology & Communications	02/25/16	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Schlotman, John Michael	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$300,000	\$300,000	\$120,000
Wyzerr Inc.	Schroeder, Gregory L.	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$50,000	\$50,000	\$20,000
Wyzerr Inc.	Verst, Paul T.	Kenton	Information Technology & Communications	01/28/16	Inactive	\$10,000	\$0	\$0
Wyzerr Inc.	Verst, Paul T.	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Wesdorp, Benet Earl	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Wilkins, Todd Christopher	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Wyzerr Inc.	Young, Christopher Shane	Kenton	Information Technology & Communications	03/31/16	Investment Made	\$20,000	\$20,000	\$8,000
Wyzerr Inc.	Zapp, Bradley R.	Kenton	Information Technology & Communications	01/28/16	Investment Made	\$10,000	\$10,000	\$4,000
Totals						\$36,835,929	\$30,588,003	\$11,996,620